

Toxic Substances



# Guidance for Controlling Asbestos-Containing Materials in Buildings



NOTICE

"Guidance for Controlling  
Asbestos-Containing Materials in Buildings,"  
June 1985  
(EPA 560/5-85-024)

This document may contain dated material. It is the responsibility of the user of this document to verify the accurateness of the material. Special attention should be given to the NESHAPS regulations (Appendix C) and the OSHA regulations (Appendix F).

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**GUIDANCE FOR CONTROLLING ASBESTOS-CONTAINING  
MATERIALS IN BUILDINGS**

**1985 EDITION**

**Exposure Evaluation Division  
Office of Toxic Substances  
Office of Pesticides and Toxic Substances  
U.S. Environmental Protection Agency  
Washington, D.C. 20460**

### **NOTE TO SCHOOL DISTRICTS**

The regulations at 40 CFR §763.114(a)(5) require that schools with asbestos-containing material retain one copy of "Asbestos-Containing Materials in School Buildings: A Guidance Document" Parts 1 and 2, also known as the orange books, in the school's administrative office (EPA No. C00090).

This book, "Guidance for Controlling Asbestos-Containing Materials in Buildings" (the purple book), may be kept in lieu of the document "Asbestos-Containing Material in School Buildings: A Guidance Document," Parts 1 and 2 (orange books), to satisfy the requirement at 40 CFR §763.114(a)(5). Please note that schools must continue to retain copies of the "Guide for Reducing Asbestos Exposure" found at 40 CFR §763.111(b), which is also required by 40 CFR §763.114(a)(5).

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## **SUMMARY OF GUIDANCE**

### **INTRODUCTION**

Airborne asbestos contamination in buildings is a significant environmental problem. Various diseases have been linked with industrial exposure to airborne asbestos, and the extensive use of asbestos products in buildings has raised concerns about exposure to asbestos in nonindustrial settings. Surveys conducted by the Environmental Protection Agency (EPA) estimate that asbestos containing materials can be found in approximately 31,000 schools and 733,000 other public and commercial buildings in this country.

The presence of asbestos in a building does not mean that the health of building occupants is necessarily endangered. As long as asbestos-containing material (ACM) remains in good condition and is not disturbed, exposure is unlikely. When building maintenance, repair, renovation or other activities disturb ACM, or if it is damaged, asbestos fibers are released creating a potential hazard to building occupants. Although not required to do so by federal law, the prudent building owner will take steps to limit building occupants' exposure to airborne asbestos. In 1983 EPA prepared and distributed "Guidance for Controlling Friable Asbestos-Containing Materials in Buildings" (USEPA 1983a). Since this guidance was published, EPA has gathered additional information and has gained valuable experience through its continuing Asbestos-in-Buildings Program. The guidance document has been substantially revised to incorporate this new information and to reflect the comments and suggestions of building owners and other readers. EPA offers building owners guidance to understand the technical issues, determine if asbestos is present in a building, plan a control program, and choose the course of further action if necessary.

This summary is divided into two parts. The first is an introduction to the problem of asbestos in buildings and summarizes the material that is presented in Chapter 1. The second part of the summary provides a concise outline of the remainder of the report. It lists the major steps needed to determine whether asbestos is present in a building (Chapter 2), establish a special operations and maintenance (O&M) program (Chapter 3), assess the need for further action (Chapters 4 and 5), and carry out an abatement project (Chapter 6). It is intended as a checklist for the building owner.

### **ACM IN BUILDINGS**

ACM in buildings is found in three forms: (1) sprayed or troweled on ceilings and walls (surfacing material); (2) in insulation around hot or cold pipes, ducts, boilers, and tanks (pipe and boiler insulation); and (3) in a variety of other products such as ceiling and floor tiles and wall boards (miscellaneous materials). In general, ACM in the first two categories is of greatest concern, especially if it is friable. (Friable material can be crumbled, pulverized, or reduced to powder by hand pressure.)

Testing for ACM is required in primary and secondary schools only. (Regulations are specified in "The Friable Asbestos-Containing Materials in Schools; Identification and Notification Rule.") At present, no parallel rule applies to other public or commercial buildings. Further, no Federal regulations require abatement actions (repair or removal, enclosure, encapsulation).

The OSHA (Occupational Safety and Health Administration) regulations specifying work practices and the EPA rules governing the handling and disposal of asbestos apply to abatement actions. State regulations on these issues vary and may be more stringent than federal requirements.

### **ASBESTOS CONTROL ACTIVITIES**

The following pages outline the steps that a building owner should take to control asbestos. Each step is described in more detail in the body of the report.

### **Survey to See if Asbestos is Present**

- Appoint an asbestos program manager and assemble a survey team.
- Check building records for evidence of asbestos- containing surfacing materials, pipe and boiler insulation, or miscellaneous ACM.
- Locate and document all ACM identified in building records.
- Inspect the building for friable materials on walls or ceilings. Inspection means touching walls and ceilings.
- Inspect the building for insulation on pipes and boilers. Inspection means looking at pipes and boilers.
- Be persistent. Friable materials may be hidden behind dropped ceilings or partitions,
- Collect samples of friable ceiling and wall materials following EPA procedures.
- Collect samples of pipe and boiler wrap if the insulation is exposed. Otherwise, assume the insulation contains asbestos.
- Send samples to a qualified laboratory for analysis by polarized light microscopy (PLM). If the samples show more than one percent asbestos, the building contains ACM,
- Document all findings.

### **Establish a Special Operations and Maintenance (O&M) Program**

- Obtain cooperation of building maintenance and custodial managers.
- Educate building occupants and employees about ACM.
- Train custodial and maintenance workers in special cleaning techniques and maintenance precautions,
- Clean the building thoroughly using wet cleaning and HEPA-vacuum techniques.
- Repeat the cleaning monthly (near surfacing materials) or semi-annually (near wrapped insulation).
- Take special precautions before starting maintenance and construction work.
- Inspect ACM at least twice a year for evidence of damage or deterioration.
- Continue the O&M program until all ACM is removed.

### **Assess the ACM to Determine the Need for Further Action**

- Assess the likelihood of fiber release from the ACM by evaluating its current condition and the potential for future disturbance, damage or erosion.
- Determine:

- The need for further action.
- When it should be done.
- What abatement method should be used.

### **Conduct Abatement Actions if Needed**

- Hire an abatement contractor or, if in-house capabilities are available, use building staff.
- To select a contractor:
  - Write precise contract specifications.
  - Check references.
  - Conduct interviews.
  - Review insurance coverage.
  - Select the “best” contractor, not necessarily the lowest bidder.
- To Manage the work:
  - Inspect the work site at least four times a day to insure compliance with all prescribed work practices and worker protection measures. These include:
    - \* Construction of a containment barrier around the entire work area, or the use of containment bags for wrapped insulation.
    - \* Use of coveralls and respirators by the workers.
    - \* Provision of worker change and decontamination facilities.
  - Stop abatement work immediately if any condition of the worksite appears to be hazardous.
  - Release the contractor only after:
    - \* The work site has been thoroughly cleaned at least twice.
    - \* The work site passes a visual test for abatement completion and cleanliness.
    - \* The work site passes a test for airborne asbestos.

## CHAPTER 1. BACKGROUND ON EXPOSURE TO ASBESTOS INSIDE BUILDINGS

Construction materials containing asbestos have been used extensively in schools and other buildings. The concern about exposure to asbestos in these buildings is based on evidence linking various respiratory diseases with occupational exposure in the shipbuilding, mining, milling, and fabricating industries. The presence of asbestos in a building does not mean that the health of building occupants is endangered. If asbestos-containing material (ACM) remains in good condition and is unlikely to be disturbed, exposure will be negligible. However, when ACM is damaged or disturbed — for example, by maintenance or repairs conducted without proper controls — asbestos fibers are released. These fibers can create a potential hazard for building occupants.

This chapter describes ACM found in buildings and the potential health risks to occupants of buildings where ACM is present. Also, federal regulations addressing asbestos in buildings are briefly summarized.

### SUMMARY

**ACM in Buildings:** Three forms of asbestos are typically found in buildings: (1) sprayed-or troweled-on surfacing materials; (2) insulation on pipes, boilers, and ducts; and (3) miscellaneous forms, such as wallboard, ceiling tiles, and floor tiles. EPA surveys estimate that 31,000 schools and 733,000 public and commercial buildings contain friable (easily crumbled) ACM. Friable ACM and ACM disturbed during maintenance, repair or renovation are of greatest concern from an exposure perspective.

**Levels of Airborne Asbestos in Buildings and Other Settings:** Prevalent levels of airborne asbestos inside buildings with ACM may be 10 to 100 times higher than outdoor levels. However, these indoor levels are typically 10,000 to 100,000 times lower than levels in asbestos industry workplaces where asbestos-related diseases have been well-documented.

**Asbestos-Related Disease:** Most people with asbestos-related diseases (asbestosis, lung cancer, and mesothelioma) were exposed to high levels of asbestos while working in asbestos industries prior to 1972. Extrapolation of the relationship between exposure level and disease indicates that only a small proportion of people exposed to low levels of asbestos will develop asbestos-related diseases. Smokers, children, and young adults are at somewhat greater risk.

**Federal Regulations Regarding Asbestos in Buildings:** Current regulations (1) restrict the use of most asbestos products in new buildings, (2) specify work practices for removal of ACM from buildings, and (3) require the identification of asbestos in schools. There are no exposure standards for nonindustrial settings, and no regulations requiring corrective actions in buildings with ACM.

### 1.1 Asbestos-Containing Materials in Buildings

Asbestos may be found in cement products, acoustical plaster, fireproofing textiles, wallboard, ceiling tiles, vinyl floor tiles, thermal insulation, and other materials.<sup>1</sup> EPA surveys estimate that 31,000 schools and 733,000 federal and commercial buildings have ACM in one form or another (USEPA 1984a, 1984b). ACM has been grouped into three categories: (1) sprayed- or troweled-on materials on ceilings, walls, and other surfaces; (2) insulation on pipes, boilers, tanks, ducts, and other equipment; and (3) other miscellaneous products. (Examples of ACM are shown in Figure 1.) Material in the first two categories can be friable, that is, it can be crumbled, pulverized, or reduced to powder by hand pressure. Most ACM in the third category is nonfriable.

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<sup>1</sup> Descriptions of these and other types of products containing asbestos appear in Appendix A.

Friable materials are more likely than nonfriable materials to release fibers when disturbed or damaged. Although nonfriable ACM is of less immediate concern, it should not be ignored. Fibers will be released if nonfriable material is cut, drilled, sanded, or broken during building repairs or renovation.

## 1.2 Levels of Airborne Asbestos in Buildings and Other Settings

Levels of airborne asbestos in the asbestos industry workplace are substantially higher than levels found outdoors or in buildings with ACM. Figure 2 shows levels measured in the three settings: asbestos insulation plants before the 1972 Occupational Safety and Health Administration (OSHA) exposure standards, schools with ACM, and outdoor urban areas.<sup>2</sup> The range of values in each category reflects differences in location, source of asbestos, and variability in asbestos measurements. Concentrations may exceed the upper limits of these ranges for short periods if, for example, manufacturing equipment malfunctions, insulating material is pierced with a sharp object, or asbestos-coated surfaces are disturbed by the impact of a ball or similar object.

Figure 2 shows that prevalent concentrations of airborne asbestos in a sample of school buildings were approximately 10 to 100 times higher than outdoors. At the same time, asbestos levels in the schools were 10,000 to 100,000 times lower than pre-1972 levels in asbestos insulation workplaces.<sup>3</sup>

## 1.3 Diseases Associated with Exposure to Asbestos

Much of what is known about asbestos-related diseases comes from studying workers in the various asbestos industries. Exposure to levels of airborne asbestos typical of the asbestos workplace prior to 1972 has been linked with a debilitating lung disease called asbestosis; a rare cancer of the chest and abdominal lining called mesothelioma; and cancers of the lung, esophagus, stomach, colon, and other organs. In 1972 federal exposure standards were imposed.

The relationship between exposure level and health risk is complex. The potential for disease appears to be related to the physical and chemical characteristics of asbestos fibers as well as to the concentration of fibers in the air. Data on asbestos workers indicate that the risks of asbestosis, lung cancer, and mesothelioma decrease in direct proportion to a decrease in total asbestos dose. Because there is no direct information on health risks from exposure to asbestos in buildings with ACM, the risks are estimated by extrapolation from studies of asbestos industry workers (Nicholson 1984, NRC 1984, The Royal Commission of Ontario 1984). The estimates indicate that only a small proportion of people exposed to low levels of asbestos will develop asbestos-related diseases. However, combining smoking with occupational exposure to asbestos increases the lung cancer rate above the rate due to either smoking or asbestos exposure alone. Also, asbestos exposure in children is of special concern: since they have a greater remaining lifespan than adults, their lifetime risk of developing mesothelioma is greater. Avoiding unnecessary exposure to asbestos is prudent.

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<sup>2</sup>For comparison, all data are expressed in nanograms per cubic meter (ng/m<sup>3</sup>) units. Concentrations of asbestos fibers in the air are measured in terms of either the number of fibers per unit volume (typically, fibers per cubic centimeter) or the mass per unit volume (typically, ng/m<sup>3</sup>). A nanogram is one-billionth of a gram. See Appendix B for a simple explanation of measurement units used for airborne asbestos concentrations.

<sup>3</sup>The data in Figure 2 should be interpreted with caution. Estimated concentrations in asbestos workplaces are based on measurements of airborne fibers using the method specified by OSHA (phase contrast microscopy), while the levels in schools and outdoors were measured by a different method (transmission electron microscopy). Comparisons of measurements obtained by the two methods are based on certain assumptions (see footnote to Figure 2). Measurement of airborne asbestos fibers is a complex subject and is discussed in more detail in Section 4.1.2.

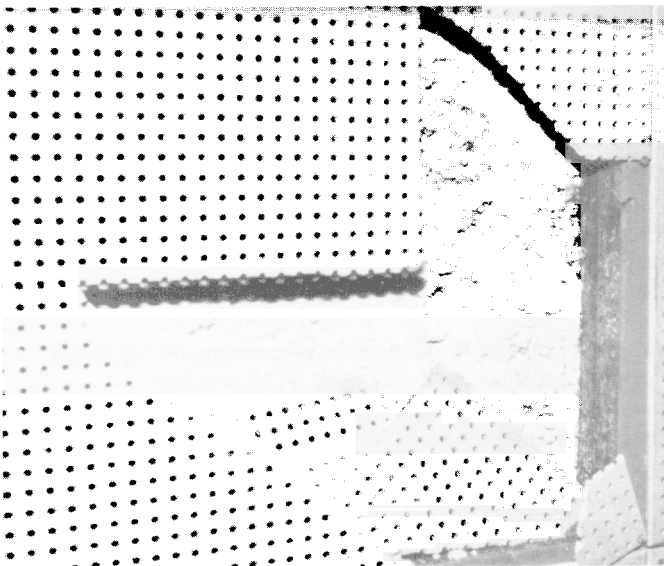




Friable, fluffy sprayed-on material



Friable, cementitious sprayed-on or troweled material (acoustical plaster)



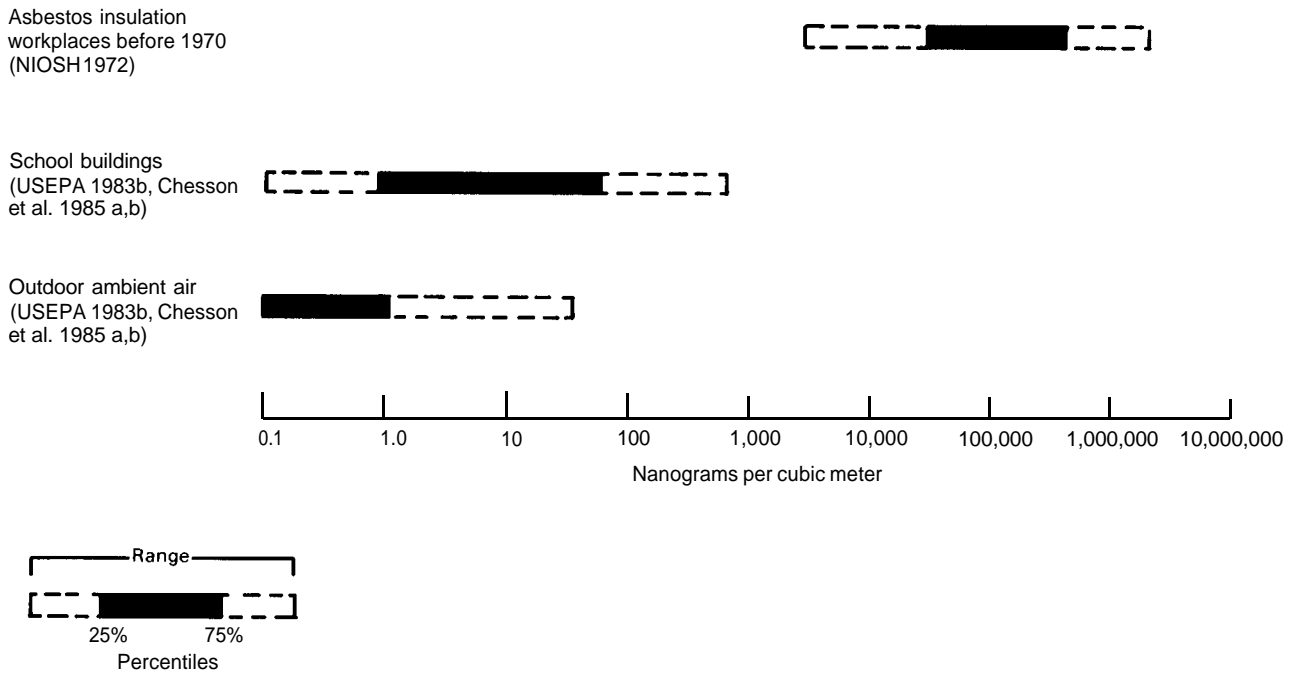
Nonfriable wallboard with friable sprayed-on material behind



Pipe lagging

**Figure 1. Examples of asbestos-containing materials found in buildings.**

**Figure 2. Comparison of measured airborne asbestos concentrations in three settings.\***



\*Levels in asbestos workplaces were derived from measurements using phase contrast microscopy (PCM) while levels in school buildings and outdoors were measured using electron microscopy (EM). PCM and EM measurements are not directly comparable. PCM measures all fibers whereas EM can distinguish between asbestos and nonasbestos fibers. In addition, EM has a better capability than PCM for detecting small fibers. In order to translate the workplace PCM measurements (expressed as fiber counts) into values of asbestos mass (nanograms) that are approximately comparable to EM measurements, 30 fibers were assumed to equal one nanogram. This value is an average obtained from many comparisons of PCM and EM measurements taken at the same location (industrial settings) and time. Values for individual samples range from about 10 fibers per nanogram of asbestos to well over 100 fibers per nanogram, depending on the average size of fibers and the relative number of asbestos and nonasbestos fibers in the air (Versar 1980 and William Nicholson, personal communication, 1982).

## 1.4 Federal Regulations Regarding Asbestos in Buildings

Both EPA and OSHA have published regulations to reduce asbestos exposure. EPA regulations focus on: (1) application and removal of ACM in new or remodeled buildings, and (2) identification of friable asbestos in schools. EPA also regulates the industrial emission of asbestos fibers and the disposal of asbestos waste. OSHA addresses worker protection in the workplace.

The first EPA regulations were issued in 1973 under the National Emission Standards for Hazardous Air Pollutants (NESHAPS), as authorized by the Clean Air Act. The first regulations were directed largely at the asbestos industries, but also partially banned spray-applied ACM in new buildings, and established procedures for handling ACM during demolition. The regulations were revised in 1975 and 1976 to cover building renovations, the use of all types of insulating ACM in new buildings, and asbestos emissions from ACM waste disposal.<sup>4</sup>

Of particular interest to owners of buildings with ACM are the following regulations:

- When a building is demolished — or more than 260 linear ft. of asbestos pipe insulation or 160 sq. ft. of asbestos surfacing material are removed during renovation — advance notice must be filed with the EPA regional office and/or the state, giving:
  - name and address of the building owner or manager;
  - description and location of the building;
  - scheduled starting and completion dates of ACM removal;
  - description of the planned removal methods; and
  - name, address, and location of disposal site.
- ACM can be removed only with wet removal techniques (see Section 5.1). Dry removal is allowed only under special conditions and only with written EPA approval.
- No visible emissions of dust are allowed during removal, transportation, and disposal of ACM. (The wet removal techniques described in Section 5.1 are designed to satisfy this requirement.)

The entire text of the NESHAPS regulations appears in Appendix C. Before beginning any ACM removal or building demolition, the building owner should review the NESHAPS requirements in detail. More information can be obtained from the regional NESHAPS contact. Addresses and telephone numbers of the contacts are found in Appendix D.

The second set of EPA regulations is in the "Friable Asbestos-Containing Materials in Schools; identification and Notification Rule," (40 CFR Part 763)<sup>5</sup> promulgated under the Toxic Substances Control Act. Known as the Asbestos-in-Schools rule, it requires all primary and secondary schools, both public and private, to:

- inspect, sample, and analyze friable materials for asbestos;
- document all findings; and
- inform all school employees and the school's parent-teacher organization (or parents, if there is no organized group) of the location of friable ACM, and provide each custodial worker with a copy of the EPA publication, "A Guide for Reducing Asbestos Exposure," as published in the FEDERAL REGISTER (40 CFR Part 763).

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<sup>4</sup>The complete set of regulations was repromulgated on April 5, 1984.

<sup>5</sup>The deadline for compliance with the Rule was June 28, 1983. A Copy of the Rule is available from EPA. See Appendix E.

The OSHA regulations were first issued in 1972 and modified in 1976. They specify airborne exposure standards for asbestos workers, engineering and administrative controls, workplace practices, and medical surveillance and worker protection requirements. In 1982, OSHA announced its intention to tighten the exposure standards. (See the "Calendar of Federal Regulations," published in the FEDERAL REGISTER [47 FR 1807] .)<sup>6</sup>The OSHA regulations apply to all workplace activities involving asbestos, including removal of ACM from buildings. Future OSHA regulations may include separate exposure standards for ACM removal operations. The complete text of the OSHA regulations appears in Appendix F.

OSHA'S worker exposure standards are inappropriate for nonindustrial settings. First, the standards were set to protect workers only against asbestosis, which does not occur at the lower exposure levels typical of buildings with ACM. Second, the measurement technique that determines OSHA compliance does not distinguish between asbestos and nonasbestos fibers and does not measure the small asbestos fibers typically found in buildings with ACM.

The measurement problem is not a major shortcoming in industrial settings where most airborne fibers are expected to be asbestos. However, only a few fibers in building air are asbestos, and the OSHA measurements may be misleading, (Other limitations of the OSHA technique further confound the measurement of airborne asbestos in buildings. See Section 4.1.2 for a more detailed discussion of measuring airborne asbestos.)

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<sup>6</sup>As of July 1, 1976, the OSHA standards were set at 2 fibers per cubic centimeter averaged over 8 hours and a ceiling level not to exceed 10 fibers per cubic centimeter "at any time." OSHA is now evaluating the effect of lowering the 8-hour standard to either 0.5 or 0.2 fibers per cubic centimeter in order to protect workers against cancer, as published in the FEDERAL REGISTER (47 FR 1807).

## **CHAPTER 2. DETERMINING IF ASBESTOS-CONTAINING MATERIAL (ACM) IS PRESENT IN BUILDINGS**

To determine if ACM is present in a building, examine construction records and conduct a thorough inspection of building materials. If asbestos is not present, no further action is required. If asbestos is found, however, a control program should be initiated. In either case, workers and other building occupants will be concerned. The building owner must be prepared to explain the purpose of the survey, its results, and plans for controlling ACM if it is present.

### **SUMMARY**

**Planning the Survey:** A plan for conducting the ACM survey should include assembling the survey team and gaining cooperation of building management. The plan should also include a public information program.

**Conducting the Survey:** The survey consists of checking building records and inspecting the building for evidence of ACM. Specific procedures differ for the three types of ACM, and may include sampling and analysis of suspect materials.

### **2.1 Planning the Survey**

The survey has four components:

- Reviewing building records for references to asbestos used in construction or repairs;
- Inspecting materials throughout the building to identify those that may contain asbestos;
- Sampling suspect materials for laboratory confirmation that asbestos is present; and
- Mapping the locations of all confirmed or suspected ACM.

Thorough planning is essential because:

- The survey must provide accurate and reliable information;
- Questions from building occupants or the public about the survey and about asbestos in general must be answered quickly and responsively;
- Complete, accurate, unambiguous documentation of the survey and all test results is critical; and
- If ACM is found, the building owner must be prepared to initiate special operations and maintenance (O&M) practices immediately, and to develop other controls to minimize health risks (see Chapter 3).

The importance of a well-planned public communications program cannot be over-emphasized. Asbestos is an exceptionally emotional issue. A building owner must clearly understand the purpose of the survey in order to anticipate and address the concerns of building occupants and the public.

#### **2.1.1 Assembling the Survey Team**

Owners are ultimately responsible for asbestos-related problems in their buildings. The owner should appoint an asbestos program manager to direct all asbestos-related activities. The asbestos program manager

must be able to identify and assess the expertise of the diverse personnel required for an effective team. The asbestos program manager should communicate directly with the building owner. In addition, if ACM is present, the asbestos program manager will oversee the development of the asbestos control program, and provide information to the public. The manager needs to become familiar with the use of ACM in buildings, the potential for building contamination by airborne asbestos, the health risks to building occupants, and options for controlling ACM. The manager needs a general understanding of all the issues in order to review technical tasks and judge whether they are being performed properly. If the building owner has no experienced person on his staff, he should consider hiring a qualified consultant.

The program manager's responsibilities include:

- Implementing a training program for the ACM survey if the survey is to be conducted in-house;
- Selecting a technical advisor to conduct the ACM survey if an outside consultant is needed;
- Selecting a laboratory to analyze samples of material from the building;
- Designing a system to document all information about asbestos in the building; and
- Developing a communications package for discussions with building occupants and others.

If ACM is found, the asbestos program manager should also be prepared to initiate special operations and maintenance (O&M) practices (see Chapter 3), assess the need for other control measures (see Chapters 4 and 5), and oversee abatement projects if additional corrective action is necessary (see Chapter 6). The duties and responsibilities of the manager continue until all ACM is removed from the building.

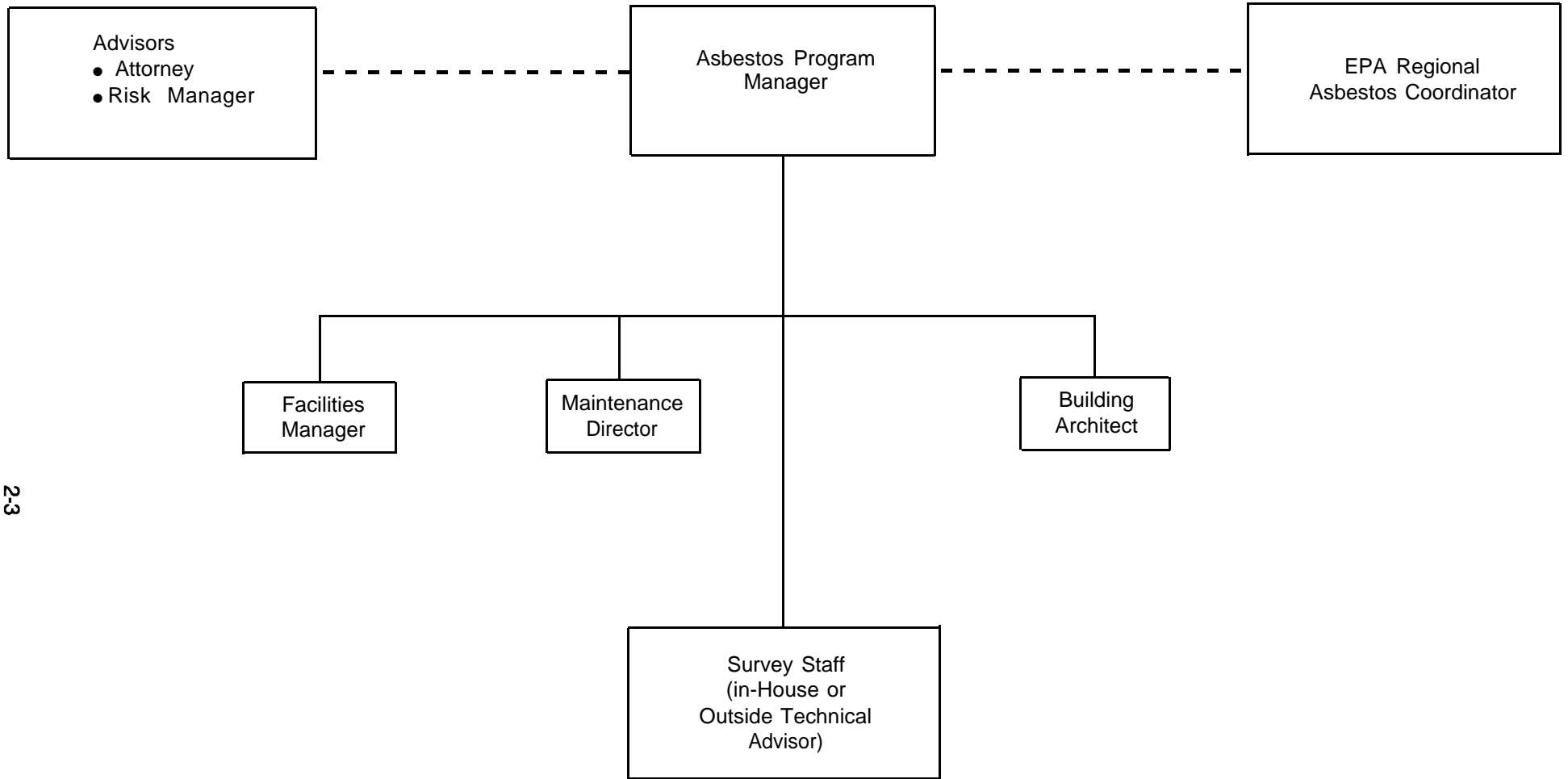
An asbestos survey team should be assembled under the direction of the asbestos program manager. Figure 3 illustrates the organization of the team. The building architect, the facilities (or physical plant) manager, and the head of building maintenance are obvious choices due to their knowledge of building records and facilities. Maintenance and engineering staffs may also be team members since they likely will conduct the survey. If an outside technical advisor is hired to conduct the survey, he or she would be a member of the team. Other team members acting primarily as special advisors would include an attorney and a risk manager (i.e., a person responsible for insurance).

As indicated by Figure 3, the asbestos program manager should seek advice from the EPA Regional Asbestos Coordinator (RAC). (Addresses and telephone numbers for the 10 RACs are listed in Appendix D.) The RAC has information on ACM surveys, technical advisors, consultants, laboratories for analyzing samples of building materials, training programs, and abatement contractors.

The asbestos program manager should attend one of the asbestos control training courses offered by universities and private organizations. Currently, EPA-sponsored programs are offered in conjunction with Georgia Institute of Technology, Kansas University and Tufts University. The RAC is the best source of further information regarding these programs and others which may be available in each Region.

If a technical advisor will be hired to conduct the survey, the asbestos program manager should require evidence of experience and/or training. Examine references, especially those provided by other building owners. Be sure the advisor has a reputation for being thorough. Most survey errors involve overlooking building areas where there could be ACM. Asbestos control advisors include specially trained engineers, architects, and industrial hygienists.

If ACM is found, the technical advisor may assist with the continuation of the control program. In that case, the advisor should also have experience in developing a special O&M program, assessing the need for additional corrective action, and monitoring abatement projects. Additional information on selecting technical advisors is provided in Chapters 3 and 4.



2-3

**Figure 3. Composition of the ACM survey team.**

### **2.1.2 Obtaining Cooperation**

An ACM survey will be successful only if everyone in building management cooperates. Most importantly, the building owner must be convinced that exposure to asbestos is potentially a serious problem, and that a careful survey for ACM is needed. The asbestos program manager and the building owner must have a close working relationship.

Beyond this, cooperation must be obtained from building maintenance, operations, and planning personnel. A survey for ACM can disrupt normal building activities. Occupants will be concerned and curious. The survey team must be prepared to discuss the purpose of the survey in a way that is realistic, yet does not cause undue anxiety. Questions requiring a lengthy response should be referred to the program manager.

## **2.2 Conducting the Survey**

The survey involves a review of building records and an inspection of the building for friable materials. The inspection is the more important component of the survey since building records are often incomplete and unreliable. Whenever the presence of asbestos is in doubt, prudence is recommended: treat the material as if it contains asbestos.

### **2.2.1 General Survey Elements**

Figure 4 illustrates the survey steps. Begin by reviewing building records to see if ACM was specified at any stage. Although building records are often unreliable, they are a useful starting point. Check the original plans, shop drawings, remodeling records, and work change orders. Appendix A is a list of the most common uses and types of ACM in buildings since 1960. If any of these items appears in the records, assume that asbestos is in the building. Identify ACM mentioned in building records by type: (1) troweled-or sprayed-on surfacing material, (2) pipe and boiler insulation, or (3) other miscellaneous ACM.

Next, inspect the building for ACM identified in the building records. Determine if the materials are friable and record the findings. They may be sampled and analyzed to confirm the presence of asbestos. Thoroughly inspect all areas of the building for friable materials and sample them. The specific procedures for inspection and sampling vary depending on which of the three types of material are involved. The sampler of building materials should wear a respirator to prevent inhalation of fibers. (See Section 5.1 for information on respirators.)

### **2.2.2 Procedures for Sprayed- or Troweled-on Surfacing Materials**

Surfacing materials can be friable or nonfriable. Friable forms are either very fibrous and fluffy (sometimes like cotton candy) or granular and cementitious (review Figure 1). Since friable materials are more likely than nonfriable materials to release fibers when disturbed, the first priority is to identify those friable surfacing materials that contain asbestos. As shown in Figure 5, the first step is to locate ACM specified in building records and determine its friability. Then, identify all friable surfacing materials in the building and take samples to be analyzed for asbestos.

#### **2.2.2.1 Surfacing Materials identified as ACM in Building Records**

Begin by locating any acoustical plaster or other surfacing materials that, according to building records, contain asbestos. Rub these materials to see if they crumble or produce a light powder. If so, consider them friable. (When disturbing material that may contain asbestos, the inspector should wear protective equipment.) Either assume that these materials contain asbestos, or sample and analyze them, as discussed below. Record the location and degree of friability.



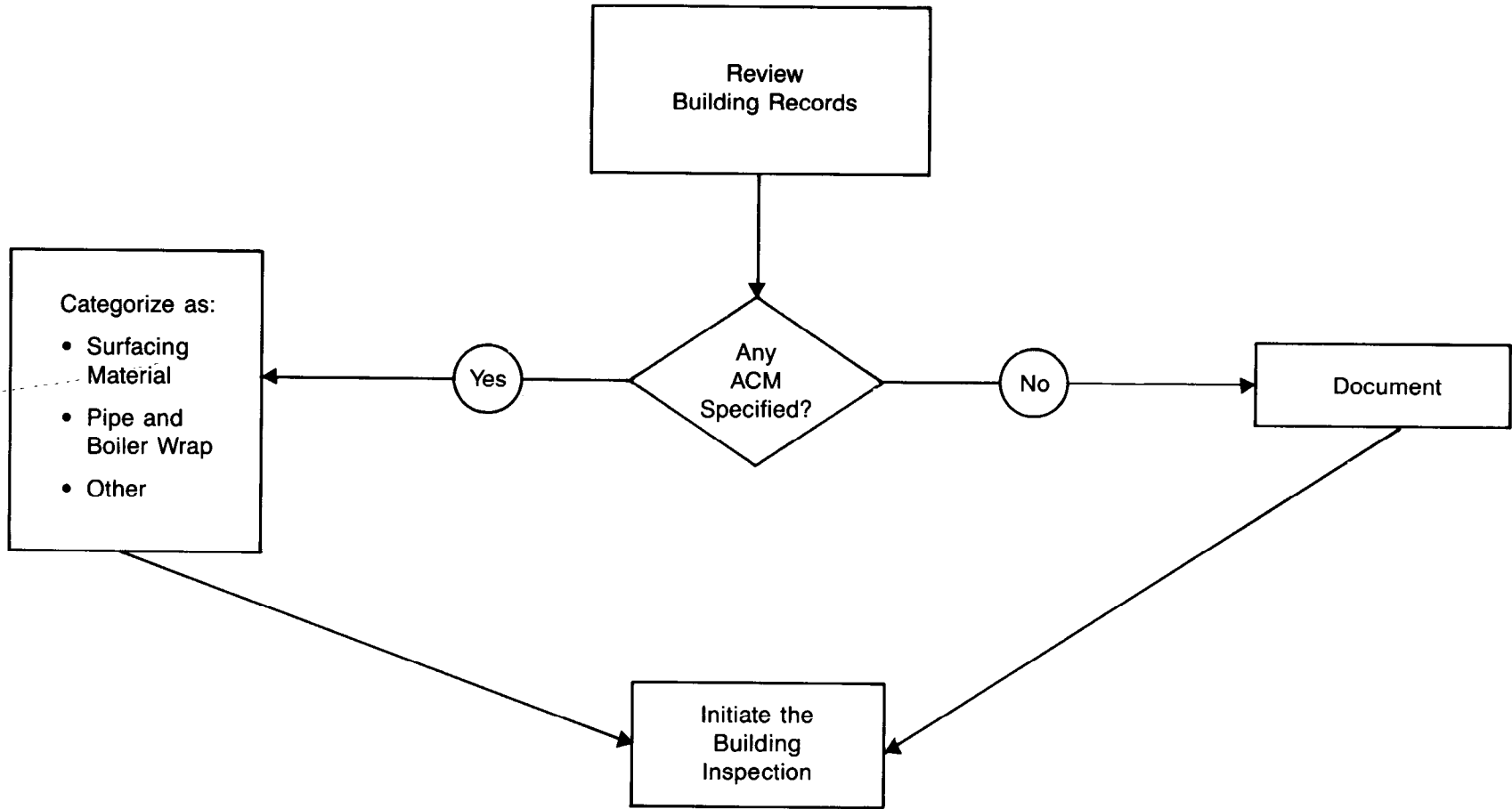


Figure 4. Initial Steps in an ACM Survey.

### 2.2.2.2 Other Surfacing Materials That May Contain Asbestos

Conduct a thorough building inspection for friable materials on walls, ceilings, beams, ducts, and any other surface. Rub the material to see if it is friable. Following Figure 5, group any friable material into “homogeneous” areas for further study.<sup>1</sup> A homogeneous area contains friable material that seems by texture and color to be uniform. If materials appearing uniform were installed at different times, designate the two materials as distinct homogeneous areas.

Once homogeneous areas of friable materials have been delineated and recorded on floor plans, collect samples of the materials and send them to a qualified laboratory. Sampling and analysis should be conducted according to the following guidelines:<sup>2</sup>

- Appoint a coordinator to oversee the entire sampling and analysis operation and quality assurance program. The asbestos program manager or technical expert may assume this role.
- Choose a qualified laboratory to analyze the samples (see Appendix G.2). The approved method of bulk sample analysis for asbestos is polarized light microscopy. In certain cases, X-ray diffraction may be required to confirm the presence of asbestos.
- Collect at least three core samples of material in each homogeneous sampling area. Select sampling locations that are representative of the homogeneous area. (Either select locations evenly distributed throughout the area or choose the locations by a random selection method such as the one described in USEPA 1980a. It is important that three samples not be collected in the same location.) Remember that everyone taking samples should wear a respirator.
- Collect at least 1 quality control (QC) sample per building or 1 QC sample per 20 samples, whichever is larger. A QC sample is taken from the area abutting a regular sample. (The two samples are referred to as “side-by-side samples.”) The QC sample should be analyzed at a second laboratory to confirm the results of the primary laboratory.
- Label all samples with an identifying code and keep a code log. To avoid bias, the laboratory analyst should not know the origin of the samples.
- Asbestos is present if the material analyzed is more than one percent asbestos by weight.

Record the results of the sampling and analysis program and save the records indefinitely. If no asbestos is found in these materials, no further action is necessary for this category of ACM. If asbestos is present, then an asbestos control program should be developed as described in Chapters 3 and 4.

### 2.2.3 Procedures for Pipe and Boiler Insulation

Asbestos-containing insulation is found on equipment containing hot air or liquid — pipes, boilers, tanks, and sometimes ducts. These insulation materials may be a chalky mixture of magnesia and asbestos, preformed fibrous asbestos wrapping, asbestos fiber felt, corrugated paper, or insulating cement. In most cases, the insulating material is covered with a protective jacket of cloth, tape, paper, metal, or cements

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<sup>1</sup>The Asbestos-in-Schools rule allows schools to skip the sampling and analysis steps by assuming that any friable materials found in the building contain asbestos. The location of all friable materials must be documented and all affected parties must be notified whether asbestos is assumed to be present or confirmed by laboratory analysis. Nonfriable materials are not addressed by the Asbestos-in-Schools rule.

<sup>2</sup>Specific procedures for sampling and analyzing friable materials in schools were presented in the Asbestos-in-Schools rule. These procedures are consistent with the guidelines presented here.

<sup>3</sup>ACM sprayed on ducts should be considered surfacing material.

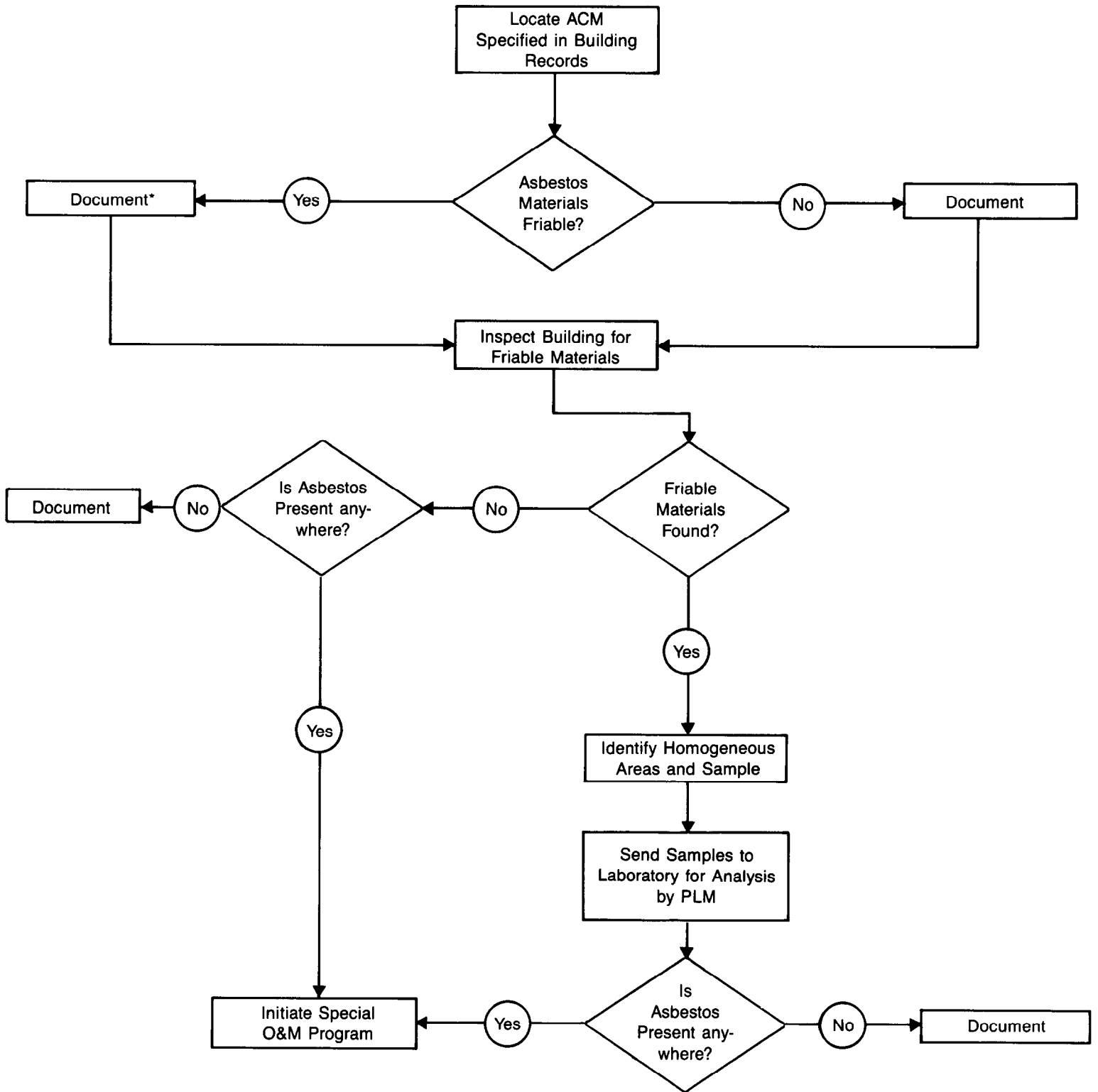


Figure 5. Survey procedures for sprayed- or troweled-on surfacing material.

\* These materials can be sampled and analyzed to confirm that they do contain asbestos, and that a special O&M program is needed.

Boiler insulation may consist of thermal bricks (refractory) or asbestos insulating blankets, and is usually covered with finishing cement. Occasionally, asbestos millboard is used as a stiff outside covering on removable boiler insulation.

Figure 6 outlines how to inspect pipe and boiler insulation. Start in the boiler room and follow air and water distribution systems throughout the building. Building plans should indicate the location of pipes and ducts.

If the insulation is in good condition, leave it undisturbed. Sampling is not recommended in this case: instead, assume that the insulation contains asbestos. An EPA nationwide survey of federal, residential, and commercial buildings revealed that approximately 16 percent (20 percent of those constructed before 1970) contained asbestos pipe or boiler insulation. An exception to this rule is yellow or pink wrapped insulation. The color is usually a clear indication of fibrous glass rather than asbestos material. Even here, however, pipe elbows and joints will likely contain asbestos.

Sample the insulation materials from the damaged or exposed ends or other parts. Procedures for sampling and analyzing insulation materials are similar to those for surfacing materials:

- Identify homogeneous areas (i.e., sections of insulation that appear uniform in color and texture).
- Take samples for each homogeneous area where the insulation is damaged or exposed. Remember, all persons taking samples should wear a respirator.
- Submit samples to a qualified laboratory for analysis (see Appendix G).

As indicated in Figure 6, the presence (assumed or confirmed) or absence (confirmed) of asbestos should be documented in permanent records. If asbestos is present, an asbestos control program should be implemented as described in Chapters 3 and 4. If the presence of asbestos has been assumed, sampling and analysis may be useful for confirmation before any additional corrective action is taken.

#### **2.2.4 Procedures for Other ACM**

Most ACM in this category (e.g., wallboard, ceiling tile, floor tile) is hard and nonfriable, and sampling would damage it and release fibers needlessly. Information on asbestos in these materials comes mainly from building records or building personnel. Document the presence and location of these materials in permanent records, and proceed with an asbestos control program as described in Chapter 3.

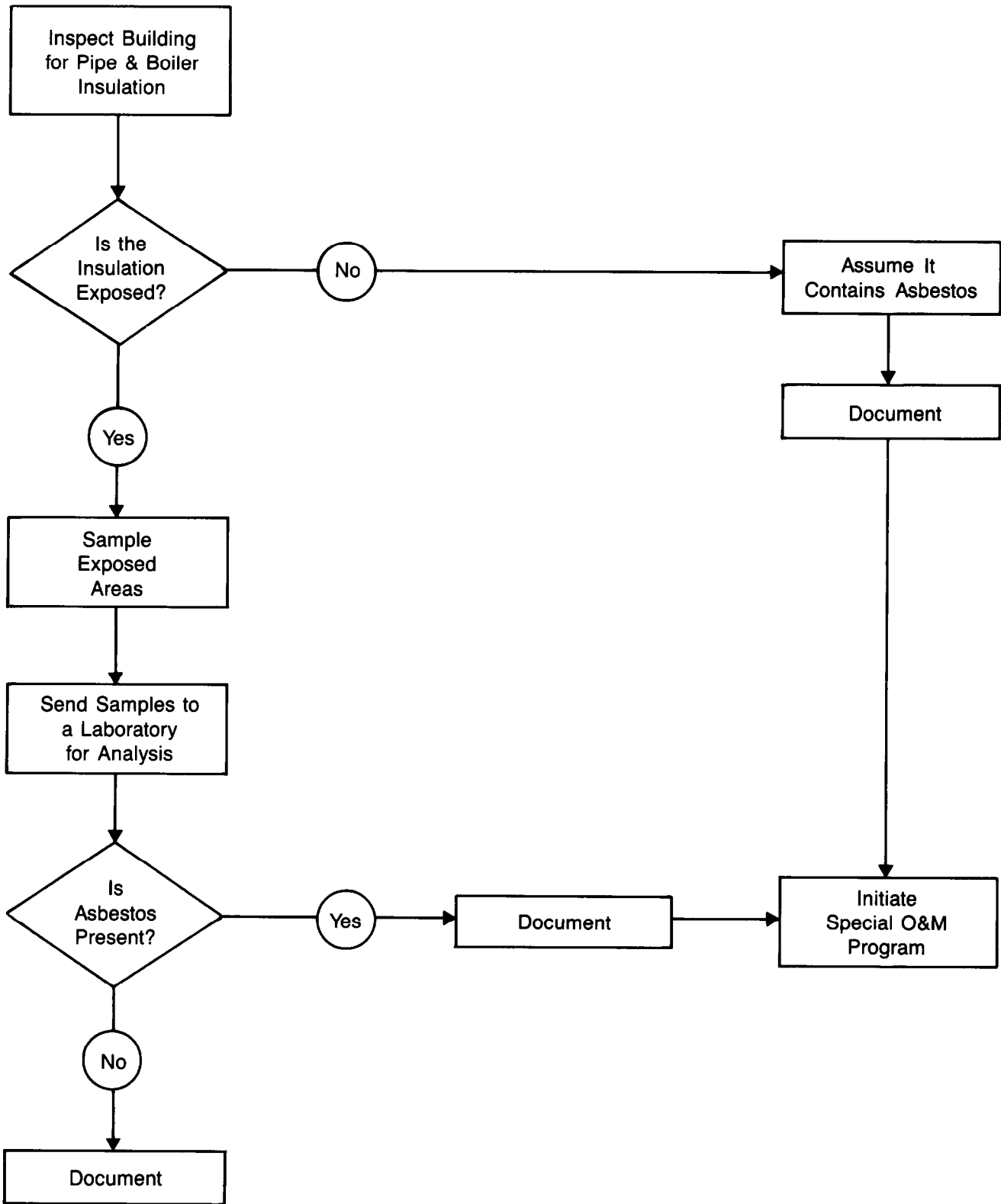


Figure 6. Survey procedures for pipe and boiler insulation.

## CHAPTER 3. ESTABLISHING A SPECIAL OPERATIONS AND MAINTENANCE (O&M) PROGRAM

If ACM is found in a building, a special O&M program should be implemented as soon as possible. An O&M program is recommended for each type of ACM: surfacing material, pipe and boiler insulation, and miscellaneous materials. Although many of the procedures are the same, certain steps vary according to the type of ACM.

### SUMMARY

**Purpose of a Special O&M Program:** The program is designed to (1) clean up asbestos fibers previously released, (2) prevent future release by minimizing ACM disturbance or damage, and (3) monitor the condition of ACM. The program should continue until all ACM is removed or the building is demolished.

**Who Should Participate:** The asbestos program manager, the manager of building maintenance, and the supervisor of the custodial staff are key participants in the O&M program

**Program Elements:** The program should alert workers and building occupants to the location of ACM, train custodial and maintenance personnel in proper cleaning and maintenance, implement initial and periodic cleaning using special methods (for surfacing materials and pipe and boiler insulation only), establish a process that assures ACM is not disturbed during building repairs and renovations, and periodically re-inspect areas with ACM.

### 3.1 The Purpose of a Special O&M Program

The discovery of ACM in buildings raises two concerns: (1) how to clean up asbestos fibers previously released, and (2) how to avoid ACM disturbance or damage. The special O&M program addresses both of these issues, with procedures tailored to each of the three types of ACM.

### 3.2 Who Should Participate

The asbestos program manager develops and implements the special O&M program. He or she may serve as coordinator or delegate that responsibility to the facilities manager or other appropriate employee.

The manager of building maintenance and the custodial staff supervisor are the other key participants. Both must support the program and must generate the same sense of commitment in their staff. A special O&M program will increase cleaning and maintenance work; staff dedication is necessary for an effective program.

Trained building inspectors also participate in all special O&M programs. These inspectors may be the ones who made the initial inspection for ACM. They may or may not be members of the in-house custodial or maintenance staff. In the O&M program, they will be inspecting the condition and other characteristics of the ACM as described in Section 4.1,

### 3.3 Program Elements

Several aspects of a special O&M program are the same for all three types of ACM. For clarity and completeness, these steps are repeated in the description of each program.

### **3.3.1 Special Practices for Sprayed- and Troweled-on Surfacing Materials**

ACM that is sprayed or troweled on ceilings and walls is often the main source of airborne asbestos fibers in the building. Areas covered by ACM tend to be large. If the material is friable, fibers are slowly released as the material ages.

To reduce the level of released fibers and to guard against disturbing or damaging the ACM, the following measures should be taken:

#### **Documentation, Education, and Training**

The O&M program coordinator should:

- Record the exact location of ACM on building documents (plans, specifications, and drawings).
- Inform all building occupants and maintenance and custodial workers about the location of ACM and caution them against disturbing or damaging the ACM (e.g., by hanging plants or mobiles from the ceiling, or pushing furniture against walls). Be sure to give this information to new occupants and employees.
- Require all maintenance and custodial personnel to wear a half-face respirator with disposable cartridge filters or a more substantial respirator (see Section 5.1) during the initial cleaning and whenever they come in contact with ACM.
- Train custodial workers to clean properly and maintenance workers to handle ACM safely. (As noted in Chapter 2, EPA is sponsoring three pilot training programs. Contact the RAC for information on these and other training programs.)

#### **Initial Cleaning**

Custodial staff should:

- Steam-clean all carpets throughout the building or vacuum them with a High Efficiency Particulate Air (HEPA)-filtered vacuum cleaner, but never with a conventional vacuum cleaner. Spray vacuum cleaner bags with water before removal and discard in sealed plastic bags according to EPA regulations for removal and disposal of asbestos (see Section 5.1 and USEPA 1985a). Discard vacuum filters in a similar manner.
- HEPA-vacuum all curtains and books. Discard vacuum bags and filters in sealed plastic bags according to EPA regulations for disposal of asbestos waste.
- Mop all noncarpeted floors with wet mops. Wipe all shelves and other horizontal surfaces with damp cloths. Use a mist spray bottle to keep cloths damp. Discard cloths and mopheads in sealed plastic bags according to EPA regulations for disposal of asbestos waste.

#### **Monthly Cleaning**

Custodial staff should:

- Spray with water any debris found near surfacing ACM and place the debris in plastic bags using a dustpan. Rinse the pan with water in a utility sink. Report presence of debris immediately to the O&M program coordinator.

- HEPA-vacuum all carpets.
- Wet-mop all other floors and wipe all other horizontal surfaces with damp cloths.
- Dispose of all debris, filters, mopheads, and cloths in plastic bags according to EPA regulations for disposal of asbestos waste.

### **Building Maintenance**

The special O&M program coordinator should:

- Ensure that recommended procedures and safety precautions will be followed before authorizing construction and maintenance work involving surfacing ACM (see Section 5.1). Specifically, containment barriers should be erected around the work area and workers should wear coveralls as well as respirators.

Maintenance staff should:

- Clear all construction, renovation, maintenance, or equipment repair work with the O&M program coordinator in advance.
- Avoid patching or repairing any damaged surfacing ACM until the ACM has been assessed by the asbestos program manager.
- Mist filters in a central air ventilation system with water from a spray bottle as the filters are removed. Place the filters in plastic bags and dispose of them according to EPA regulations.

### **Periodic Inspection**

Building inspectors should:

- Inspect all ACM materials for damage or deterioration at least twice a year and report findings to the O&M program coordinator. (See Chapter 4 for detailed information on assessing ACM.)
- Investigate the source of debris found by the custodial staff.

Custodial and maintenance staff should:

- Inform the O&M program coordinator when damage to ACM is observed or when debris is cleaned up.

An illustrated EPA pamphlet, “Asbestos in Buildings—Guidance for Service and Maintenance Personnel” (USEPA 1985a), maybe especially useful in publicizing and initiating the special O&M program. Contact the RAC or call the EPA toll-free line for copies of the pamphlet (see Appendix E for telephone numbers).

The special O&M program should continue until all surfacing ACM is removed. Overtime, the special O&M program may need to be altered if the ACM is enclosed or encapsulated (refer to Section 5.1).

### **3.3.2 Special Practices for Pipe and Boiler Insulation**

Asbestos-containing pipe and boiler insulation typically is a less significant source of airborne asbestos fibers than surfacing ACM. Unless damaged, protective jackets around such insulation prevent fiber release.



Thus, the special O&M program for pipe and boiler insulation focuses on alerting workers to its location, inspecting the protective jacket (and pipe joints or elbows) for damage, and taking precautions prior to building construction activities. The program also includes repair and selected special cleaning practices.

### **Documentation, Education, and Training**

The O&M program coordinator should:

- Record the exact location of asbestos-containing insulation on building documents (plans, specifications, and drawings).
- Inform maintenance and custodial workers about the location of asbestos-containing insulation, and caution them about disturbing it.
- Post signs reading, "Caution — Asbestos." on boilers, tanks, pipes, and ducts with asbestos-containing insulation.
- Require all maintenance and custodial personnel to wear at least a half-face respirator with disposable HEPA cartridge filters (see Section 5.1) during initial cleaning and whenever they come in contact with asbestos-containing insulation.
- Train custodial workers to clean properly and maintenance workers to handle ACM safely. (As noted in Chapter 2, EPA is sponsoring three pilot training programs. Contact the RAC for more information on these and other programs.)

### **Initial Cleaning**

Custodial staff should:

- Clean carpets in rooms containing heating, cooling, air-handling, and similar equipment that has asbestos-containing insulation. Use a HEPA-filtered vacuum cleaner or steam cleaner. Discard filters in sealed plastic bags according to EPA regulations for removal and disposal of asbestos.
- Wet-mop all other floors in rooms with asbestos-containing insulation. Wipe all shelves and other horizontal surfaces with damp cloths. Use a mist spray bottle to keep cloths damp. Discard cloths and mopheads in sealed plastic bags according to EPA regulations for removal and disposal of asbestos.
- HEPA-vacuum all curtains in rooms with asbestos-containing insulation, and discard vacuum filters in sealed plastic bags according to EPA regulations for removal and disposal of asbestos.

### **Semiannual Cleaning**

Custodial staff should:

- Spray with water any debris found near asbestos-containing insulation, and place the debris in a plastic bag using a dustpan. Clean the pan with water in a utility sink. Report presence of debris immediately to the O&M program coordinator.
- HEPA-vacuum all carpets in rooms with asbestos-containing insulation.

- Wet-mop all other floors and dust all other horizontal surfaces with damp cloths in rooms with asbestos-containing insulation.
- Seal all debris, vacuum bags, vacuum filters, cloths, and mopheads in plastic bags for disposal according to EPA regulations for asbestos waste.

## **Maintenance**

The special O&M program coordinator should:

- Ensure that recommended procedures and safety precautions will be followed before authorizing construction and maintenance work involving pipe and boiler insulation (see Section 5.2). Specifically, containment barriers or bags should be positioned around the work area and workers should wear coveralls and respirators. Insulation damaged during construction and maintenance activities should be repaired with non-asbestos mastic, new protective jackets, and/or replacement insulation.
- Authorize repair of minor insulation damage with non-asbestos mastic, new protective jackets, and/or non-asbestos insulation following recommended repair techniques and precautions (see Section 5.2).
- Authorize large-scale abatement only after a complete assessment of the asbestos-containing insulation (see Section 5.2).

The maintenance staff should:

- Clear all construction, renovation, maintenance, or equipment repair work with the O&M program coordinator in advance.
- Avoid patching and repair work on insulation until the ACM has been assessed by the asbestos program manager.

## **Periodic Inspection**

Building inspectors should:

- Inspect all insulation for damage or deterioration at least twice a year and report findings to the O&M program coordinator. (See Chapter 4 for detailed information on assessing ACM.)
- Investigate the source of debris found by the custodial staff.

Custodial and maintenance staff should:

- Inform the O&M program coordinator when damage to the insulation is observed or when debris is cleaned up.

The illustrated EPA pamphlet, “Asbestos in Buildings—Guidance for Service and Maintenance Personnel” (USEPA 1985a), may be useful for the special O&M program for pipe and boiler insulation. The O&M program should continue until all asbestos-containing insulation (including materials on pipe joints and elbows) is removed and replaced with another type of insulation.

### 3.3.3 Special Practices for Other ACM

Most ACM that is neither surfacing material nor pipe and boiler insulation is hard and nonfriable. This type of ACM releases fibers only when manipulated (e.g., cut, drilled, sawed) or damaged. The special O&M program is designed to alert workers to the location of ACM, and to avoid its disturbance or damage.

#### Documentation, Education, and Training

The O&M program coordinator should:

- Record the exact location of these types of ACM on building documents (plans, specifications, and drawings).
- Inform maintenance and custodial workers about the location of ACM and caution them about disturbance or damage.
- Train maintenance workers to handle ACM safely. (As noted in Chapter 2, EPA is sponsoring three pilot training programs. Contact the RAC for information on these and other programs.)

#### Maintenance

The O&M program coordinator should:

- Ensure that recom mended procedures and safety precautions will be followed before authorizing construction or maintenance work involving ACM. Specifically, containment barriers should be erected around the construction and maintenance work area and workers should wear coveralls as well as respirators. All tools should be equipped with HEPA-filtered vacuum devices.

The maintenance staff should:

- Clear all construction, renovation, maintenance, or equipment repair work with the O&M program coordinator in advance.
- Avoid removing, sanding, or stripping floor tiles containing asbestos. If tiles are removed, do not sand asbestos backing material remaining on the floor.

#### Periodic Inspection

Building inspectors should:

- Inspect all ACM for damage or deterioration at least twice a year, and report findings to the O&M program coordinator.

Custodial and maintenance staff should:

- Report any ACM damage to the O&M program coordinator.

The special O&M program for miscellaneous ACM should continue until all ACM is removed,

## CHAPTER 4. ASBESTOS CONTROL BEYOND SPECIAL OPERATIONS AND MAINTENANCE

If a building contains ACM, implementing a special O&M program will remove asbestos fibers and limit further fiber release. Once the program is operational, the need for additional asbestos control or abatement should be considered. Three questions need to be answered:

- Is abatement necessary?
- When should abatement be done?
- What abatement method should be used?

In some situations, assessing the need for abatement is a straightforward process. Badly damaged ACM in public areas should be removed immediately. ACM in good condition with virtually no chance of being disturbed except under controlled conditions (e.g., during scheduled repairs) requires no additional action, at least not immediately. (An example of the latter is tightly bound, undamaged ACM insulation wrapped around heating or water pipes.) Deciding how to control ACM is complicated; assessment requires simultaneous consideration of the type and condition of the material, timing and alternative abatement methods, as well as constraints that are specific to individual buildings.

This chapter contains an approach to assessing the need for abatement, determining its timing, and choosing an abatement method. Factors used in the decision-making process are introduced and discussed. The three types of ACM — surface material, pipe and boiler insulation and miscellaneous products — are treated separately. Constraints that affect individual owners or buildings are also discussed,

### SUMMARY

#### **Assessment Information:**

- The likelihood of fiber release from ACM is based on evaluating its current condition and the potential for future disturbance, damage, or erosion.
- Air monitoring alone should not be used for assessment.

#### **The Assessment Process:**

The likelihood of fiber release from ACM determines the need for and timing of additional action. The nature and location of the material determines the abatement method.

#### **● Surfacing Materials**

**Need:** Surfacing material in good condition and with a low potential for future disturbance, damage, or erosion may need no further action.

**Timing:** ACM in poor condition should be dealt with first. If ACM is in good condition but has a high potential for future fiber release, abatement can be scheduled with building renovation or maintenance.

**Method:** **Removing the** ACM is the only permanent solution. Enclosure and encapsulation are temporary solutions to be implemented in special circumstances.

- **Pipe and Boiler Insulation**

**Need:** If the insulation is intact, no further action is needed.

**Timing:** Damaged insulation should be repaired or replaced as soon as possible.

**Method:** Removal is appropriate where the insulation is extensively damaged or deteriorated  
Repair is appropriate where the insulation has minor damage.

- **Other Types of ACM**

A special O&M program is usually all that is needed.

**Further Considerations in Selecting an Abatement Schedule:**

- If an abatement project is not urgent, it will be less costly if combined with building repair, renovation, or expansion, or with scheduled maintenance to equipment and building systems.
- Other factors that may influence the timing of abatement include:
  - The pattern of normal building operations;
  - The building owner's legal liability;
  - Pressures from building occupants and the public; and
  - Expected useful life of the building.

#### **4.1 Assessment Information**

The need for asbestos control beyond a special O&M program depends on the likelihood of fiber release from ACM. The possibility of fiber release should be assessed by evaluating the material's condition, physical characteristics, and location. Another approach is to measure the current levels of asbestos in the air. As explained below, however, assessment by air monitoring alone is not recommended because it reflects conditions only at the time of sampling. In addition, air monitoring is technically difficult and expensive.

##### **4.1.1 Potential Fiber Release**

Factors for assessing fiber release potential are listed in Table 1. (Figures 7 and 8 illustrate some of these factors.) The first set of factors focuses on the current condition of ACM. If water or physical damage, deterioration, or delamination of the material is evident, then fiber release has occurred, is occurring, or is likely to occur. The appearance of the material and the presence of broken or crumbled material on horizontal surfaces indicate fiber release.

Factors under the second heading in Table 1 reflect potential fiber release due to disturbance or erosion. Visible, highly accessible materials in areas frequently used or needing periodic maintenance are most vulnerable to physical damage. Also in this category are materials subject to vibration from mechanical equipment, sound, or athletic activities — for example, materials near a gymnasium or band room, or in buildings near an airport or highway. ACM in an air plenum or near a forced airstream (e.g., air from a heating vent) is likely to suffer surface erosion. In addition, fibers released into an airstream may be transported to other parts of the building, possibly exposing more people. Any planned changes in building use should also be considered when assessing potential fiber release.

**Table 1. Factors for Assessing Potential Fiber Release  
(See Appendix H for more detail.)**

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**Current Condition of ACM**

- Evidence of deterioration or delamination from the underlying surface (substrate)
- . Evidence of physical damage (e.g., presence of debris)
- . Evidence of water damage

**Potential for Future Disturbance, Damage, or Erosion of ACM**

- Proximity to air plenum or direct airstream
  - Visibility, accessibility (to building occupants and maintenance personnel), and degree of activity (air movement, vibration, movement of building occupants)
  - Change in building use
- 

The factors in Table 1 are fully described in Appendix H. The descriptions should assist the evaluator in assessing ACM at individual sites.

A simple “present” or “absent,” “high” or “low” rating should be used for each factor. More elaborate rating schemes have been tried. For example, factors have been assigned numerical scores and, using mathematical formulas, the scores have been combined into indices to reflect potential exposure.<sup>1</sup> These “exposure indices” have met with mixed success. In tests, several indices showed wide variation from one rater to the next and often did not indicate current, elevated airborne asbestos levels (e.g., USEPA 1983b). Assigning numerical ratings to assessment factors and combining them into a single score cannot be recommended. However, the factors are useful when they are scored with a simple, nonnumerical rating scheme.

**4.1.2 Air Monitoring**

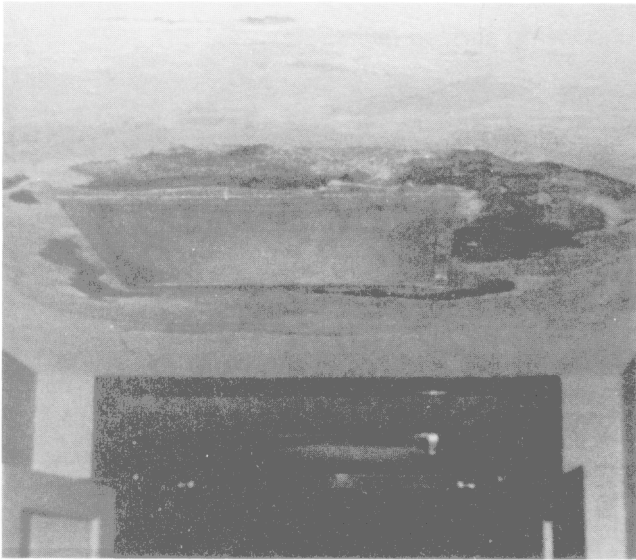
Another way to assess asbestos fiber release is to measure asbestos fibers in the air. This approach is appealing because it quantitatively measures airborne asbestos contamination. However, it measures only current conditions and provides no information about fiber release potential and future air levels. Moreover, implementing an effective monitoring program to measure current levels of airborne asbestos is difficult and can be expensive.

One proposed method for measuring airborne asbestos in buildings was developed by the National Institute for Occupational Safety and Health (NIOSH) in connection with the OSHA asbestos exposure standard for workplace settings. This method uses phase contrast microscopy (PCM), which may be effective for industrial measurements where most airborne fibers are asbestos, but is less useful in settings with much lower asbestos levels. PCM is not sensitive to fibers with diameters less than 0.2 micrometers.<sup>2</sup> In addition, the NIOSH method excludes fibers shorter than 5 micrometers and does not distinguish between

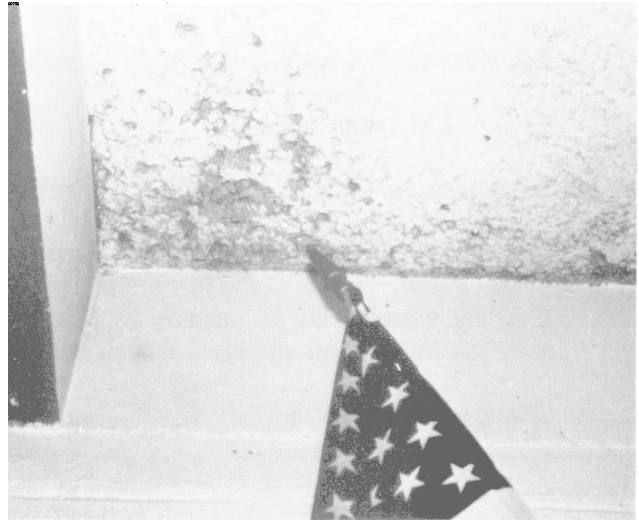
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<sup>1</sup>See, for example, Lory 1980, Pinchin 1982, and USEPA 1979.

<sup>2</sup>A micrometer is one-millionth of a meter. See Appendix B for a simple discussion of measurement units used to describe and measure asbestos fibers.



Water damage



Physical damage to ceiling material from a flagpole

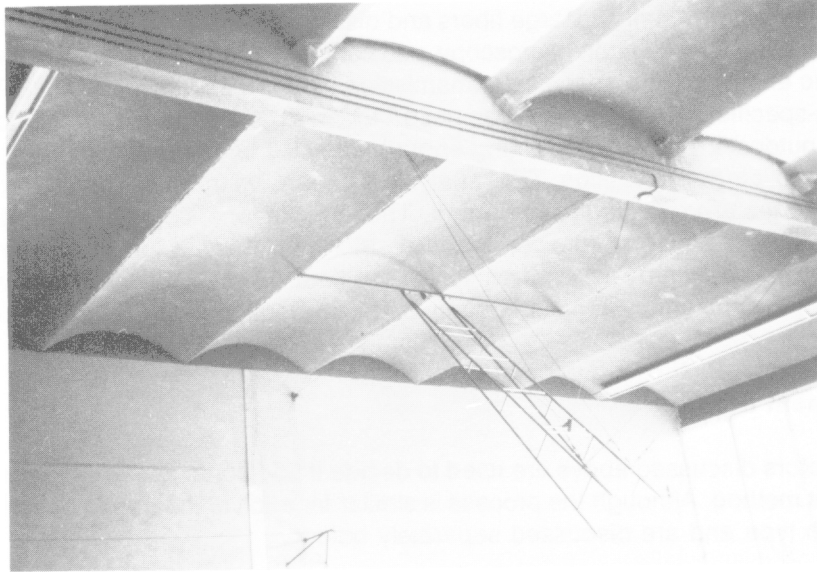


Airstream erosion from a heating vent



High activity level near friable asbestos

**Figure 7. Example assessment characteristics of asbestos-containing materials.**



Ceiling of a gymnasium in an elementary school  
(no basketball marks)



Ceiling of a gymnasium in a high school showing evidence of  
damage from basketballs thrown by students

**Figure 8. An example of the effect of a change in building use.**



asbestos and non-asbestos fibers. Many airborne fibers in buildings with ACM are likely to be thinner and shorter than these limits (Chatfield 1983 and NRC 1984), and are likely to include fibers from carpets, clothing, hair, paper, books, and many other sources. As a result, PCM analyses of air inside these buildings could be seriously misleading.

Other methods measure both small and large fibers and distinguish asbestos from non-asbestos materials. Those methods count fibers by electron microscopy, and confirm that the fibers are asbestos with chemical and crystallographic analyses. The analytical transmission electron microscope (TEM)<sup>3</sup> is the most sensitive and asbestos-specific instrument. EPA has used TEM in experiments to establish baseline asbestos levels indoors and outdoors. However, obtaining enough samples to estimate prevalent airborne levels is difficult in occupied buildings. In addition, TEM analysis is expensive (ranging from \$200 to \$600 per sample) and few laboratories are qualified to perform it. These limitations, combined with the inability of air monitoring to provide information on future conditions, restrict its usefulness for assessment. EPA, therefore, does not recommend it as a primary assessment tool at this time. (Air monitoring does have a role, however, in determining when an abatement project is complete. See Section 6.4.)

## **4.2 The Assessment Process**

The assessment factors discussed above are used to decide if additional asbestos control is needed and, if so, when and what method. Although the process is similar for each of the three types of ACM, the details are specific to each type and are discussed separately below.

### **4.2.1 Sprayed- and Troweled-on Surfacing Materials**

#### **4.2.1.1 Need**

Use the factors described in 4.1.1 to determine the current condition of the ACM and the potential for future disturbance, damage, or erosion. Table 2 shows how these two considerations influence the decision regarding action beyond a special O&M program. Surfacing material in good condition may need no further action if potential for future disturbance, damage, or erosion is low. The material must be inspected regularly (see Section 3.3.1) to assure that it remains in good condition. Further action is needed if the material is damaged or in poor condition, or if there is high potential for future disturbance or erosion.

#### **4.2,1.2 Timing**

When further action is necessary, its timing must be carefully considered. A well-planned and executed abatement program is needed to ensure that the abatement activity itself does not create a hazard. If the ACM is currently in good condition, but the potential for future fiber release is high, scheduling of asbestos abatement can take advantage of other building plans. For example, renovation work, which requires precautions to control fiber release, provides an opportunity to remove, encapsulate, or enclose ACM. There are no set rules to determine the timing of asbestos abatement, since circumstances vary from building to building. Table 2 provides a guide.

As one moves through the table from left to right (from good to poor condition) and from top to bottom (from low to high potential for disturbance, damage, or erosion), the need for immediate action increases. Material in poor condition should be dealt with first. Materials that are in better condition or have a low potential for disturbance or erosion have a lower priority.

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<sup>3</sup>A provisional method for TEM measurement of asbestos has been developed by EPA (USEPA 1977).

**Table 2. Assessment Table for Surfacing Materials**

<b>Potential for Future Damage, Disturbance, or Erosion</b>	<b>Current Condition of ACM</b>		
	<b>Good*</b>	<b>Minor Damage or Deterioration</b>	<b>Poor</b>
Low	No Further Action Now Beyond Special O & M Program	Selective or Complete Removal as Soon as Possible	Removal as Soon as Possible
High †	Removal, Enclosure, Encapsulation Integrated with Other Building Activities		

\* Good condition means no water damage, physical damage, or deterioration.

† High potential means that ACM is exposed or accessible, in an air plenum or airstream, or subject to vibration

4-7

**Table 3. Comparison of Asbestos Abatement Methods for Surfacing Materials**

Method	Advantages	Disadvantages	Appropriate applications	Inappropriate applications	General comments
Removal	<p>Eliminates asbestos source</p> <p>Eliminates need for special operations and maintenance program</p>	<p>Replacement with substitute material may be necessary</p> <p>Porous surfaces also may require encapsulation</p> <p>Improper removal may raise fiber levels</p>	<p>Can be used in most situations</p>		<p>Containment barriers needed</p> <p>Worker protection required</p> <p>Wet removal is required for all types of asbestos, (amosite will not absorb water or water with traditional wetting agents)</p> <p>Disposal may be a problem in some areas</p> <p>Unusual circumstances, complex surfaces, and the presence of utilities may require special removal techniques</p>
Enclosure	<p>Reduces exposure in area outside enclosure</p> <p>Initial costs may be lower than for removal unless utilities need relocating or major changes</p> <p>Usually does not require replacement of material</p>	<p>Asbestos source remains and must be removed eventually</p> <p>Fiber release continues behind enclosure</p> <p>Special operations program required to control access to enclosure for maintenance and renovation</p> <p>Periodic reinspection required to check for damage</p> <p>Repair of damaged enclosure necessary</p> <p>Fibers released in dry form during construction of enclosure</p> <p>Long-term coats could be higher than for removal</p>	<p>ACM is located in a small area (e. g., a column)</p> <p>Disturbance or entry into enclosed area unlikely</p>	<p>Damaged or deteriorating materials causing rapid fiber release</p> <p>Water damage evident</p> <p>Damage or entry into enclosure likely</p> <p>Ceiling to be enclosed is low</p>	<p>Containment barriers needed</p> <p>Use of tools with HEPA-filtered vacuum attachments advisable</p> <p>Worker protection needed</p>

**Table 3. (continued)**

Method	Advantages	Disadvantages	Appropriate applications	Inappropriate applications	General comments
Encapsulation	<p>Reduces asbestos fiber release from material</p> <p>Initial costs may be lower than for removal</p> <p>Does not require replacement of material</p>	<p>Asbestos source remains and must be removed later</p> <p>If material is not in good condition, sealant may cause material to delaminate</p> <p>Periodic reinspection required to check for damage or deterioration</p> <p>Repair of damaged or deteriorating encapsulated surface required</p> <p>Encapsulated surface is difficult to remove and may require dry techniques for eventual removal</p> <p>Long-term costs may be higher than removal</p>	<p>Material still retains bonding Integrity</p> <p>Damage to material not likely</p> <p>Material not highly accessible</p> <p>Material granular, cementitious</p> <p>After removal of ACM, if the substrate is porous</p>	<p>Material does not adhere well to substrate</p> <p>Material is deteriorating or damaged, or damage is likely</p> <p>Water damage is evident</p> <p>Material is fibrous, fluffy</p>	<p>Containment barriers needed</p> <p>Worker protection needed</p> <p>Airless sprayers should be used</p> <p>Previously encapsulated materials may have to be re-encapsulated</p>

### **4.2.1.3 Method**

The choice of abatement method is determined mainly by the condition of the ACM. Surfacing materials can be removed, encapsulated with sealant, or enclosed within an airtight structure. The three methods are summarized in Table 3 and described in more detail in Section 5.1. Worker protection and the construction of sealed containment barriers around the work site are required for all three methods.

Removal has the widest applicability. It also is the only truly permanent solution, since no building containing asbestos can be demolished without first removing the ACM. If ACM has only minor, isolated damage, removal of selected areas may be sufficient.

Enclosure and encapsulation have limited application. Enclosure is restricted to situations where ACM can be isolated in small localized areas. Encapsulation can be used only for acoustical plaster in good condition. In addition, the special O&M program must be continued and the enclosed or encapsulated materials reinspected periodically (at least monthly) until the ACM is removed or the building is demolished. Encapsulation may make eventual removal more difficult and costly, since encapsulated ACM may have to be removed in dry form.

Initial cost of removal may be higher than for other abatement methods. However, removal may be less expensive over the long term, since the continued presence of ACM requires special O&M practices, periodic reinspection, and repairs. Enclosure and encapsulation are options only when the ACM is in good condition. These are primarily temporary measures to reduce the potential for future disturbance or erosion until the ACM is eventually removed.

## **4.2.2 Pipe and Boiler Insulation**

### **4.2.2.1 Need**

Pipe and boiler insulation typically presents a more localized fiber release problem than surfacing material. The insulated pipes, boilers, ducts, and other equipment are frequently confined to equipment rooms or placed within walls or behind ceilings. Even accessible insulation is localized to the piece of insulated equipment. Thus, the potential for disturbance, damage, or erosion is lower than for surfacing material; frequent inspection can spot any new disturbance and the damage can be repaired quickly. The condition of the ACM determines the need for further action (see Table 4). If the insulation is intact, no further action is needed.

### **4.2.2.2 Timing**

Damaged insulation should be repaired or replaced as soon as possible. Major renovation, such as installation of a new boiler, is a good time to consider replacing pipe and boiler insulation with non-asbestos material. This will eliminate the need for a continuing special O&M program.

### **4.2.2.3 Method**

Removal is appropriate where the insulation is extensively damaged or deteriorated. It involves cutting and stripping the insulation from pipes (or other equipment) and substituting non-asbestos material. Plaster around elbows, valves, and flanges should also be replaced with non-asbestos materials. Removal of pipe and boiler insulation requires many of the same precautions and worker protection measures for removal of surfacing materials.

**Table 4. Assessment Table for Pipe and Boiler Insulation**

<b>Potential for Future Damage, Disturbance, or Erosion</b>	<b>Current Condition of ACM</b>		
	<b>Good*</b>	<b>Minor Damage or Deterioration</b>	<b>Poor</b>
Low	No Further Action Beyond a Special O&M Program	Patching or a New Jacket as Soon as Possible	Removal and Replacement as Soon as Possible
High †	Removal Integrated With Other Building Activities	Patching or a New Jacket as Soon as Possible. Removal Integrated with Other Building Activities	

\* Good condition means that the wrapping is intact and there is no water damage, physical damage, or deterioration.

† High potential means the ACM is exposed or accessible, or in an air plenum.

Repair is appropriate where the insulation has minor damage. Repair involves patching the damaged insulation or covering it with a new jacket. A more complete description of insulation removal and repair appears in Section 5.2.

#### **4.2.3 Other Types of ACM**

A special O&M program is usually all that is needed for other types of ACM, since most ACM in this category is hard and nonfriable and should not readily release asbestos fibers. The only time abatement (primarily removal) should be considered is when it becomes necessary as part of building renovation or maintenance. The procedures in Section 5.3. should then be followed.

#### **4.3 Further Considerations in Selecting a Schedule for Abatement**

Significant cost savings may be realized by coordinating asbestos abatement with other building activities. For example, when a commercial tenant moves, the space will be temporarily unoccupied and the new tenant may request changes such as moving walls and doors, installing dropped ceilings, or building rooms for specialized equipment. ACM will be disturbed by the renovation work, necessitating precautions to control fiber release. This investment in worker protection and site containment creates an opportunity to enclose, encapsulate, or remove ACM. On the other hand, when ACM needs immediate action, abatement may be a cost-effective time to improve building systems — for example, by upgrading electrical systems, repairing vents and ducts, or installing low-energy lights.

Other considerations in scheduling asbestos abatement include the pattern of normal building operations, the long-term legal liability of the building owner, pressures brought by concerned parties, and the expected useful life of the building.

- The pattern of normal building operations. Extensive abatement activities will disrupt building operations. When possible, abatement should coincide with vacations or other periods of low activity.
- The long-term legal liability of the building owner. Some owners choose to remove all ACM as quickly as possible to reduce their liability. However, liability may actually increase if removal is performed by untrained or inexperienced contractors or without proper precautions.
- Pressures brought by workers, building occupants, and other affected parties. Building occupants and the public may have legitimate concerns about possible health risks. However, fear of asbestos often motivates them to call for action before the problem has been properly assessed. The public information program developed with the building survey should include descriptions of the assessment, its results, and a justification of the decision. This is especially important if abatement is not carried out immediately or if no further action will be taken.
- Expected useful life of the building. The comparative costs of removal versus a continuing special O&M program will depend on the expected life of the building.

## CHAPTER 5. ABATEMENT METHODS: CHARACTERISTICS AND RECOMMENDED WORK PRACTICES

This chapter provides more detailed information on the advantages, disadvantages, applicability, and relative costs of alternative abatement methods. Information is also given on recommended work practices for worker protection, work site containment, and project surveillance. This information is provided to help building owners determine which method is most appropriate for their situation and what the abatement effort will involve. It is not intended as an instruction manual for abatement.

### 5.1 Abatement Methods for Sprayed- or Troweled-on Surfacing Material

Each abatement method (removal, enclosure or encapsulation) is a separate and distinct alternative. They share, however, several features. The first is the need to conduct a more detailed inspection of both the ACM to be treated and the underlying surface. Each separate, homogeneous area of ACM, usually a single room, hallway, or central space, should be reinspected. (Remember that seemingly homogeneous areas can be composed of different materials and thus require separate inspections.) It is especially important to inspect for hidden material above a suspended ceiling with lay-in panels (see Figure 9). A second round of material sampling in selected areas may help to confirm the presence of asbestos and better delineate areas needing further corrective action.

The following information should be collected on each area with ACM:

- size of the area, since this affects the cost of abatement;
- type of ceiling construction if the ceiling is coated (for example, concrete joist and beam, concrete waffle slab, steel beam or bar joist, suspended metal lath, suspended lay-in panels, tile, metal, corrugated steel), since different construction types present different control problems;
- ceiling height, which may determine the practicality of enclosing the material;
- type of wall (for example, smooth or rough concrete, block or brick, plasterboard), which may indicate whether an encapsulant is needed if material is removed;
- average thickness of ACM (and variation in thickness), since encapsulant should not be applied to thick material.

A form for collecting the necessary information was developed by EPA's Region VII Office and is included in Appendix I for illustrative purposes.

The second common feature of the three abatement methods is the need for worker protection during abatement activities. Worker protection entails not only proper training and specified work practices, but also protective equipment (special coveralls and respirators) for the workers. The OSHA standards specify three different respirators depending on the expected concentration of fibers in the work area: (1) a full- or half-face mask with either a single-use or replaceable filter, (2) a full-face mask with replaceable filter and a pump to assist breathing, and (3) a full-face mask with a self-contained or remote air supply.

NIOSH now recommends that the first type of respirator with a single-use filter not be used, because these filters have not been tested for effectiveness specifically against asbestos fibers and because they are difficult to seal properly around the face.<sup>1</sup> Supplied air (type "C") units offer the most protection. Respirators

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<sup>1</sup> A letter setting forth NIOSH's concerns about these respirators was sent to respirator manufacturers on August 25, 1980. A copy of this letter appeared in the December 1980 issue of the *Journal of the American Industrial Hygiene Association*. An industrial hygienist or other appropriately trained professional should assist workers in fitting any type of respirators.





**Figure 9. Asbestos-containing material located above a suspended ceiling.**

are required for removal of ACM and are highly recommended for other abatement methods, since enclosure and encapsulation may also elevate fiber levels.

The third common feature is proper work area containment. Containment typically means construction of barriers with 6 mil polyethylene plastic sheets joined with folded seams, and with sealing tape at the seams and boundaries. Some contractors have had problems attaching plastic sheets to walls. Thinner sheets or a better attachment system (for example, stapling and taping sheets to furring strips fastened to walls) may be required. (Figure 10 shows the construction of a typical containment system. Note that respirators should be worn if the ACM will be disturbed during construction.) Air locks and worker decontamination facilities with showers are recommended, as well as negative air pressure systems, described in Section 5.1.1 below.<sup>2</sup> All return air vents should be sealed to prevent asbestos contamination of the air-handling system. Without such containment measures, increased exposure for building occupants is likely. Once abatement begins, everyone not participating in the project should be kept out of the area.

The fourth common feature is the need for a rigorous postabatement cleanup. This includes wet-mopping or HEPA-vacuuming all horizontal and vertical surfaces in the work area. (Wet mopheads and cloths should be discarded in sealed plastic bags and treated as asbestos-contaminated waste.) Cleaning of surfaces outside the work area is highly recommended. Two cleanings—the second after at least 24 hours when suspended fibers have settled—will provide better assurance of fiber reduction than a single cleaning. (Section 6.4 contains additional information on cleaning and inspecting the work site.)

### 5.1.1 Removal, Disposal, and Replacement

Figure 11 is a photograph of a typical removal project. When removing ACM, observe the following requirements:

- The material must first be treated with a solution of water and a wetting agent to reduce fiber release. Some types of amosite-containing materials will not absorb either water or water combined with the wetting agent suggested by EPA (50% polyoxyethylene ester and 50% polyoxyethylene ether). Other wetting agents should be tested on the material for absorption. If the material will not absorb the wetting agent, undertake a dry removal using Type C respiratory protection. EPA must approve all dry removal operations. Get in touch with the NESHAPS contact in your region (see Appendix D).
- Friable ACM must be disposed of in “leak-tight containers,” typically 6 mil polyethylene bags. Bags can be placed in 55-gallon drums for additional protection. Bags or drums must be labeled, as specified by NESHAPS (see Appendix C) or OSHA (see Appendix F).
- OSHA procedures for worker protection and decontamination, as well as for measurement of airborne asbestos, must be strictly followed (see Appendix F). While not required by law, EPA procedures for work area containment should also be followed to assure safe removal.

Research on asbestos removal plus EPA’s experience with removal activities in schools since 1979 have pointed up several other important issues:

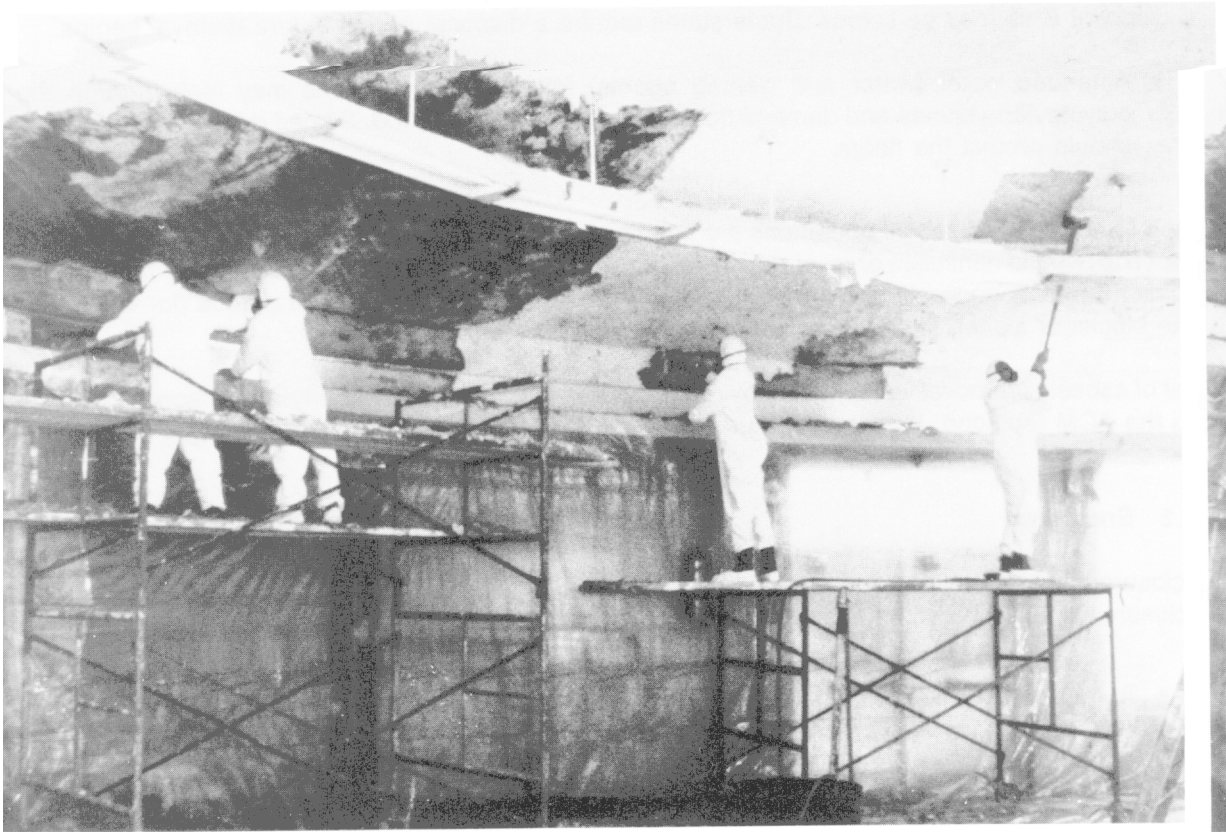
- A tear in the containment barrier is a significant exposure hazard for building occupants and should be repaired immediately. The use of negative pressure systems together with HEPA filtration (that is, low speed exhaust fans with HEPA filters) to move air from within the work area

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<sup>2</sup>OSHA decontamination requirements specify worker change rooms as a minimum provision for asbestos removal projects. If negative air systems are used, “air locks” should not be airtight. That is, make-up air should pass through the air lock. See Appendix J for additional information.



**Figure 10. Construction of containment barriers.**



**Figure 11. An asbestos removal project.**

to outside the building will provide added protection in case of an accident. Appendix J summarizes specifications for negative air systems.

- When containment barriers are dismantled after ACM removal, the sealing tape used to attach the plastic sheets to walls and ceilings frequently removes paint. It is prudent to include the cost of repainting all walls (and ceilings, if appropriate) in estimates of asbestos removal costs.
- Asbestos waste is sometimes spilled both in and outside the work area. Containers full of wet material are very heavy and hard to transport. These containers must be sealed and handled carefully. Workers should continue to wear protective equipment during these operations. Also, disposal sites may be scarce. Some states require a disposal permit before removal begins.
- Amended water (water and wetting agents) from spray operations may leak through the polyethylene sheets and damage floors, especially tile and wood. Sealed double plastic sheets should protect the floors.

When removal and disposal operations are finished, evaluate the need for a sealant on the exposed surface. (The need to reinsulate or resoundproof with asbestos-free materials should be decided before removal begins.) Sealants generally are necessary where the underlying surfaces are porous (for example, concrete blocks or slabs), since a few fibers usually remain after removal.

Cost of asbestos removal varies widely by region and by job. Where the underlying surface must be sealed and the ACM replaced, those costs must be added to removal costs.

### **5.1.2 Enclosure**

Enclosure involves construction of airtight walls and ceilings around the ACM, Figure 12 shows a typical enclosure, These recommendations for constructing enclosures should be followed:

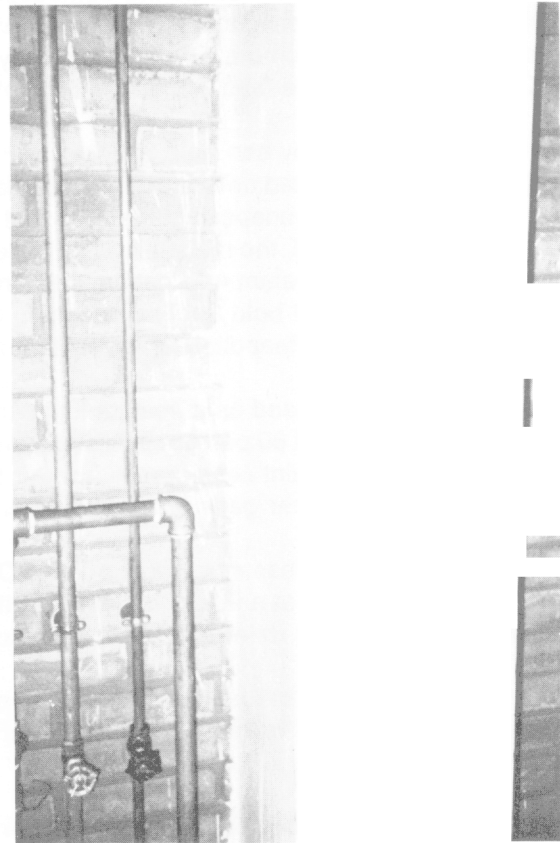
- To reduce fiber release, drills used during installation should be equipped with HEPA-filtered vacuums.
- Underlying structures must be able to support new walls and ceilings.
- New construction material should be impact-resistant and assembled to be airtight? Gypsum panels taped at the seams, tongue-and-groove boards, and boards with spline joints all qualify. Suspended ceilings with lay-in panels are not acceptable. Joints between walls and ceilings should be caulked.
- If lights are recessed into ACM, they must be removed carefully to minimize fiber release. Lights should be reinstalled beneath the new ceiling.
- Relocation of plumbing lines and computer cables may be necessary.
- Building records must note the presence of asbestos behind the enclosure to prevent accidental fiber release during remodeling or building demolition. (The presence of ACM should have been documented as part of the special O&M program.) Signs should be posted, noting that ACM is behind the enclosure.

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<sup>3</sup>No enclosure will be totally airtight. The practices recommended here are designed to greatly reduce air movement across the enclosure boundary.



Before enclosure



After enclosure

**Figure 12. An asbestos enclosure project.**

### 5.1.3 Encapsulation with Sealants

Encapsulation refers to the spraying of ACM with a sealant. The sealant should bind together the asbestos fibers and other material components and offer some resistance to damage from impact. Figure 13 shows an asbestos encapsulation project.

Encapsulation should be used only on granular, cementitious material—commonly known as acoustical plaster. A sealant should penetrate the ACM and adhere to the substrate (or form a tough skin over the material), withstand moderate impact, be flexible and flame-retardant, resist deterioration over time, and be non-toxic. EPA evaluated over 100 sealants, using five criteria: impact resistance, flame spread, smoke generation, toxic gas release during combustion, and adhesive/cohesive strength (USE PA 1981). The American Society of Testing and Materials (ASTM) also is developing laboratory testing criteria for sealants. Additional information on the EPA sealant study can be obtained from EPA's Office of Toxic Substances, TSCA Assistance Office (see Appendix E for phone numbers).

Although the EPA study can help building owners choose a sealant, its effectiveness on the particular ACM should still be tested on-site over several days. (ASTM is developing criteria and procedures for such on-site tests.) Do not encapsulate material that is delaminated or deteriorated, or that shows extensive damage. If delaminated, the material will be pulled down by the additional weight; if deteriorated, the ACM may be blown off by sealant application; if extensively damaged, the material may be repeatedly abused and the sealant will not hold up. The condition of the sealant on previously encapsulated materials also should be inspected. Reapplication of sealant may be necessary.

Latex paint has been used as a sealant for granular, cementitious materials. Select a brand with a high vehicle content (at least 60 percent by weight) and at least 25 percent by weight vehicle resin.<sup>4</sup> For encapsulating ACM, apply paint considerably thicker than recommended for painting. Coverage should be no more than 100 sq. ft. per gallon, and should create a continuous, unbroken coating.

Apply sealants with airless spray equipments One recommended method is to apply a light (mist) coat, then a full coat applied at a 90 degree angle to the direction of the first. If latex paint is used, the full coat can also be applied by roller before the mist coat dries.

Record the type of sealant used and the nature of the material and substrate encapsulated. This information is needed to avoid unintentional release of fibers during later remodeling or demolition.

## 5.2 Abatement Methods for Pipe and Boiler Insulation

Abatement methods for ACM used to insulate pipes, boilers, ducts, tanks, and related equipment are somewhat different from methods for asbestos sprayed or troweled on surfaces. When damage to pipe or boiler covering is limited, repair is the recommended abatement approach. Non-asbestos plastering can restore open joints, wrapped or plastered areas that are damaged, and areas around valves and flanges. Encapsulant can also be used as a temporary measure. Do not use duct tape; it becomes brittle after exposure to high temperatures.

Where large portions of pipe and boiler insulation must be removed, erect containment barriers and employ the full range of worker protections (see Section 5.1). Containment bags may be used instead of constructing containment barriers around the work area. These bags are available commercially. As shown in Figure 14, the bags are positioned around the pipe insulation to be removed, and sealed to the pipe with tape.

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<sup>4</sup>Paint constituents appear on the label of the can.

<sup>5</sup>The use of respirators is recommended for applying any sealant. Solvent-based (as compared to water-based) sealants may require the use of a supplied air (Type C) respirator due to hazards from the solvents.

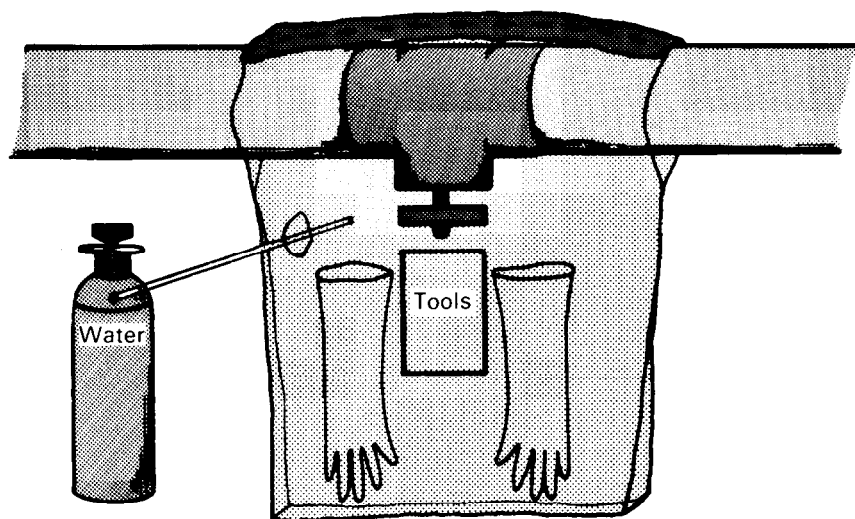


**Figure 13. An asbestos encapsulation project.**



Armholes and an inside pouch for tools let the worker remove insulation without exposure to asbestos fibers. A sealed side port also can be constructed to allow access for wetting the asbestos and evacuating the bag with a HEPA-filtered vacuum.<sup>6</sup> Workers who use containment bags should still wear respirators in case a bag leaks.

To remove individual pipe sections or an entire pipe network, a small section (about 6 inches wide) of insulation should be removed. The pipe then can be cut into manageable lengths with a saw or torch. Exposed ends of the insulating material should be sealed with plastic and tape. If the remaining insulation is not in good condition, the entire pipe should be wrapped in 6 mil plastic. Disposal of insulation material must follow EPA procedures discussed earlier for ACM disposal (Sections 1.4 and 5.1). More information on pipe and pipe insulation removal will be provided in a future technical bulletin.



**Figure 14. Custom containment bags for repairing or removing pipe insulation.**

### **5.3. Abatement Methods for Other Types of ACM**

Additional corrective action generally is not needed for other types of ACM. The special O&M program should continue until building renovation or maintenance requires ACM removal. The ACM should then be removed following the guidelines for surfacing materials (i.e., worker protection and work area containment). Removed ACM should be wrapped in 6 mil plastic sheets or placed in plastic bags, labeled as asbestos waste, and transported to a solid waste site. Unless these materials are friable, OSHA and NESHAPS regulations covering removal operations do not apply.

<sup>6</sup>Seals can be checked with a flashlight and smoke from a smoke tube.

## CHAPTER 6. CONDUCTING ABATEMENT PROJECTS

Because efforts to treat ACM may be hazardous to abatement workers and building occupants, careful planning and diligent implementation of the project are essential. Removal, enclosure, or encapsulation of surfacing materials and removal or repair of pipe and boiler insulation may cause large-scale fiber release if proper procedures are not followed. As a result, asbestos levels in the building may increase rather than decrease.

For this reason, key elements of the abatement project include comprehensive and precise contract specifications, workers specially trained in asbestos abatement, rigorously applied worker protection and site containment measures, and the regular monitoring of the work site. When abatement activity is complete, the entire work site should be thoroughly cleaned. The contractor should be released only after the work site has passed visual inspection and a test for airborne asbestos.

### SUMMARY

#### **Who Should Do the Work:**

Usually a contractor is hired to conduct abatement work that goes beyond special O&M. Occasionally, a large school district or an owner of many buildings will train and dedicate an in-house asbestos abatement team.

#### **Selecting a Contractor:**

Steps in selecting a contractor include checking references, conducting interviews, reviewing insurance coverage, and writing precise contract specifications. Note that the most cost-effective contractor is not necessarily the lowest bidder.

#### **Managing the Work:**

- Regular on-site inspections will assure conformance with work specifications and avoid costly and hazardous errors.
- Before the contractor is released, the work site should pass both a visual inspection and an air test for asbestos fibers to be sure the site has been adequately cleaned. From a technical point of view, the TEM method is preferred for the air test. Although the PCM method is not as specific for asbestos nor as sensitive to thin fibers as the TEM method, it is frequently more available and easier to implement.

### **6.1 Who Should Do the Abatement Work**

Employees involved in the abatement work must be specially trained and committed to quality work. Training should:

- Familiarize workers with the general problems of airborne asbestos;
- Teach them to handle ACM safely and prevent unnecessary fiber release; and
- Explain how to interpret asbestos abatement work orders.

Either in-house staff or outside contractors can conduct asbestos abatement work. The decision depends primarily on training, equipment, and experience.

In most cases, the in-house maintenance staff will not have enough time to master asbestos abatement methods for surfacing materials—removal, enclosure, and encapsulation. However, some school districts with many buildings containing surfacing ACM have formed asbestos abatement teams whose sole responsibility is control of ACM. These in-house teams can thoroughly learn the requirements and methods of asbestos abatement. With this training and knowledge of the buildings, an in-house team may be the most effective. More typically, however, an outside contractor specializing in asbestos abatement is hired for specific abatement projects.

Building maintenance workers accustomed to repairing equipment with insulation are frequently trained to undertake abatement actions involving pipe and boiler insulation. However, outside contractors are typically hired to remove extensive amounts of insulation or to remove both pipe and insulation.

## **6.2 Selecting a Contractor**

Selecting a competent contractor is the first step toward successful abatement. EPA's experience with asbestos abatement and comments from technical advisors suggest that many contractors cannot properly conduct abatement projects. Several contractors, awarded jobs based on responsive and reasonable cost bids, proved unable or unwilling to follow contract specifications. Suggestions to help building owners avoid these situations are as follows:

- Assign the technical advisor who will monitor the abatement work to assist in writing job specifications and selecting the contractor. The advisor should not be employed by an abatement contractor.
- Require evidence of prospective contractors' experience and/or training in asbestos abatement.
- Check references, including other building owners for whom contractors have worked. (See Appendix K for an example checklist of contractor qualifications.)
- Ask for detailed written descriptions of how bidders will satisfy the project specifications.
- Interview bidders regarding their work, worker protection, and site containment plans. A statement that the contractor will comply with all EPA, OSHA, and state regulations is not sufficient. Ask bidders for copies of their standard operating procedures and employee protection plans, specifically their OSHA medical monitoring and respirator training program. The interview is invaluable for evaluating each contractor's capabilities and understanding of the problem.
- Obtain documentation of each contractor's fiscal qualifications, including financial performance, assets, liabilities, legal judgments, and insurance.
- Be sure that the contractor selected has adequate liability insurance. Some forms of insurance may not provide enough long-term protection against inadequate abatement work. The building owner's attorney and insurance advisor should determine if coverage is adequate.
- Be specific about what constitutes successful job completion. A thorough visual inspection to insure adequate cleaning is an absolute necessity. Air monitoring is also recommended (see Section 6.4). The person carrying out the air monitoring should not be employed by the abatement contractor.
- Require evidence of worker certification or have the contractor conduct an on-site training program for workers.

- Encourage bidding competition, since prices can vary greatly. Multiple bids are desired, but too many can confuse the selection process. Remember that successful abatement, not cost minimization, is the goal.
- If possible, avoid contracting for abatement work during the summer. Many school projects are conducted during that season, taxing the limited number of competent contractors.

Appendix L contains a list of organizations that have prepared model contract specifications for asbestos removal. Together with the above suggestions, these models can serve as the basis for writing specifications tailored to individual projects. Remember that EPA's RAC can provide additional information and suggestions.

### 6.3 Managing the Work

As in all construction jobs, the program manager or the manager's representative (frequently the technical advisor) should visit the abatement work site often (no less than four times per day) to insure that all plans and procedures are properly implemented. The work site monitor should:

- Be sure the workers follow specifications;
- Confirm compliance with worker protection requirements; and
- Assure that the containment barriers around the work site are properly constructed and maintained.

By carefully monitoring the abatement work, the asbestos program manager can correct errors quickly. Work site inspections are most effective if the manager can refer to a detailed workplan containing specific work practices. Where work does not follow specifications, the project should be stopped immediately. The abatement contractor, the asbestos program manager, and the work site monitor (if different from the program manager) should then identify the problems and take steps to correct them.

The need for worker protection (protective clothing, respirators, and change facilities) was discussed in Section 5.1. Although contractors are responsible for their employees, a building owner's concern for the safety of everyone in the building extends to the abatement workers.

Work area containment is essential for all types of abatement and for both surfacing material and pipe and boiler insulation. Once abatement begins, all persons not directly involved in the work should be barred from the area. The work site monitor should make sure plastic barriers are in place (see Section 5.1) and that warning signs are posted at least 20 ft. in front of the work site entrance.

If abatement activity is limited to repair or minor removal of pipe and boiler insulation, containment bags will probably be used (see Section 5.2). The monitor should inspect the construction and use of the bags. Warning signs should be posted outside the work site.

The monitor should also check the air lock at the entrance to the work site. If a negative pressure system is not used, the air lock (and the entire containment system) should be airtight. If a negative pressure system is used (as recommended), the air lock must allow air from the building to enter the work site to replace the contaminated air that is filtered and exhausted outside. When inspecting the work in progress, the monitor's specific checklist will depend on the type of abatement. Abatement inspection for surfacing materials and pipe and boiler insulation should be based on guidelines and precautions described in Chapter 5 (Sections 5.1 and 5.2, respectively).

Work site inspections greatly increase an abatement project's likelihood of success. The importance of doing the job right the first time cannot be over-emphasized. Tests must be performed when the work is

finished to determine if the project has been conducted properly and the work site adequately cleaned (described in 6.4.) However, if the workers have not been diligent throughout the project, proper cleaning of the work site may not be possible.

## **6.4 Releasing the Contractor**

An asbestos abatement project is successful when the source of fiber release has been controlled and airborne asbestos generated during abatement has been reduced to an acceptable level. As discussed earlier in this chapter, success can be built into the project with a sound work plan and constant monitoring of the work area to insure proper implementation. All workers must be trained and must follow stipulated work practices. Also, it is important to use negative air pressure ventilation to capture asbestos fibers generated during all types of abatement, and to continually remove debris from the site. When the abatement project is completed, the entire site should be cleaned at least twice.

Success is confirmed with a final evaluation at each work area. The evaluation consists of visual inspection and air testing. Visual inspection is used to determine if the work has been performed properly and to check for debris and other obvious signs of poor cleaning. Air testing helps confirm that the work site has been adequately cleaned. Only then is the contractor released.

### **6.4.1 Visual Inspection**

The primary test for releasing the contractor is a thorough visual inspection of the work site. The inspection should be conducted before the containment barriers have been taken down but after the plastic sheets have been cleaned with damp mops and cloths or a HEPA vacuum cleaner. Since elevated levels of airborne asbestos may still be present, the inspector should wear a respirator (see Section 5.1).

First, the inspector should confirm job completeness. If ACM has been removed, substrate surfaces should be checked to be sure no ACM remains. Special attention should be given to pipes, beams, and irregular surfaces that may have corners and hard-to-reach areas. If the materials were enclosed, check the area for tight construction (e.g., no stray drill holes or openings at corners). Inspect encapsulated surfaces to insure that the right amount of sealant has been used: there should be no holes, voids, or cracks. Check surfaces behind obstructions (e.g., pipes or ducts) for these signs.

Next, the inspector should determine that the work site has been adequately cleaned. Any activity that disturbs ACM will release fibers. Therefore, work site cleanup after removal, repair, enclosure, or encapsulation is critical.

Examine all surfaces for dust and debris, especially overhead areas like tops of suspended light fixtures. Use a damp cloth to collect dust from these surfaces and then inspect the cloth for evidence of dust. This is a practical way to establish that the "no dust" requirement has been met.

A more sensitive test for dust is to darken the room and shine a flashlight so that the beam just glances any smooth horizontal surface. Run your finger across the illuminated area. If a line is left on the surface, or if airborne particles shine in the light, dust is still present.

If dust is found by either of the two tests, the entire work area should be recleaned and the tests repeated.

### **6.4.2 Air Testing**

Conduct air monitoring only after the site has passed visual inspection. First, remove all plastic sheets covering floors, walls, and other surfaces. (The plastic barriers separating the site from the rest of the building

and the plastic sheets covering doors, vents, and windows should be left in place until the air test has been passed.) If a negative air pressure ventilation system was used during abatement, it should continue operating while air monitoring is in progress.

As discussed in Section 4.1, measuring airborne asbestos fibers accurately is technically complex and usually expensive. It involves two steps: air sampling to capture fibers on a filter, and laboratory analysis to determine the quantity of asbestos. There are several approaches to air sampling and analysis, varying in technical requirements, cost, and availability. Which approach is more appropriate is a controversial subject. The information presented in the remainder of this chapter is based in part on a 1984 workshop sponsored by EPA and the National Bureau of Standards. A companion EPA guidance document on air monitoring following an abatement action discusses the subject in more detail (USEPA 1985 b).

#### **6.4.2.1 Sampling**

Sampling for asbestos consists of collecting fibers by drawing air through a filter at a known rate. Usually, sampling equipment is placed at a fixed location for a certain period of time. But this approach may fail to detect the presence of fibers. For example, if sampling is conducted for a short time during a quiet period (i.e., when air movement is limited), many fibers will settle out of the air onto the floor and other surfaces and may not be captured on the filter. Under these conditions, air measurements could show little or no asbestos.

Previously, EPA recommended sampling for at least eight hours to cover various air circulation conditions and thus increase the likelihood of capturing asbestos fibers if they are present. A quicker and more effective way to accomplish this, however, is to circulate the air artificially so that the fibers remain airborne during sampling.

This “aggressive sampling” is recommended for the post-abatement air test. Recommended methods for conducting aggressive sampling are presented in Appendix M. They use forced-air equipment such as a leaf blower to dislodge free fibers, then slow-speed fans to keep the fibers suspended during sampling.

Persons who conduct the sampling should wear a respirator. Even though the work site has been cleaned and has passed the visual test, levels of airborne asbestos still may be elevated.

#### **6.4.2.2 Analysis of Samples**

Three microscopic methods are currently being used to analyze asbestos: phase contrast microscopy (PCM), scanning electron microscopy (SEM), and transmission electron microscopy (TEM). The characteristics and relative merits of each method are summarized in Table 5 and are described in detail in the companion EPA guidance document (USEPA 1985 b).

As indicated in Table 5, PCM is the method that is most familiar, available, and frequently used. It is also the least expensive and has a well-established analytical protocol. (As noted in Section 4.1.2, OSHA specifies PCM for monitoring worker exposure in asbestos industries.) However, the NIOSH protocol for PCM does not distinguish between asbestos and other types of fibers and counts only fibers longer than 5 micrometers. Nor is PCM sensitive enough to detect the extremely thin fibers typical of airborne asbestos in buildings. Thus, the interpretation of PCM results assumes that a low concentration of relatively large airborne fibers means that the concentration of asbestos fibers is also low,

The TEM method gives the most complete information on airborne asbestos: it can distinguish asbestos from other fibers and also is able to detect very thin fibers. However, it can be expensive and time-consuming. TEM is not widely available.

**TABLE 5. COMPARISON OF METHODS FOR MEASURING AIRBORNE ASBESTOS**

	<b>PCM</b>	<b>SEM</b>	<b>TEM</b>
Standard Methods	NIOSH P&CAM 239 Method. <sup>1</sup>	No standard method.	EPA provisional method & update. <sup>2</sup>
Quality Assurance	Proficiency Analytical Testing Program; no NBS <sup>3</sup> reference materials.	No lab testing, or NBS reference materials.	Limited lab testing; NBS reference materials available.
cost	\$25-50	\$50-300	\$200-600
Availability	Most available	Less available.	Least available.
Time Requirements	1 hr. preparation & analysis, < 6 hrs. turnaround.	4 hrs. preparation & analysis, 6-24 hrs. turnaround.	4-24 hrs. preparation & analysis, 2-7 days turnaround.
Sensitivity (Thinnest Fiber Visible)	0.15 µm at best; 0.25 µm typical.	0.05 µm at best; 0.20 µm typical,	0.0002 µm at best; 0.0025 µm typical.
Specificity	Not specific for asbestos.	More specific than PCM but not definitive for asbestos.	Definitive for asbestos. when used to its fullest capabilities.

<sup>1</sup>NIOSH 1979. The new NIOSH 7400 method is an alternative.

<sup>2</sup>USEPA 1977, Yamate 1984.

<sup>3</sup>National Bureau of Standards.

Source: Taken with modification from USEPA 1985b.

The SEM method can be somewhat more specific for asbestos and more sensitive to thin fibers than PCM, but less so than TEM. It is also less expensive and time-consuming than TEM. At present, however, no standard measurement protocol is available for SEM. As a result, it has not been systematically evaluated nor has the reliability of SEM measurements been established.

EPA acknowledges that all three methods are used in air testing for the purpose of releasing abatement contractors. However, only PCM and TEM have standard methods and testing programs. A standard method has not yet been developed for SEM. While TEM is technically the method of choice, PCM is the only option in many localities.

#### **6.4.2.3 Recommended Test Specifications**

Regardless of the microscopic method for measuring asbestos, identifying homogeneous work sites is the first important step in the process. A site within the abatement work area is homogeneous if it contains one type of ACM and only one type of abatement was used. For sampling purposes, the air in each

homogeneous site is assumed to be relatively uniform. Guidelines for locating the samplers are included in Appendix M. Several other aspects of the air test are identical, regardless of microscopic method:

- Choose sampling locations within the homogeneous work site to assure representative samples. (See Appendix M).
- Begin sampling when the work site is dry (24 hours after cleaning).
- Conduct aggressive air sampling in all cases.
- Follow sampling and analysis specifications, including procedures for quality control.

The asbestos program manager should be sure the technical advisor in charge of the air test knows the specifications listed below. The advisor should insist that recommended procedures be followed for both air sampling and laboratory analysis.

### Testing with the TEM Method

#### Sampling:

- Draw at least 3000 liters of air through each filter at a rate of 2 to 12 liters per minute.
- Collect at least five samples in each homogeneous work site.
- At the same time, collect at least five samples just outside the work site but within the building. These samples will be compared with those collected inside the work site to ensure that the work site is at least as clean as the incoming air (see Appendix M for details).<sup>1</sup>

#### Analysis:

- Measure the asbestos on each filter with TEM using the EPA provisional procedures and updates (USEPA 1977 and Yamate 1984).
- Use a direct transfer method of sample preparation if possible (see Appendix M).
- Express the results as f/cc, or as ng/m<sup>3</sup> if an indirect sample preparation is used.
- Include at least one field blank <sup>2</sup>and one laboratory blank per abatement job for quality control purposes (see Section 6.4.3). Also, split one work site sample and conduct duplicate analyses.

#### Release Criterion:

- Release the contractor if the average fiber concentration of the work site samples is not statistically larger than the average of the outside samples. Each homogeneous site must pass the test before the contractor is released. (Appendix M contains information to determine statistical differences.)
- If the average of the work site samples is statistically larger than the average of the outside samples, clean the entire work site again and repeat the test (collect new work site samples and follow the procedures described above).

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<sup>1</sup>If a negative pressure system has not been used, collect the "outside" samples outdoors.

<sup>2</sup>A blank is a filter that is not used for sampling but is otherwise treated in the same way as other filters.



## Testing with the PCM Method

### Sampling:

- Draw at least 3000 liters of air through each filter at a rate of 2 to 12 liters per minute.
- Collect at least five samples per homogeneous work site, or one per room, whichever is greater.

### Analysis:

- Measure the asbestos on each filter with PCM using the NIOSH P&CAM 239 procedures. (The newer NIOSH 7400 procedures can also be used. See Appendix M.)
- Include at least one field blank and one laboratory blank per abatement project, for quality control purposes. Also, split one work site sample for duplicate analysis.

### Release Criterion:

- Release the contractor if every sample value is below the limit of reliable quantification (approximately 0.01 f/cc when 3000 liters of air are sampled; see Appendix M).
- If any of the sample values is above the prescribed level, clean the entire work site again, collect new samples, and evaluate the samples as described above.

For each method, the recommended number of samples and the prescribed use of the data defining the release criteria are based on a compromise involving practical considerations of cost, time required for the tests, performance characteristics of the methods, and statistical criteria. Details of the sampling and analysis specifications are provided in Appendix M.

### 6.4.3 Quality Assurance

Notwithstanding the advantages of one microscopic method over another, no method will produce reliable results unless both the field sampling and laboratory analysis are properly conducted. To obtain reliable results, a quality assurance (QA) program for the collection and analysis of data is essential.

The objective is to produce measurements with sufficient and documented quality for their intended purpose. In this case, the purpose is to determine satisfactory completion of an abatement project. The components of a QA program range from clerical activities such as labeling samples and documenting results, to performing technically complex tasks in the laboratory. When establishing the quality of data, however, all activities are equally important.

Preparing and implementing a QA program requires the assistance of a technical advisor on asbestos measurement. EPA and OSHA have published guidelines on quality assurance for TEM and PCM (Yamate 1984, and NIOSH 1979). The QA Program Checklist below can be used by the asbestos program manager in reviewing a proposed QA program.

### QA Program Checklist

- **Training and Experience:** Be sure that all persons producing the measurement understand their roles and are trained. Select a laboratory with demonstrated proficiency in asbestos analysis. Request details of the laboratory's quality control program, and get documentation of the lowest level of fibers routinely reported.

- **Quality Control Checks:** Use field and laboratory blanks to check for fiber contamination, coded sample labels to avoid analyst bias, duplicate analyses to confirm precision. and a second laboratory to spot-check the accuracy of results.
- **Chain-of-Custody:** Assign responsibility for security of the samples to specific persons at each stage of the analysis. Document each step in the passage of the sample from the field to the laboratory.
- **Documentation:** Check and document laboratory results as well as their labeling. The building owner should retain all test results and records documenting the testing process.

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## Appendix A. Asbestos-Containing Materials Found in Buildings\*

Subdivision	Generic name	Asbestos (%)	Dates of use	Binder/sizing
Surfacing material	sprayed- or troweled-on	1-95	1935-1970	sodium silicate, portland cement, organic binders.
Preformed thermal insulating products	batts, blocks, and pipe covering			
	85% magnesia	15	1926- 1949	magnesium carbonate
	calcium silicate	6-8	1949- 1971	calcium silicate
Textiles	cloth <sup>a</sup>			
	blankets (fire) <sup>a</sup>	100	1910-present	none
	felts:	90-95	1920-present	cotton/wool
	blue stripe	80	1920-present	cotton
	red stripe	90	1920-present	cotton
	green stripe	95	1920-present	cotton
	sheets	50-95	1920-present	cotton/wool
	cord/rope/yarn <sup>a</sup>	80-100	1920-present	cotton/wool
	tubing	80-85	1920-present	cotton/wool
	tape/strip	90	1920-present	cotton/wool
	curtains <sup>a</sup> (theatre, welding)	60-65	1945-present	cotton
Cementitious concrete-like products	extrusion panels:	8	1965-1977	portland cement
	corrugated	20-45	1930-present	portland cement
	flat	40-50	1930-present	portland cement
	flexible	30-50	1930-present	portland cement
	flexible perforated	30-50	1930-present	portland cement
	laminated (outer surface)	35-50	1930-present	portland cement
	roof tiles	20-30	1930-present	portland cement
	clapboard and shingles:			
	clapboard	12-15	1944-1945	portland cement
	siding shingles	12-14	unknown-present	portland cement
	roofing shingles	20-32	unknown-present	portland cement
pipe	20-15	1935-present	portland cement	
Paper products	corrugated:			
	high temperature	90	1935-present	sodium silicate
	moderate temperature	35-70	1910-present	starch
	Indented	98	1935-present	cotton and organic binder
mill board	80-85	1925-present	starch, lime, clay	
Roofing felts	smooth surface	10-15	1910-present	asphalt
	mineral surface	10-15	1910-present	asphalt
	shingles	1	1971-1974	asphalt
	pipeline	10	1920-present	asphalt

\* The information in this Appendix is taken, with modification, from: Lory EE, Coin DC. February 1981. *Management Procedure for Assessment of Friable Asbestos Insulating Material*. Port Hueneme, CA: Civil Engineering Laboratory Naval Construction Battalion Center. The U.S. Navy prohibits the use of asbestos-containing materials when acceptable nonasbestos substitutes have been identified.

<sup>a</sup>Laboratory aprons, gloves, cord, rope, fire blankets, and curtains may be common in schools.

### Appendix A. (continued)

Subdivision	Generic name	Asbestos (%)	Dates of use	Binder/sizing
Asbestos-containing compounds	caulking putties	30	1930-present	linseed oil
	adhesive (cold applied)	5-25	1945-present	asphalt
	joint compound		1945-1975	asphalt
	roofing asphalt mastics	5	unknown-present	asphalt
	asphalt tile cement	5-25	1920-present	asphalt
	roof putty	13-25	1959-present	asphalt
	plaster/stucco	10-25	unknown-present	asphalt
	spackles	2-10	unknown-present	portland cement
		3-5	1930-1975	starch, casein, synthetic resins
		sealants fire/water cement, insulation	50-55	1935-present
	cement, finishing	20-100	1900-1973	clay
	cement, magnesia	55	1920-1973	clay
		15	1926-1950	magnesium carbonate
Asbestos ebony products		50	1930-present	portland cement
Flooring tile and Sheet Goods	vinyl/asbestos tile	21	1950-present	poly(vinyl)chloride
	asphalt/asbestos tile	26-33	1920-present	asphalt
	sheet goods/resilient	30	1950-present	dry oils
Wallcovering	vinyl wallpaper	6-8	unknown-present	
Paints and coatings	roof coating	4-7	1900-present	asphalt
	air tight	15	1940-present	asphalt

## Appendix B. Common Units Used in Measuring Airborne Asbestos Concentrations

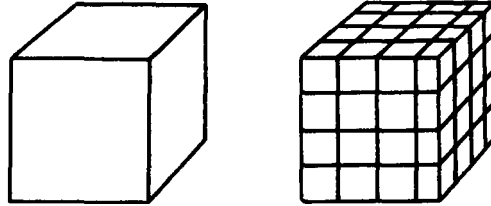
### Length

1 meter (m) = 39.37 inches or 3.28 feet

100 centimeters (cm) = 1 meter

1,000,000 micrometers ( $\mu\text{m}$ ) = 1 meter

### Volume



1 cubic m ( $\text{m}^3$ ) = 35.3 cubic feet

1,000,000  $\text{cm}^3 = 1 \text{ m}^3$

1,000  $\text{cm}^3 = 1 \text{ liter}$

### Weight (mass)

454 grams (g) = 1 pound

1,000,000,000 nanograms (ng) = 1 gram

### Concentration (mass contained in a stated volume)

2 fibers per  $\text{cm}^3$  (the current 8-hour OSHA industrial standard) means that 2 fibers are present in each  $\text{cm}^3$  of air. Since there are 1,000,000  $\text{cm}^3$  in 1  $\text{m}^3$ , there would be 2,000,000 fibers in a  $\text{m}^3$ .

If each fiber is chrysotile asbestos (density of  $0.0026 \text{ ng}/\mu\text{m}^3$ ) and is just long and thick enough to be detected by the NIOSH procedure for determining compliance with the OSHA standard ( $5 \mu\text{m}$  in length and  $0.3 \mu\text{m}$  in diameter), it would weigh  $0.0092 \text{ ng}$ :

Mass =  $\pi/4$  (diameter)<sup>2</sup> (length) (density)

$$\pi/4 (0.3 \mu\text{m})^2 (5 \mu\text{m}) (0.0026 \text{ ng}/\mu\text{m}^3) = 0.0092 \text{ ng}$$

A total of 2,000,000 of these fibers would weigh about 1,800 ng.

Since the fibers in the above example are the smallest (shortest and thinnest) counted by the NIOSH procedure, fibers actually measured using this protocol are typically larger and thus weigh more. Comparison of fibers in this example with those actually measured is further complicated since nonasbestos as well as asbestos fibers are counted by the NIOSH protocol. As noted in the footnote to Figure 1, comparisons of total fibers counted with the mass of

asbestos measured in air samples indicate that, on an average, about 30 fibers counted by the NIOSH procedures equal one nanogram of asbestos. This relationship applies to samples collected during the spray application of asbestos insulation. For these samples, each fiber counted weighs an average of 0.033 ng, or about 37 times more than those in the example, and 2,000,000 of them would weigh about 67,000 ng.



## Appendix C. USEPA National Emission Standards for Hazardous Air Pollutants (NESHAPS) Asbestos Regulations (40 CFR 61, Subpart M)

AUTHORITY Secs. 112 and 301(a) of the Clean Air Act, as amended (42 U.S.C. 7412, 7601(a)).

SOURCE: 49 FR 13661, Apr. 5, 1964, unless otherwise noted.

### § 61.140 Applicability.

The provisions of this subpart are applicable to those sources specified in §§ 61.142 through 61.153.

### § 61.141 Definitions.

All terms that are used in this subpart and are not defined below are given the same meaning as in the Act and in Subpart A of this part.

*Active waste disposal site* means any disposal site other than an inactive site.

*Adequately wetted* means sufficiently mixed or coated with water or an aqueous solution to prevent dust emissions.

*Asbestos* means the asbestiform varieties of serpentinite (chrysotile), riebeckite (crocidolite), cummingtonite-grunerite, anthophyllite, and actinolite-tremolite.

*Asbestos-containing waste materials* means any waste that contains commercial asbestos and is generated by a source subject to the provisions of this subpart. This term includes asbestos mill tailings, asbestos waste from control devices, friable asbestos waste material, and bags or containers that previously contained commercial asbestos. However, as applied to demolition and renovation operations, this term includes only friable asbestos waste and asbestos waste from control devices.

*Asbestos material* means asbestos or any material containing asbestos.

*Asbestos mill* means any facility engaged in converting, or in any intermediate step in converting, asbestos ore into commercial asbestos. Outside

storage of asbestos material is not considered a part of the asbestos mill.

*Asbestos tailings* means any solid waste that contains asbestos and is a product of asbestos mining or milling operations.

*Asbestos waste from control devices* means any waste material that contains asbestos and is collected by a pollution control device.

*Commercial asbestos* means any asbestos that is extracted from asbestos ore.

*Demolition* means the wrecking or taking out of any load-supporting structural member of a facility together with any related handling operations.

*Emergency renovation operation* means a renovation operation that was not planned but results from a sudden, unexpected event. This term includes operations necessitated by nonroutine failures of equipment.

*Fabricating* means any processing of a manufactured product that contains commercial asbestos, with the exception of processing at temporary sites for the construction or restoration of facilities.

*Facility* means any institutional, commercial, or industrial structure, installation, or building (excluding apartment buildings having no more than four dwelling units).

*Facility component* means any pipe, duct, boiler, tank, reactor, turbine, or furnace at or in a facility: or any structural member of a facility.

*Friable asbestos* material means any material containing more than 1 percent asbestos by weight that hand pressure can crumble, pulverize, or reduce to powder when dry.

*Inactive waste disposal site* means any disposal site or portion of it where additional asbestos-containing waste material will not be deposited and where the surface is not disturbed by vehicular traffic.

*Manufacturing* means the combining of commercial asbestos-or, in the case of woven friction products, the combining of textiles containing commercial asbestos-with any other material(s), including commercial asbestos, and the processing of this combination into a product.

*Outside air* means the air outside buildings and structures.

*Particulate asbestos material* means finely divided particles of asbestos material.

*Planned renovation operations* means a renovation operation, or a number of such operations, in which the amount of friable asbestos material that will be removed or stripped within a given period of time can be predicted. Individual nonscheduled operations are included if a number of such operations can be predicted to occur during a given period of time based on operating experience.

*Remove means* to take out friable asbestos materials from any facility.

*Renovation means* altering in any way one or more facility components. Operations in which load-supporting structural members are wrecked or taken out are excluded.

*Roadways* means surfaces on which motor vehicles travel. This term includes highways, roads, streets, parking areas, and driveways.

*Strip means* to take off friable asbestos materials from any part of a facility.

*Structural member* means any load-supporting member of a facility, such as beams and load supporting walls; or any nonload-supporting member, such as ceilings and nonload-supporting walls.

*Visible emissions* means any emissions containing particulate asbestos material that are visually detectable without the aid of instruments. This does not include condensed uncombined water vapor.

[49 FR 13661, Apr. 5, 1984; 49 FR 25453, June 21, 1984]

#### **§61.142 Standard for asbestos mills.**

Each owner or operator of an asbestos mill shall either discharge no visible emissions to the outside air from that asbestos mill or use the methods specified by §61.154 to clean emissions

containing particulate asbestos material before they escape to, or are vented to, the outside air.

#### **§61.143 Standard for roadways.**

No person may surface a roadway with asbestos tailings or asbestos-containing waste material on that roadway, unless it is a temporary roadway on an area of asbestos ore deposits.

[49 FR 13661, Apr. 5, 1984; 49 FR 25453, June 21, 1984]

#### **§61.144 Standard for manufacturing.**

(a) *Applicability.* This section applies to the following manufacturing operations using commercial asbestos.

(1) The manufacture of cloth, cord, wicks, tubing, tape, twine, rope, thread, yarn, roving, lap, or other textile materials.

(2) The manufacture of cement products.

(3) The manufacture of fireproofing and insulating materials.

(4) The manufacture of friction products.

(5) The manufacture of paper, millboard, and felt.

(6) The manufacture of floor tile.

(7) The manufacture of paints, coatings, caulks, adhesives, and sealants.

(8) The manufacture of plastics and rubber materials.

(9) The manufacture of chlorine.

(10) The manufacture of shotgun shell wads.

(11) The manufacture of asphalt concrete.

(b) *Standard.* Each owner or operator of any of the manufacturing operations to which this section applies shall either:

(1) Discharge no visible emissions to the outside air from these operations or from any building or structure in which they are conducted or

(2) Use the methods specified by § 61.154 to clean emissions from these operations containing particulate asbestos material before they escape to, or are vented to, the outside air.

#### **§61.145 Standard for demolition and renovation Applicability.**

The requirements of §§61.146 and 61.147 apply to each owner or operator

of a demolition or renovation operation as follows:

(a) If the amount of friable asbestos materials in a facility being demolished is at least 80 linear meters (260 linear feet ) on pipes or at least 15 square meters (160 square feet ) on other facility components, all the requirements of §§61.146 and 61.147 apply, except as provided in paragraph (c) of this section.

(b) If the amount of friable asbestos materials in a facility being demolished is less than 80 linear meters (260 linear feet) on pipes and less than 15 square meters (160 square feet ) on other facility components, only the requirements of paragraphs (a), (b), and (c) (1), (2), (3), (4), and (5) of 361.146 apply.

(c) If the facility is being demolished under an order of a State or local governmental agency, issued because the facility is structurally unsound and in danger of imminent collapse, only the requirements in § 61.146 and in paragraphs (d), (e), (f), and (g) of §61.147 apply.

(d) If at least 80 linear meters (260 linear feet ) of friable asbestos materials on pipes or at least 15 square meters (160 square feet ) of friable asbestos materials on other facility components are stripped or removed at a facility being renovated, all the requirements of §61.146 and 61.147 apply.

(1) To determine whether paragraph (d) of this section applies to planned renovation operations involving individual nonscheduled operations, predict the additive amount of friable asbestos materials to be removed or stripped over the maximum period of time a prediction can be made, not to exceed 1 year.

(2) To determine whether paragraph (d) of this section applies to emergency renovation operations, estimate the amount of friable asbestos materials to be removed or stripped as a result of the sudden, unexpected event that necessitated the renovation.

(e) Owners or operators of demolition and renovation operations are exempt from the requirements of §§ 61.05(a), 61.07, and 61.09.

[49 FR 13661, Am. 5, 1984; 49 FR 25453, June 21, 1984]

#### **§61.146 Standard for demolition and renovation: Notification requirements.**

Each owner or operator to which this section applies shall:

(a) Provide the Administrator with written notice of intention to demolish or renovate.

(b) Postmark or deliver the notice as follows

(1) At least 10 days before demolition begins if the operation is described in § 61.145(a);

(2) At least 20 days before demolition begins if the operation is described in § 61.145(b);

(3) As early as possible before demolition begins if the operation is described in § 61.145(c);

(4) As early as possible before renovation begins.

(c) Include the following information in the notice:

(1) Name and address of owner or operator.

(2) Description of the facility being demolished or renovated, including the size, age, and prior use of the facility.

(3) Estimate of the approximate amount of friable asbestos material present in the facility in terms of linear feet of pipe, and surface area on other facility components. For facilities described in § 61.145(b), explain techniques of estimation.

(4) Location of the facility being demolished or renovated.

(5) Scheduled starting and completion dates of demolition or renovation.

(6) Nature of planned demolition or renovation and method(s) to be used.

(7) Procedures to be used to comply with the requirements of this Subpart.

(8) Name and location of the waste disposal site where the friable asbestos waste material will be deposited.

(9) For facilities described in § 61.145(c), the name, title, and authority of the State or local governmental representative who has ordered the demolition.

(Approved by the Office of Management and Budget under control number 2000-0264.)

[49 FR 13661, Apr. 5, 1984; 49 FR 25453, June 21, 1984]

**§61.147 Standard for demolition and renovation. Procedures for asbestos emission control.**

Each owner or operator to whom this section applies shall comply with the following procedures to prevent emissions of particulate asbestos material to the outside air

(a) Remove friable asbestos materials from a facility being demolished or renovated before any wrecking or dismantling that would break up the materials or preclude access to the materials for subsequent removal. However, friable asbestos materials need not be removed before demolition if:

(1) They are on a facility component that is encased in concrete or other similar material; and

(2) These materials are adequately wetted whenever exposed during demolition.

(b) When a facility component covered or coated with friable asbestos materials is being taken out of the facility as units or in sections:

(1) Adequately wet any friable asbestos materials exposed during cutting or disjuncting operations; and

(2) Carefully lower the units or sections to ground level, not dropping them or throwing them.

(c) Adequately wet friable asbestos materials when they are being stripped from facility components before the members are removed from the facility. In renovation operations, wetting that would unavoidably damage equipment is not required if the owner or operator

(1) Asks the Administrator to determine whether wetting to comply with this paragraph would unavoidably damage equipment, and, before beginning to strip, supplies the Administrator with adequate information to make this determination, and

(2) When the Administrator does determine that equipment damage would be unavoidable, uses a local exhaust ventilation and collection system designed and operated to capture the particulate asbestos material produced by the stripping and removal of the friable asbestos materials. The system must exhibit no visible emissions to the outside air or be designed and operated in accordance with the requirements in §61.154.

(d) After a facility component has been taken out of the facility as units or in sections, either:

(1) Adequately wet friable asbestos materials during stripping or

(2) Use a local exhaust ventilation and collection system designed and operated to capture the particulate asbestos material produced by the stripping. The system must exhibit no visible emissions to the outside air or be designed and operated in accordance with the requirements in §61.154.

(e) For friable asbestos materials that have been removed or stripped

(1) Adequately wet the materials to ensure that they remain wet until they are collected for disposal in accordance with § 61.152; and

(2) Carefully lower the materials to the ground or a lower floor, not dropping or throwing them; and

(3) Transport the materials to the ground via dust-tight chutes or containers if they have been removed or stripped more than 50 feet above ground level and were not removed as units or in sections.

(f) When the temperature at the point of wetting is below 0°C (32°F):

(1) Comply with the requirements of paragraphs (d) and (e) of this section. The owner or operator need not comply with the other wetting requirements in this section; and

(2) Remove facility components coated or covered with friable asbestos materials as units or in sections to the maximum extent possible.

(g) For facilities described in § 61.145(c), adequately wet the portion of the facility that contains friable asbestos materials during the wrecking operation.

**§ 61.148 Standard for spraying.**

The owner or operator of an operation in which asbestos-containing materials are spray applied shall comply with the following requirements

(a) Use materials that contain 1 percent asbestos or less on a dry weight basis for spray-on application on buildings, structures, pipes, and conduits, except as provided in paragraph (c) of this section.

(b) For spray-on application of materials that contain more than 1 percent

asbestos on a dry weight basis on equipment and machinery, except as provided in paragraph (c) of this section:

(1) Notify the Administrator at least 20 days before beginning the spraying operation. Include the following information in the notice:

(i) Name and address of owner or operator.

(ii) Location of spraying operation.

(iii) Procedures to be followed to meet the requirements of this paragraph.

(2) Discharge no visible emissions to the outside air from the spray-on application of the asbestos-containing material or use the methods specified by §61.154 to clean emissions containing particulate asbestos material before they escape to, or are vented to, the outside air.

(c) The requirements of paragraphs (a) and (b) of this section do not apply to the spray-on application of materials where the asbestos fibers in the materials are encapsulated with a *bituminous or resinous binder* during spraying and the materials are not friable after drying.

(d) Owners and operators of sources subject to this section are exempt from the requirements of §§ 61.05(a), 61.07, and 61.09.

(Approved by the Office of Management and Budget under control number 2000-0264.)

#### § 61.149 Standard for fabricating.

(a) *Applicability.* This section applies to the following fabricating operations using commercial asbestos:

(1) The fabrication of cement building products.

(2) The fabrication of friction products, except those operations that primarily install asbestos friction materials on motor vehicles.

(3) The fabrication of cement or silicate board for ventilation hoods; ovens; electrical panels; laboratory furniture, bulkheads, partitions, and ceilings for marine construction; and flow control devices for the molten metal industry.

(b) *Standard.* Each owner or operator of any of the fabricating operations to which this section applies shall either:

(1) Discharge no visible emissions to the outside air from any of the operations or from any building or structure in which they are conducted; or

(2) Use the methods specified by §61.154 to clean emissions containing particulate asbestos material before they escape to, or are vented to, the outside air.

#### §61.150 Standard for insulating materials.

After the effective date of this regulation, no owner or operator of a facility may install or reinstall on a facility component any insulating materials that contain commercial asbestos if the materials are either molded and friable or wet-applied and friable after drying. The provisions of this paragraph do not apply to spray-applied insulating materials regulated under §61.148.

#### §61.151 Standard for waste disposal for asbestos mills.

Each owner or operator of any source covered under the provisions of §61.142 shall:

(a) Deposit all asbestos-containing waste material at waste disposal sites operated in accordance with the provisions of § 61.156; and

(b) Discharge no visible emissions to the outside air from the transfer of asbestos waste from control devices to the tailings conveyor, or use the methods specified by §61.154 to clean emissions containing particulate asbestos material before they escape to, or are vented to, the outside air. Dispose of the asbestos waste from control devices in accordance with § 61.152(b) or paragraph (c) of this section; and

(c) Discharge no visible emissions to the outside air during the collection, processing, packaging, transporting, or deposition of any asbestos-containing waste material, or use one of the disposal methods specified in paragraphs (c) (1) or (2) of this section, as follows:

(1) Use a wetting agent as follows:

(i) Adequately mix all asbestos-containing waste material with a wetting agent recommended by the manufacturer of the agent to effectively wet dust and tailings, before depositing the material at a waste disposal site. Use the agent as recommended for the

particular dust by the manufacturer of the agent.

(ii) Discharge no visible emissions to the outside air from the wetting operation or use the methods specified by §61.154 to clean emissions containing particulate asbestos material before they escape to, or are vented to, the outside air.

(iii) Wetting may be suspended when the ambient temperature at the waste disposal site is less than  $-9.5^{\circ}\text{C}$  ( $15^{\circ}\text{F}$ ). Determine the ambient air temperature by an appropriate measurement method with an accuracy of  $\pm 1^{\circ}\text{C}$  ( $\pm 2^{\circ}\text{F}$ ), and record it at least hourly while the wetting operation is suspended. Keep the records for at least 2 years in a form suitable for inspection.

(2) Use an alternative disposal method that has received prior approval by the Administrator.

**§61.152 Standard for waste disposal for manufacturing demolition, renovation, spraying, and fabricating operations.**

Each owner or operator of any source covered under the provisions of §§61.144 and 61.149 shall:

(a) Deposit all asbestos-containing waste material at waste disposal sites operated in accordance with the provisions of § 61.156; and

(b) Discharge no visible emissions to the outside air during the collection, processing (including incineration), packaging, transporting, or deposition of any asbestos-containing waste material generated by the source, or use one of the disposal methods specified in paragraphs (b)(1), (2), or (3) of this section, as follows:

(1) Treat asbestos-containing waste material with water

(i) Mix asbestos waste from control devices with water to form a slurry; adequately wet other asbestos-containing waste material; and

(ii) Discharge no visible emissions to the outside air from collection, mixing, and wetting operations, or use the methods specified by §61.154 to clean emissions containing particulate asbestos material before they escape to, or are vented to, the outside air; and

(iii) After wetting, seal all asbestos-containing waste material in leak-tight containers while wet; and

(iv) Label the containers specified in paragraph (b)(1)(iii) as follows:

**CAUTION**

Contains Asbestos-  
Avoid Opening or  
Breaking Container  
Breathing Asbestos is Hazardous  
to Your Health

Alternative y, use warning labels specified by Occupational Safety and Health Standards of the Department of Labor, Occupational Safety and Health Administration (OSHA) under 29 CFR 1910.1001 (g)(2) (ii).

(2) Process asbestos-containing waste material into nonfriable forms:

(i) Form all asbestos-containing waste material into nonfriable pellets or other shapes; and

(ii) Discharge no visible emissions to the outside air from collection and processing operations, or use the methods specified by §61.154 to clean emissions containing particulate asbestos material before they escape to, or are vented to, the outside air.

(3) Use an alternative disposal method that has received prior approval by the Administrator.

[49 FR 13661, Apr. 5, 1984; 49 FR 25453, June 21, 1984]

**§61.153 Standard for inactive waste disposal sites for asbestos mills and manufacturing and fabricating operations.**

Each owner or operator of any inactive waste disposal site that was operated by sources covered under 361.142, §61.144, or § 61.149 and received deposits of asbestos-containing waste material generated by the sources, shall

(a) Comply with one of the following:

(1) Either discharge no visible emissions to the outside air from an inactive waste disposal site subject to this paragraph; or

(2) Cover the asbestos-containing waste material with at least 15 centimeters (6 inches) of compacted nonasbestos-containing material, and grow and maintain a cover of vegetation on

the area adequate to prevent exposure of the asbestos-containing waste material; or

(3) Cover the asbestos-containing waste material with at least 60 centimeters (2 feet) of compacted nonasbestos-containing material, and maintain it to prevent exposure of the asbestos-containing waste; or

(4) For inactive waste disposal sites for asbestos tailings, apply a resinous or petroleum-based dust suppression agent that effectively binds dust and controls wind erosion. Use the agent as recommended for the particular asbestos tailings by the manufacturer of the dust suppression agent. Obtain prior approval of the Administrator to use other equally effective dust suppression agents. For purposes of this paragraph, waste crankcase oil is not considered a dust suppression agent.

(b) Unless a natural barrier adequately deters access by the general public, install and maintain warning signs and fencing as follows, or comply with paragraph (a)(2) or (a)(3) of this section.

(1) Display warning signs at all entrances and at intervals of 100 m (330 feet) or less along the property line of the site or along the perimeter of the sections of the site where asbestos-containing waste material was deposited. The warning signs must:

(i) Be posted in such a manner and location that a person can easily read the legend; and

(ii) Conform to the requirements for 51 cmx36 cm (20"x14") upright format signs specified in 29 CFR 1910.145(d)(4) and this paragraph; and

(iii) Display the following legend in the lower panel with letter sizes and styles of a visibility at least equal to those specified in this paragraph.

Legend	Notation
Asbestos Waste disposal Sta.	2.5 cm (1 inch Sans Serif, Gothic or Black
Do Not Create Dust.....	1.9 cm (¾ inch) Sans Serif, Gothic or Black
Breathing Asbestos is Hazardous to Your Health	14 Point Gothic.

Spacing between any two lines must be at least equal to the height of the upper of the two lines.

(2) Fence the perimeter of the site in a manner adequate to deter access by the general public.

(3) Upon request and supply of appropriate information, the Administrator will determine whether a fence or a natural barrier adequately deters access by the general public.

(c) The owner or operator may use an alternative control method that has received prior approval of the Administrator rather than comply with the requirements of paragraph (a) or (b) of this section.

#### 561.154 Air-cleaning.

(a) The owner or operator who elects to use air-cleaning, as permitted by §§61.142, 61.144, 61.147(c)(2), 61.147(d)(2), 61.148(b)(2), 61.149(b), 61.151(b), 61.151(c)(1)(ii), 61.152(b)(1)(ii), and 61.152(b)(2) shall:

(1) Use fabric filter collection devices, except as noted in paragraph (b) of this section, doing all of the following:

(i) Operating the fabric filter collection devices at a pressure drop of no more than .995 kilopascal (4 inches water gage), as measured across the filter fabric; and

(ii) Ensuring that the airflow permeability, as determined by ASTM Method D737-75, does not exceed 9 m<sup>3</sup>/min/m<sup>2</sup> (30ft<sup>3</sup>/min/ft<sup>2</sup>) for woven fabrics or 11<sup>3</sup>/min/m<sup>2</sup> (35ft<sup>3</sup>/min/ft<sup>2</sup>) for felted fabrics, except that 12 m<sup>3</sup>/min/m<sup>2</sup> (40 ft<sup>3</sup>min/ft<sup>2</sup>) for woven and 14 m<sup>3</sup>/min/m<sup>2</sup> (45 ft<sup>3</sup>min/ft<sup>2</sup>) for felted fabrics is allowed for filtering air from asbestos ore dryers; and

(iii) Ensuring that felted fabric weighs at least 475 grams per square meter (14 ounces per square yard) and is at least 1.6 millimeters (one-sixteenth inch) thick throughout and

(iv) Avoiding the use of synthetic fabrics that contain fill yarn other than that which is spun.

(2) Properly install, use, operate, and maintain all air-cleaning equipment authorized by this section. Bypass devices may be used only during upset or emergency conditions and then only for so long as it takes to shut down the operation generating the particulate asbestos material.

(b) There are the following exceptions to paragraph (a)(1):

(1) If the use of fabric creates a fire or explosion hazard, the Administrator may authorize as a substitute the use of wet collectors designed to operate with a unit contacting energy of at least 9.95 kilopascals (40 inches water gage pressure).

(2) The Administrator may authorize the use of filtering equipment other than that described in paragraphs (a)(1) and (b)(1) of this section if the owner or operator demonstrates to the Administrator's satisfaction that it is equivalent to the described equipment in filtering particulate asbestos material.

[49 FR 13661, Apr. 5, 1984; 49 FR 25453, June 21, 1984]

#### **§61.155 Reporting.**

(a) Within 90 days after the effective date of this subpart, each owner or operator of any existing source to which this subpart applies shall provide the following information to the Administrator, except that any owner or operator who provided this information prior to April 5, 1984 in order to comply with §61.24 (which this section replaces) is not required to resubmit it.

(1) A description of the emission control equipment used for each process; and

(2) If a fabric filter device is used to control emissions, the pressure drop across the fabric filter in inches water gage; and

(i) If the fabric device uses a woven fabric, the airflow permeability in  $\text{m}^3/\text{min}/\text{m}^2$ ; and; if the fabric is synthetic, whether the fill yam is spun or not spun; and

(ii) If the fabric filter device uses a felted fabric, the density in  $\text{g}/\text{m}^2$ , the minimum thickness in inches, and the airflow permeability  $y$  in  $\text{m}^3/\text{min}/\text{m}^2$ .

(3) For sources subject to §§61.151 and 61.152:

(i) A brief description of each process that generates asbestos-containing waste material; and

(ii) The average weight of asbestos-containing waste material disposed of, measured in kg/day; and

(iii) The emission control methods used in all stages of water disposal; and

(iv) The type of disposal site or incineration site used for ultimate disposal, the name of the site operator, and the name and location of the disposal site.

(4) For sources subject to §61.153:

(i) A brief description of the site; and

(ii) The method or methods used to comply with the standard, or alternative procedures to be used.

(b) The information required by paragraph (a) of this section must accompany the information required by §61.10. The information described in this section must be reported using the format of Appendix A of this part.

(Approved by this Office of Management and Budget under control number 2000-0264)

(Sec. 114, Clean Air Act as amended (42 U.S.C. 7414))

#### **§61.156 Active waste disposal sites.**

To be an acceptable site for disposal of asbestos-containing waste material under §§61.151 and 61.152, an active waste disposal site must meet the requirements of this section.

(a) Either there must be no visible emissions to the outside air from any active waste disposal site where asbestos-containing waste material has been deposited, or the requirements of paragraph (c) or (d) of this section must be met.

(b) Unless a natural barrier adequately deters access by the general public, either warning signs and fencing must be installed and maintained as follows, or the requirements of paragraph (c)(1) of this section must be met.

(1) Warning signs must be displayed at all entrances and at intervals of 100 m (330 ft) or less along the property line of the site or along the perimeter of the sections of the site where asbestos-containing waste material is deposited. The warning signs must:

(i) Be posted in such a manner and location that a person can easily read the legend; and

(ii) Conform to the requirements of 51 cm x 36 cm (20" x 14") upright



format signs specified in 29 CFR 1910.145(d)(4) and this paragraph; and

(iii) Display the following legend in the lower panel with letter sizes and styles of a visibility at least equal to those specified in this paragraph.

Legend	Notation
Asbestos Waste Disposal Site.	2.5 cm (1 inch) Sans Serif, Gothic or Block.
Do Not Create Dust .....	1.9 cm (¾ inch) Sans Serif, Gothic or Block.
Breathing Asbestos is Hazardous to Your Health.	14 Point Gothic.

Spacing between any two lines must be at least equal to the height of the upper of the two lines.

(2) The perimeter of the disposal site must be fenced in a manner adequate to deter access by the general public.

(3) Upon request and supply of appropriate information, the Administrator will determine whether a fence or a natural barrier adequately deters access by the general public.

(c) Rather than meet the *no* visible emission requirement of paragraph (a) of this section, an active waste disposal site would be an acceptable site if at

the end of each operating day, or at least once every 24-hour period while the site is in continuous operation, the asbestos-containing waste material which was deposited at the site during the operating day or previous 24-hour period is covered with either.

(1) At least 15 centimeters (6 inches) of compacted nonasbestos-containing material, or

(2) A resinous or petroleum-based dust suppression agent that effectively binds dust and controls wind erosion. This agent must be used as recommended for the particular dust by the manufacturer of the dust suppression agent. Other equally effective dust suppression agents may be used upon prior approval by the Administrator. For purposes of this paragraph, waste crankcase oil is not considered a dust suppression agent.

(d) Rather than meet the no visible emission requirement of paragraph (a) of this section, an active waste disposal site would be an acceptable site if an alternative control method for emissions that has received prior approval by the Administrator is used.

(Sees. 112 and 301(a) of the Clean Air Act as amended (42 U.S.C. 7412, 7601(a))

**Appendix D. Addresses of EPA NESHAPS Contacts  
and Regional Asbestos Coordinators**

**NESHAPS Contacts**

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*(For information on NESHAPS rule compliance and disposal)*

**Region 1**

Asbestos NESHAPS Contact  
Air Management Division  
USEPA  
JFK Federal Building  
Boston, MA 02203  
(617) 223-4872

**Region 2**

Asbestos NESHAPS Contact  
Air & Waste Management Division  
USEPA  
26 Federal Plaza  
New York, NY 10007  
(212) 264-4479

**Region 3**

Asbestos NESHAPS Contact  
Air Management Division  
USEPA  
841 Chestnut Street  
Philadelphia, PA 19107  
(215) 597-6552

**Region 4**

Asbestos NESHAPS Contact  
Air, Pesticide & Toxic Management  
USEPA  
345 Courtland Street N.E.  
Atlanta, GA 30365  
(404) 881-4901

**Region 5**

Asbestos NESHAPS Contact  
Air Management Division  
USEPA  
230 S. Dearborn Street  
Chicago, IL 60604  
(312) 353-2088

**Region 6**

Asbestos NESHAPS Contact  
Air & Waste Management Division  
USEPA  
1201 Elm Street  
Dallas, TX 75270  
(214) 767-9835

**Region 7**

Asbestos NESHAPS Contact  
Air & Waste Management Division  
USEPA  
726 Minnesota Avenue  
Kansas City, KS 66101  
(913) 236-2576

**Region 8**

Asbestos NESHAPS Contact  
Air & Waste Management Division  
USEPA  
1860 Lincoln Street  
Denver, CO 80295  
(303) 293-1767

**Region 9**

Asbestos NESHAPS Contact  
Air Management Division  
USEPA  
215 Fremont Street  
San Francisco, CA 94105  
(415) 974-7648

**Region 10**

Asbestos NESHAPS Contact  
Air & Toxics Management Division  
USEPA  
1200 Sixth Avenue  
Seattle, WA 98101  
(206) 442-2724

## Appendix D. (continued)

### Regional Asbestos Coordinators

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*(For information on asbestos identification, health effects, abatement options, analytic techniques, asbestos in schools, and contract documents)*

#### **Region 1**

Regional Asbestos Coordinator  
USEPA  
JFK Federal Building  
Boston, MA 02203  
(617) 223-0585

#### **Region 2**

Regional Asbestos Coordinator  
USEPA  
Woodbridge Avenue  
Edison, NJ 08837  
(201) 321-6668

#### **Region 3**

Regional Asbestos Coordinator  
USEPA  
841 Chestnut Street  
Philadelphia, PA 19107  
(215) 597-9859

#### **Region 4**

Regional Asbestos Coordinator  
USEPA  
345 Courtland Street N.E.  
Atlanta, GA 30365  
(404) 881-3864

#### **Region 5**

Regional Asbestos Coordinator  
USEPA  
230 S. Dearborn Street  
Chicago, IL 60604  
(312) 886-6879

#### **Region 6**

Regional Asbestos Coordinator  
USEPA  
First International Building  
1201 Elm Street  
Dallas, TX 75270  
(214) 767-5314

#### **Region 7**

Regional Asbestos Coordinator  
USEPA  
726 Minnesota Avenue  
Kansas City, KS 66101  
(913) 236-2838

#### **Region 8**

Regional Asbestos Coordinator  
USEPA  
999 18th Street  
Denver, CO 80202  
(303) 293-1730

#### **Region 9**

Regional Asbestos Coordinator  
USEPA  
215 Fremont Street  
San Francisco, CA 94105  
(415) 974-8588

#### **Region 10**

Regional Asbestos Coordinator  
USEPA  
1200 Sixth Avenue  
Seattle, WA 98101  
(206) 442-2632

## Appendix E. Phone Numbers for Obtaining Information and EPA Publications

### Information

8001334-8571

- Sampling and analysis of ACM (bulk materials)
- EPA Sealant Study

### Publications

800/424-9065 (554-1404 – in Washington, DC)

- Friable Asbestos-Containing Materials in Schools: Identification and Notification Rule (40 CFR Part 763).
- Evaluation of the EPA Asbestos-in-Schools Identification and Notification Rule. EPA 560/5-84-005.
- Asbestos in Buildings: National Survey of Asbestos-Containing Friable Materials. EPA 560/5-84-006.
- Asbestos in Buildings: Guidance for Service and Maintenance Personnel. EPA 560/5-85-018.
- Asbestos Waste Management Guidance. EPA 530-SW-85 -007.
- Asbestos Fact Book. EPA Office of Public Affairs.

**Appendix F. Occupational Health and Safety (OSHA)  
Asbestos Regulations (29 CFR 1910.1001)**

**§1910.1001 Asbestos.**

(a) *Definitions.* For the purpose of this section, (1) "Asbestos" includes chrysotile, amosite, crocidolite, tremolite, anthophyllite, and actinolite.

(2) "Asbestos fibers" means asbestos fibers longer than 5 micrometers.

(b) *Permissible exposure to airborne concentrations of asbestos fibers-(1) Standard effective July 7, 1972.* The 8-hour time-weighted average airborne concentrations of asbestos fibers to which any employee may be exposed shall not exceed five fibers, longer than 5 micrometers, per cubic centimeter of air, as determined by the method prescribed in paragraph (e) of this section.

(2) *Standard effective July 1, 1976.* The 8-hour time-weighted average airborne concentrations of asbestos fibers to which any employee may be exposed shall not exceed two fibers, longer than 5 micrometers, per cubic centimeter of air, as determined by the method prescribed in paragraph (e) of this section.

(3) *Ceiling concentration.* No employee shall be exposed at any time to airborne concentrations of asbestos fibers in excess of 10 fibers, longer than 5 micrometers, per cubic centimeter of air, as determined by the method prescribed in paragraph (e) of this section.

(c) *Methods of compliance—(1) Engineering methods.* (i) *Engineering controls.* Engineering controls, such as, but not limited to, isolation, enclosure, exhaust ventilation, and dust collection, shall be used to meet the exposure limits prescribed in paragraph (b) of this section.

(ii) *Local exhaust ventilation.* (a) Local exhaust ventilation and dust collection systems shall be designed, constructed, installed, and maintained in accordance with the American National Standard Fundamentals Governing the Design and Operation of Local Exhaust Systems, ANSI 29.2-1971, which is incorporated by reference herein.

(b) *See §1910.6* concerning the availability of ANSI Z9.2-1971, and the maintenance of a historic file in connection therewith. The address of the American National Standards Institute is given in §1910.100.

(iii) *Particular tools.* All hand-operated and power-operated tools which may produce or release asbestos fibers in excess of the exposure limits prescribed in paragraph (b) of this section, such as, but not limited to, saws, scorers, abrasive wheels, and drills, shall be provided with local exhaust ventilation systems in accordance with

subdivision (ii) of this subparagraph.

(2) *Work practices-(i) Wet methods.* Insofar as practicable, asbestos shall be handled, mixed, applied, removed, cut, scored, or otherwise worked in a wet state sufficient to prevent the emission of airborne fibers in excess of the exposure limits prescribed in paragraph (b) of this section, unless the usefulness of the product would be diminished thereby.

(ii) *Particular products and operations.* No asbestos cement, mortar, coating, grout, plaster, or similar material containing asbestos shall be removed from bags, cartons, or other containers in which they are shipped, without being either wetted, or enclosed, or ventilated so as to prevent effectively the release of airborne asbestos fibers in excess of the limits prescribed in paragraph (b) of this section.

(iii) *Spraying, demolition, or removal.* Employees engaged in the spraying of asbestos, the removal, or demolition of pipes, structures, or equipment covered or insulated with asbestos, and in the removal or demolition of asbestos insulation or coverings shall be provided with respiratory equipment in accordance with paragraph (d)(2)(iii) of this section and with special clothing in accordance with paragraph (d)(3) of this section.

(d) *Personal protective equipment—*(1) Compliance with the exposure limits prescribed by paragraph (b) of this section may not be achieved by the use of respirators or shift rotation of employees, except:

(i) During the time period necessary to install the engineering controls and to institute the work practices required by paragraph (c) of this section;

(ii) In work situations in which the methods prescribed in paragraph (c) of this section are either technically not feasible or feasible to an extent insufficient to reduce the airborne concentrations of asbestos fibers below the limits prescribed by paragraph (b) of this section; or

(iii) In emergencies.

(iv) Where both respirators and personnel rotation are allowed by paragraphs (d)(1) (i), (ii), or (iii) of this section, and both are practicable, personnel rotation shall be preferred and used,

(2) Where a respirator is permitted by paragraph (d)(1) of this section, it shall be selected from among those approved by the Bureau of Mines, Department of the Interior, or the National Institute for Occupational Safety and Health, Department of

Health, Education, and Welfare, under the provisions of 30 CFR Part 11 (37 FR 6244, Mar. 25, 1972), and shall be used in accordance with subdivisions (i), (ii), (iii), and (iv) of this subparagraph.

(i) *Air purifying respirators.* A reusable or single use air purifying respirator, or a respirator described in paragraph (d)(2) (ii) or (iii) of this section, shall be used to reduce the concentrations of airborne asbestos fibers in the respirator below the exposure limits prescribed in paragraph (b) of this section, when the ceiling or the 8-hour time-weighted average airborne concentrations of asbestos fibers are reasonably expected to exceed no more than 10 times those limits.

(ii) *Powered air purifying respirators.* A full facepiece powered air purifying respirator, or a powered air purifying respirator, or a respirator described in paragraph (d)(2)(iii) of this section, shall be used to reduce the concentrations of airborne asbestos fibers in the respirator below the exposure limits prescribed in paragraph (b) of this section, when the ceiling or the 8-hour time-weighted average concentrations of asbestos fibers are reasonably expected to exceed 10 times, but not 100 times, those limits.

(iii) *Type "C" supplied-air respirators, continuous flow or pressure-demand class.* A type "C" continuous flow or pressure-demand, supplied-air respirator shall be used to reduce the concentrations of airborne asbestos fibers in the respirator below the exposure limits prescribed in paragraph (b) of this section, when the ceiling or the 8-hour time-weighted average airborne concentrations of asbestos fibers are reasonably expected to exceed 100 times those limits.

(iv) *Establishment of a respirator program.* (a) The employer shall establish a respirator program in accordance with the requirements of the American National Standards Practices for Respiratory protection, ANSI 288.2-1969, which is incorporated by reference herein.

(b) See §1910.6 concerning the availability of ANSI 288.2-1969 and the maintenance of a historic file in connection therewith. The address of the

American National Standards Institute is given in 51910.100.

(c) No employee shall be assigned to tasks requiring the use of respirators if, based upon his most recent examination, an examining physician determines that the employee will be unable to function normally wearing a respirator, or that the safety or health of the employee or other employees will be impaired by his use of a respirator. Such employee shall be rotated to another job or given the opportunity to transfer to a different position whose duties he is able to perform with the same employer, in the same geographical area and with the same seniority, status, and rate of pay he had just prior to such transfer, if such a different position is available.

(3) *Special clothing:* The employer shall provide, and require the use of, special clothing, such as coveralls or similar whole body clothing, head coverings, gloves, and foot coverings for any employee exposed to airborne concentrations of asbestos fibers, which exceed the ceiling level prescribed in paragraph (b) of this section.

(4) *Change rooms:* (i) At any fixed place of employment exposed to airborne concentrations of asbestos fibers in excess of the exposure limits prescribed in paragraph (b) of this section, the employer shall provide change rooms for employees working regularly at the place.

(ii) *Clothes lockers:* The employer shall provide two separate lockers or containers for each employee, so separated or isolated as to prevent contamination of the employee's street clothes from his work clothes.

(iii) *Laundering (a)* Laundering of asbestos contaminated clothing shall be done so as to prevent the release of air-borne asbestos fibers in excess of the exposure limits prescribed in paragraph (b) of this section.

(b) Any employer who gives asbestos-contaminated clothing to another person for laundering shall inform such person of the requirement in paragraph (d)(4)(iii)(a) of this section to effectively prevent the release of airborne asbestos fibers in excess of the exposure limits prescribed in paragraph (b) of this section.

(c) Contaminated clothing shall be transported in sealed impermeable bags, or other closed, impermeable containers, and labeled in accordance with paragraph (g) of this section.

(e) *Method of measurement.* All determinations of airborne concentrations of asbestos fibers shall be made by the membrane filter method at 400-450 x (magnification) (4 millimeter objective) with phase contrast illumination.

(f) *Monitoring-(1) Initial determinations.* Within 6 months of the publication of this section, every employer shall cause every place of employment where asbestos fibers are released to be monitored in such a way as to determine whether every employee's exposure to asbestos fibers is below the limits prescribed in paragraph (b) of this section. If the limits are exceeded, the employer shall immediately undertake a compliance program in accordance with paragraph (c) of this section.

(2) *Personal monitoring—* (i) Samples shall be collected from within the breathing zone of the employees, on membrane filters of 0.8 micrometer porosity mounted in an open-face filter holder. Samples shall be taken for the determination of the 8-hour time-weighted average airborne concentrations and of the ceiling concentrations of asbestos fibers.

(ii) *Sampling frequency and patterns.* After the initial determinations required by paragraph (f)(1) of this section, samples shall be of such frequency and pattern as to represent with reasonable accuracy the levels of exposure of employees. In no case shall the sampling be done at intervals greater than 6 months for employees whose exposure to asbestos may reasonably be foreseen to exceed the limits prescribed by paragraph (b) of this section.

(3) *Environmental monitoring.* (i) Samples shall be collected from areas of a work environment which are representative of the airborne concentrations of asbestos fibers which may reach the breathing zone of employees. Samples shall be collected on a membrane filter of 0.8 micrometer porosity mounted in an open-face filter holder. Samples shall be taken for the

determination of the 8-hour time-weighted average airborne concentrations and of the ceiling concentrations of asbestos fibers.

(ii) *Sampling frequency and patterns.* After the initial determinations required by paragraph (f)(1) of this section, samples shall be of such frequency and pattern as to represent with reasonable accuracy the levels of exposure of the employees. In no case shall sampling be at intervals greater than 6 months for employees whose exposures to asbestos may reasonably be foreseen to exceed the exposure limits prescribed in paragraph (b) of this section.

(4) *Employee observation of monitoring.* Affected employees, or their representatives, shall be given a reasonable opportunity to observe any monitoring required by this paragraph and shall have access to the records thereof.

(g) *Caution signs and labels—* (1) *Caution signs—* (i) *Posting.* Caution signs shall be provided and displayed at each location where airborne concentrations of asbestos fibers may be in excess of the exposure limits prescribed in paragraph (b) of this section. Signs shall be posted at such a distance from such a location so that an employee may read the signs and take necessary protective steps before entering the area marked by the signs. Signs shall be posted at all approaches to areas containing excessive concentrations of airborne asbestos fibers.

(ii) *Sign specifications.* The warning signs required by paragraph (g)(1)(i) of this section shall conform to the requirements of 20" x 14" vertical format signs specified § 1910.145(d)(4), and to this subdivision. The signs shall display the following legend in the lower panel, with letter sizes and styles of a visibility at least equal to that specified in this subdivision.

Legend	Notation
Asbestos .....	1" Sans Serif, Gothic or Block.
Dust Hazard.....	¾" Sans Serif, Gothic or Block.
Avoid Breathing Dust .....	¼" Gothic.
Wear Assigned Protective equipment.	¼" Gothic.

Legend	Notation
Do Not Remain in Area Unless Your Work Requires It. Breathing Asbestos Dust May Be Hazardous To Your Health.	¼" Gothic 14 Point Gothic.

Spacing between lines shall be at least equal to the height of the upper of any two lines.

(2) *Caution labels-(i) Labeling.* Caution labels shall be affixed to all raw materials, mixtures, scrap, waste, debris, and other products containing asbestos fibers, or to their containers, except that no label is required where asbestos fibers have been modified by a bonding agent, coating, binder, or other material so that during any reasonably foreseeable use, handling, storage, disposal, processing, or transportation, no airborne concentrations of asbestos fibers in excess of the exposure limits prescribed in paragraph (b) of this section will be released.

(ii) *Label specifications.* The caution labels required by paragraph (g)(2)(i) of this section shall be printed in letters of sufficient size and contrast as to be readily visible and legible. The label shall state:

**CAUTION**

**Contains Asbestos Fibers**

**Avoid Creating Dust**

**Breathing Asbestos Dust May Cause Serious Bodily Harm**

(h) *Housekeeping-(1) Cleaning.* All external surfaces in any place of employment shall be maintained free of accumulations of asbestos fibers if, with their dispersion, there would be an excessive concentration.

(2) *Waste disposal* Asbestos waste, scrap, debris, bags, containers, equipment, and asbestos-contaminated clothing, consigned for disposal, which may produce in any reasonably foreseeable use, handling, storage, processing, disposal, or transportation airborne concentrations of asbestos fibers in excess of the exposure limits prescribed in paragraph (b) of this section shall be collected and disposed of in sealed Impermeable bags, or other closed, impermeable containers.

(i) *Recordkeeping-(1) Exposure records.* Every employer shall main-

tain records of any personal or environmental monitoring required by this section. Records shall be maintained for a period of at least 20 years and shall be made available upon request to the Assistant Secretary of Labor for Occupational Safety and Health, the Director of the National Institute for Occupational Safety and Health, and to authorized representatives of either.

(2) *Access.* Employee exposure records required by this paragraph shall be provided upon request to employees, designated representatives, and the Assistant Secretary in accordance with 29 CFR 1910.20 (a)-(e) and (g)-(1).

(3) *Employee notification.* Any employee found to have been exposed at any time to airborne concentrations of asbestos fibers in excess of the limits prescribed in paragraph (b) of this section shall be notified in writing of the exposure as soon as practicable but not later than 5 days of the finding. The employee shall also be timely notified of the corrective action being taken.

(j) *Medical examinations-(1) General.* The employer shall provide or make available at his cost, medical examinations relative to exposure to asbestos required by this paragraph.

(2) *Preplacement.* The employer shall provide or make available to each of his employees, within 30 calendar days following his first employment in an occupation exposed to airborne concentrations of asbestos fibers, a comprehensive medical examination, which shall include, as a minimum, a chest roentgenogram (posterior-anterior 14 x 17 inches), a history to elicit symptomatology of respiratory disease, and pulmonary function tests to include forced vital capacity (FVC) and forced expiratory volume at 1 second (FEV<sub>1.0</sub>).

(3) *Annual examinations.* On or before January 31, 1973, and at least annually thereafter, every employer shall provide, or make available, comprehensive medical examinations to each of his employees engaged in occupations exposed to airborne concentrations of asbestos fibers. Such annual examination shall include, as a minimum, a chest roentgenogram (posterior-



or-anterior 14 x 17 inches), a history to elicit symptomatology of respiratory disease, and pulmonary function tests to include forced vital capacity (FVC) and forced expiratory volume at 1 second (FEV<sub>1</sub>).

(4) *Termination of employment.* The employer shall provide, or make available, within 30 calendar days before or after the termination of employment of any employee engaged in an occupation exposed to airborne concentrations of asbestos fibers, a comprehensive medical examination which shall include, as a minimum, a chest roentgenogram (posterior-anterior 14 x 17 inches), a history to elicit symptomatology of respiratory disease, and pulmonary function tests to include forced vital capacity (FVC) and forced expiratory volume at 1 second (FEV<sub>1</sub>).

(5) *Recent examinations.* No medical examination is required of any employee, if adequate records show that the employee has been examined in

accordance with this paragraph within the past 1-year period.

(6) *Medical records—(i) Maintenance.* Employers of employees examined pursuant to this paragraph shall cause to be maintained complete and accurate records of all such medical examinations. Records shall be retained by employers for at least 20 years.

(ii) *Access.* Records of the medical examinations required by this paragraph shall be provided upon request to employees, designated representatives, and the Assistant Secretary in accordance with 29 CFR 1910.20 (a)-(e) and (g)-(i). These records shall also be provided upon the request to the Director of NIOSH. Any physician who conducts a medical examination required by this paragraph shall furnish to the employer of the examined employee all the information specifically required by this paragraph, and any other medical information related to occupational exposure to asbestos fibers.

## Appendix G. Specifications for Sampling Materials and Selecting a Qualified Laboratory to Analyze for Asbestos

### G.1 Collecting Samples

Taking a sample of ACM can damage the material and cause significant release of fibers. The following guidelines are designed to minimize both damage and fiber release.<sup>1</sup>

- Wear at least a half-face respirator with disposable filters (see Section 5.1).
- Wet the surface of the material to be sampled with water from a spray bottle or place a plastic bag around the sampler.
- Sample with a reusable sampler such as a cork borer or a single-use sampler such as a glass vial. (Figure G-1 shows a single-use sampler constructed from an acrylic tube.)
- With a twisting motion, slowly push the sampler into the material. Be sure to penetrate any paint or protective coating and all the layers of the material.
- For reusable samplers, extract and eject the sample into a container. Wet-wipe the tube and plunger. For single-use samplers, extract, wet-wipe the exterior, and cap it.
- Label the container.
- Clean debris using wet towels and discard them in a plastic bag.
- For surfacing material, use latex paint or a sealant to cover the sample area. For pipe and boiler insulation, use a nonasbestos mastic.

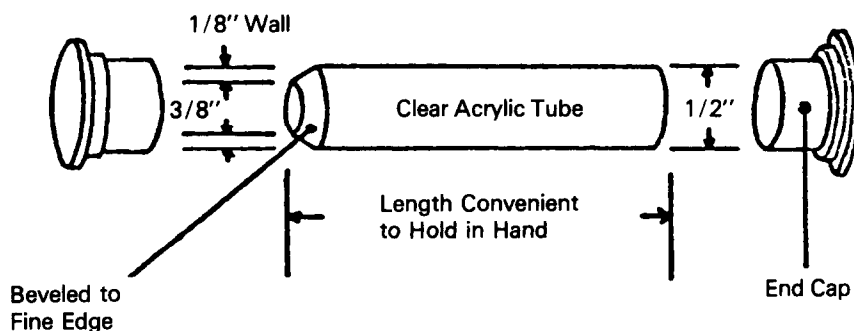


Figure G-1 - Sampler/ container

### G.2 Selecting a Qualified Laboratory

The U.S. Environmental Protection Agency (EPA) runs a bulk asbestos sample quality assurance program. Updated lists of participating laboratories, their performance scores, and further information on the program are available from the Asbestos Technical Information Service at (800) 334-8571 (Extension 6741).

<sup>1</sup>The guidelines are based on information in John T. Jankovic, "Asbestos Bulk Sampling Procedure." American Industrial Hygiene Association, 46, B-8 to B-10, 1985.

## **Appendix H. Definition and Description of Factors for Assessing the Need for Corrective Action\***

### **H.1 Condition of the Asbestos-Containing Material**

#### **H.1.1 Factors 1 and 2: Deterioration or Delamination and Physical Damage**

An assessment of the condition should evaluate : the quality of the installation, the adhesion of the friable material to the underlying substrate, deterioration, and damage from vandalism or any other cause. Evidence of debris on horizontal surfaces, hanging material, dislodged chunks, scraping, indentations, or cracking are indicators of poor material condition.

Accidental or deliberate physical contact with the friable material can result in damage. Inspectors should look for any evidence that the asbestos-containing material has been disturbed: finger marks in the material, graffiti, pieces dislodged or missing, scrape marks from movable equipment or furniture, or accumulation of the friable material on floors, shelves, or other horizontal surfaces.

Asbestos-containing material may deteriorate as a result of either the quality of the installation or environmental factors which affect the cohesive strength of the asbestos-containing material or the strength of the adhesion to the substrate. Deterioration can result in the accumulation of dust on the surface of the asbestos-containing material, delamination of the material (i.e.. separating into layers), or an adhesive failure of the material where it pulls away from the substrate and either hangs loosely or falls to the floor and exposes the substrate. Inspectors should touch the asbestos-containing material and determine if dust is released when the material is lightly brushed or rubbed.

If the coated surface "gives" when slight hand pressure is applied or the material moves up and down with light pushing, the asbestos-containing material is no longer tightly bonded to its substrate.

#### **H.1.2 Factor 3: Water Damage**

Water damage is usually caused by roof leaks, particularly in buildings with flat roofs or a concrete slab and steel beam construction. Skylights can also be significant sources of leaks. Water damage can also result from plumbing leaks and water or high humidity in the vicinity of pools, locker rooms, and lavatories.

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\*The information in this Appendix is taken, with modification, from Brandner, W. October 1982. Asbestos Exposure Assessment in Buildings Inspection Manual. Kansas City, MO: U.S. Environmental Protection Agency, Region VII.

Water can dislodge, delaminate, or disturb friable asbestos-containing materials that are otherwise in good condition and can increase the potential for fiber release by dissolving and washing out the binders in the material. Materials which were not considered friable may become friable after water has dissolved and leached out the binders. Water can also act as a slurry to carry fibers to other areas where evaporation will leave a collection of fibers that can become suspended in the air.

Inspect the area for visible signs of water damage, such as discoloration of or stains on the asbestos-containing material; stains on adjacent walls or floors; buckling of the walls or floors; or areas where pieces of the asbestos-containing material have separated into layers or fallen down, thereby exposing the substrate.

Close inspection is required. In many areas, staining may occur only in a limited area while water damage causing delamination may have occurred in a much larger area. In addition, the water damage may have occurred since the original inspection for friable material, causing new areas to become friable and require a reinspection.

Delamination is particularly a problem in areas where the substrate is a very smooth concrete slab. Check to see if the material "gives" when pressure is applied from underneath.

## **H.2 Potential for Disturbance or Erosion**

### **H.2.1 Factor 4: Air Plenum or Direct Airstream**

An air plenum exists when the return (or, in rare cases, conditioned) air leaves a room or hall through vents in a suspended ceiling and travels at low speed and pressure through the space between the actual ceiling and the suspended ceiling or ducts. The moving air may erode any asbestos-containing material in the plenum. In evaluating whether an air plenum or direct airstream is present, the inspector must look for evidence of ducts or cavities used to convey air to and from heating or cooling equipment or the presence of air vents or outlets which blow air directly onto friable material.

A typical construction technique is to use the space between a suspended ceiling and the actual ceiling as a return air plenum. In many cases, the tiles in the suspended ceiling must be lifted to check if this is the case. Inspection of the air handling or HVAC equipment rooms may also provide evidence (such as accumulated fibers) of the presence of this material in the plenums.

Special attention should be paid to whether frequent activities (such as maintenance) disturb the material in the plenum. It is also important to check for evidence that the material is being

released or eroded (i.e., has it deteriorated or been damaged so that the material is free to circulate in the airstream?).

### **H.2.2 Factor 5: Exposure, Accessibility, and Activity**

These three considerations are highly interrelated and have been combined into a single factor. In general, for a site to show a high potential for disturbance, it must be exposed (visible) and accessible, and be located near movement corridors or subject to vibration.

The amount of asbestos-containing material exposed to the area occupied by people will contribute to the likelihood that the material may be disturbed and determines whether the fibers can freely move through the area. An asbestos-containing material is considered exposed if it can be seen. For a material not to be exposed, a physical barrier must be complete, undamaged, and unlikely to be removed or dislodged. An asbestos-containing material should be considered exposed if it is visible, regardless of the height of the material.

If the asbestos-containing material is located behind a suspended ceiling with movable tiles, a close inspection must be made of the condition of the suspended ceiling; the likelihood and frequency of access into the suspended ceiling, and whether the suspended ceiling forms a complete barrier or is only partially concealing the material.

Asbestos-containing material above a suspended ceiling is considered exposed if the space above the suspended ceiling is an air plenum. Suspended ceilings with numerous louvers, grids, or other open spaces should be considered exposed.

If friable asbestos-containing material can be reached by building users or maintenance people, either directly or by impact from objects used in the area, it is accessible and subject to accidental or intentional contact and damage. Material which is accessible is likely to be disturbed in the future.

Height above the floor is one measure of accessibility. However, objects have been observed embedded in ceilings 25 feet or more high. Nearness of the friable asbestos-containing material to heating, ventilation, lighting and plumbing systems requiring maintenance or repair may increase the material's accessibility.

In addition, the activities and behavior of persons using the building should be included in the assessment of whether the material is accessible. For example, persons involved in athletic activities may accidentally damage the material on the walls and ceilings of gymnasiums with balls or athletic equipment. To become fully aware of occupants' use of the building, the inspector should consult with building staff or personnel.

When assessing activity levels, consider not only the movement caused by the activities of people but also movement from other sources such as high vibration from mechanical equipment, highways, and airplanes. Another source of vibration is sound, such as music and noise, which sets airwaves in motion at certain frequencies. As these sound waves impact on asbestos-containing material, they may vibrate the material and contribute to fiber release. Therefore, more fibers may be released in a music practice room or auditorium than in the rest of the building.

The amount of activity of the occupants can best be described by identifying the purpose of the area as well as estimating the number of persons who enter the area on a typical day.

### **H.2.3 Factor 6: Change in Building Use**

A planned change in the use of the building from, for example, a junior to a senior high school may imply significant changes in the potential for erosion or disturbance. Of particular note is the increased potential for damage from balls to previously inaccessible ceilings in gymnasiums. The addition of machinery (such as dust collectors in wood or metal shops) to a school or office building may introduce vibrations which, again, may be a future cause of concern. The inspector should exercise judgement and draw on experience in evaluating the likely effect of such changes.

**Appendix I. Example Building Inspection Form**

Room: \_\_\_\_\_ Sample Number(s) : \_\_\_\_\_




Building: \_\_\_\_\_ Address: \_\_\_\_\_

Evaluator: \_\_\_\_\_ Phone No. : \_\_\_\_\_

Coated Area: Ceiling Wall(s) Structural Members Above Suspended Ceiling  
 Pipe Lagging Boiler Insul. Other: \_\_\_\_\_

Type of Ceiling: Concrete 3 Coat Plaster System Suspended Metal Lath  
 Concrete Joists and Beams Tile Suspended Lay-In Panels  
 Metal Deck Corrugated Steel Steel Beam or Bar Joists

Ceiling Height: \_\_\_\_\_ ft.

Ceiling Shape: Flat  Dome Other  
  (draw) :  
 Folded Plate Barrel

Type of Wall (If Coated): Smooth Concrete Rough Concrete Masonry  
 Plasterboard Other: \_\_\_\_\_

Amount of Friable Material in Area being Evaluated: \_\_\_\_\_ sq. ft.

Description of Coating: Fibrous (highly friable) Granular/Cementitious (soft) Concrete Like (hard)

Thickness: \_\_\_\_\_ inch(s) Is thickness uniform: Yes No

Coating debris on Floor/Furniture/Work Surfaces: Yes No

Curtains, expandable partitions, etc. being pulled across coating: Yes No

Type of Lighting: Surface Mounted Suspended Recessed

No. of Lights: \_\_\_\_\_ Type of Heating/Cooling Systems: \_\_\_\_\_

Type of Floor: Concrete Tile Wood Carpet Other: \_\_\_\_\_

What is above the room being evaluated? \_\_\_\_\_

Comments: \_\_\_\_\_

\_\_\_\_\_

## **I.1 Notes to Appendix I**

The need for collecting most of the information on this form is discussed in Chapter 5 (Section 5.1). The form requires one additional piece of information: the presence of curtains or expandable partitions which are pulled across asbestos-containing material. Where this situation is found, the curtains or partitions should be removed or repositioned to eliminate contact with the material. Any damage to the asbestos-containing material then can be repaired.

This form was provided by Wolfgang Brandner, the Regional Asbestos Coordinator in Region VII.



## **Appendix J. Recommended Specifications and Operating Procedures for the Use of Negative Pressure Systems for Asbestos Abatement**

### **J.1 Introduction**

This appendix provides guidelines for the use of negative pressure systems in removing asbestos-containing materials from buildings. A negative pressure system is one in which static pressure in an enclosed work area is lower than that of the environment outside the containment barriers.

The pressure gradient is maintained by moving air from the work area to the environment outside the area via powered exhaust equipment at a rate that will support the desired air flow and pressure differential. Thus, the air moves into the work area through designated access spaces and any other barrier openings. Exhaust air is filtered by a high-efficiency particulate air (HEPA) filter to remove asbestos fibers.

The use of negative pressure during asbestos removal protects against large-scale release of fibers to the surrounding area in case of a breach in the containment barrier. A negative pressure system also can reduce the concentration of airborne asbestos in the work area by increasing the dilution ventilation rate (i. e., diluting contaminated air in the work area with uncontaminated air from outside) and exhausting contaminated air through HEPA filters. The circulation of fresh air through the work area reportedly also improves worker comfort, which may aid the removal process by increasing job productivity.

### **J.2 Materials and Equipment**

#### **J.2.1 The Portable, HEPA-Filtered, Powered Exhaust Unit**

The exhaust unit establishes lower pressure inside than outside the enclosed work area during asbestos abatement. Basically, a unit (see Figure J-1) consists of a cabinet with an opening at each end, one for air intake and one for exhaust. A fan and a series of filters are arranged inside the cabinet between the openings. The fan draws contaminated air through the intake and filters and discharges clean air through the exhaust.

Portable exhaust units used for negative pressure systems in asbestos abatement projects should meet the following specifications.

##### **J.2.1.1 Structural Specifications**

The cabinet should be ruggedly constructed and made of durable materials to withstand damage from rough handling and transportation. The width of the cabinet should be less than 30 inches to fit through standard-size doorways. The cabinet must be appropriately sealed to prevent asbestos-containing dust from being emitted during use, transport, or maintenance. There should be easy access to all air filters from the intake end, and the filters must be easy

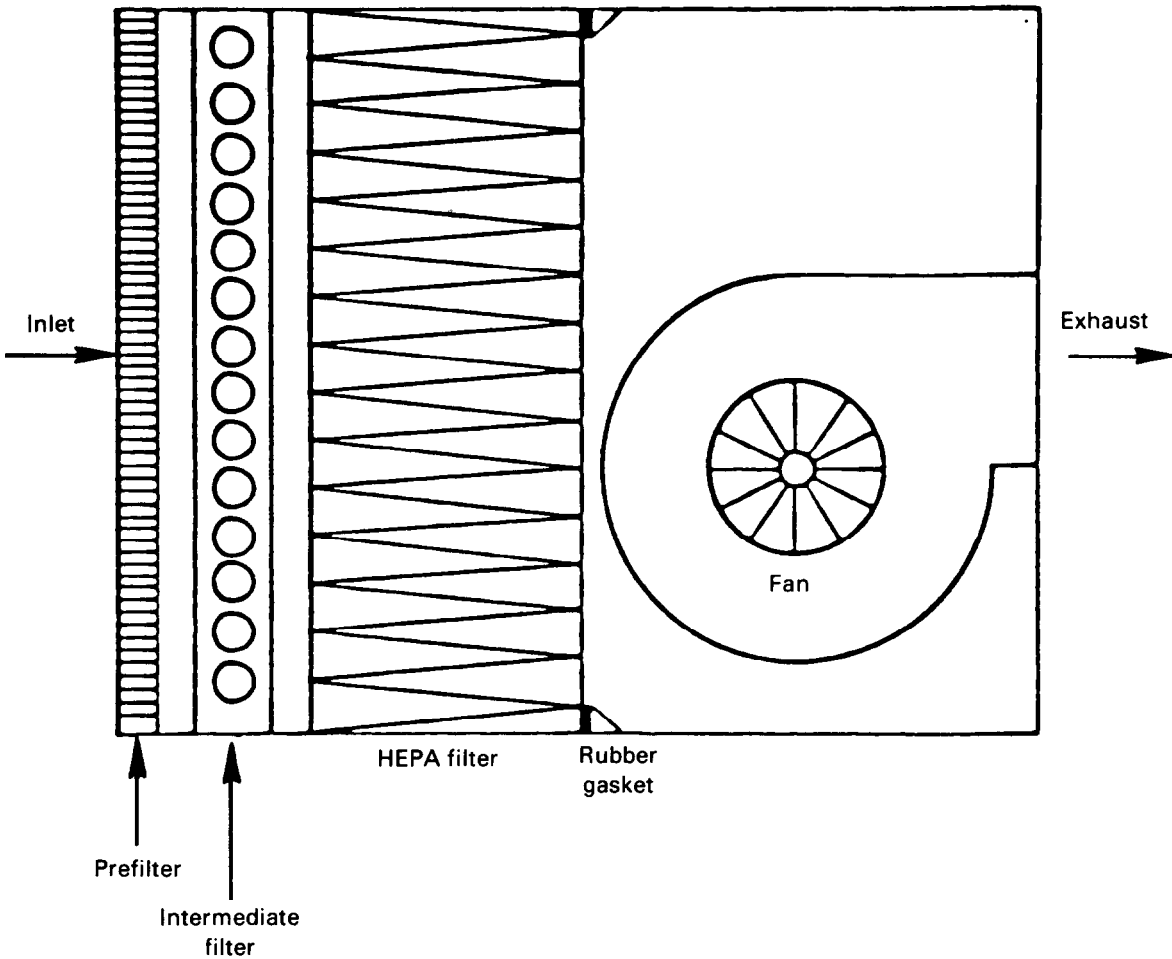


Figure J-1, An example of a HEPA-filtered exhaust unit. This scheme is one of several possible designs.

to replace. The unit should be mounted on casters or wheels so it can be easily moved. It also should be accessible for easy cleaning.

### J.2.1.2 Mechanical Specifications

#### J.2.1.2.1 Fans

The fan for each unit should be sized to draw a desired air flow through the filters in the unit at a specified static pressure drop. The unit should have an air-handling capacity of 1,000 to 2,000 ft<sup>3</sup>/min (under “clean” filter conditions). The fan should be of the centrifugal type.

For large-scale abatement projects, where the use of a larger capacity, specially designed exhaust system may be more practical than several smaller units, the fan should be appropriately sized according to the proper load capacity established for the application, i.e.,

$$\text{Total ft}^3/\text{min (load)} = \frac{\text{Volume of air in ft}^3 \times \text{air changes/hour}}{60 \text{ min/hour}}$$

Smaller-capacity units (e.g., 1,000 ft<sup>3</sup>/min) equipped with appropriately sized fans and filters may be used to ventilate smaller work areas. The desired air flow could be achieved with several units.

### **J.2.1.2.2 Filters**

The final filter must be the HEPA type. Each filter should have a standard nominal rating of at least 1,100 ft<sup>3</sup>/min with a maximum pressure drop of 1 inch H<sub>2</sub>O clean resistance. The filter media (folded into closely pleated panels) must be completely sealed on all edges with a structurally rigid frame and cross-braced as required. The exact dimensions of the filter should correspond with the dimensions of the filter housing inside the cabinet or the dimensions of the filter-holding frame. The recommended standard size HEPA filter is 24 inches high x 24 inches wide x 11 -1/2 inches deep. The overall dimensions and squareness should be within 1/8 inch.

A continuous rubber gasket must be located between the filter and the filter housing to form a tight seal. The gasket material should be 1/4 inch thick and 3/4 inch wide.

Each filter should be individually tested and certified by the manufacturer to have an efficiency of not less than 99.97 percent when challenged with 0.3- $\mu$ m dioctylphthalate (DOP) particles. Testing should be in accordance with Military Standard Number 282 and Army Instruction Manual 136-300-1 75A. Each filter should bear a UL586 label to indicate ability to perform under specified conditions.

Each filter should be marked with: the name of the manufacturer, serial number, air flow rating, efficiency and resistance, and the direction of test air flow.

Prefilters, which protect the final filter by removing the larger particles, are recommended to prolong the operating life of the HEPA filter. Prefilters prevent the premature loading of the HEPA filter. They can also save energy and cost. One (minimum) or two (preferred) stages of prefiltration may be used. The first-stage prefilter should be a low-efficiency type (e.g., for particles 10  $\mu$ m and larger). The second-stage (or intermediate) filter should have a medium efficiency (e. g., effective for particles down to 5  $\mu$ m). Various types of filters and filter media for prefiltration applications are available from many manufacturers. Prefilters and intermediate filters should be installed either on or in the intake grid of the unit and held in place with special housings or clamps.

### **J.2.1.2.3 Instrumentation**

Each unit should be equipped with a Magnehelic gauge or manometer to measure the pressure drop across the filters and indicate when filters have become loaded and need to be changed. The static pressure across the filters (resistance) increases as they become loaded with dust, affecting the ability of the unit to move air at its rated capacity.

### **J.2.1.3 Electrical**

#### **J.2.1.3.1 General**

The electrical system should have a remote fuse disconnect. The fan motor should be totally enclosed, fan-cooled, and the nonoverloading type. The unit must use a standard 11 5-V,

single-phase, 60-cycle service. All electrical components must be approved by the National Electrical Manufacturers Association (NEMA) and Underwriter's Laboratories (UL).

#### **J.2.1.3.2 Fans**

The motor, fan, fan housing, and cabinet should be grounded. The unit should have an electrical (or mechanical) lockout to prevent the fan from operating without a HEPA filter.

#### **J.2.1.3.3 Instrumentation**

An automatic shutdown system that would stop the fan in the event of a major rupture in the HEPA filter or blocked air discharge is recommended. Optional warning lights are recommended to indicate normal operation, too high of a pressure drop across the filters (i. e., filter overloading), and too low of a pressure drop (i. e., major rupture in HEPA filter or obstructed discharge). Other optional instruments include a timer and automatic shut-off and an elapsed time meter to show the total accumulated hours of operation.

### **J.3 Setup and Use of a Negative Pressure System**

#### **J.3.1 Preparation of the Work Area**

##### **J.3.1.1 Determining the Ventilation Requirements for a Work Area**

Experience with negative pressure systems on asbestos abatement projects indicates a recommended rate of one air change every 15 minutes. The volume (in ft<sup>3</sup>) of the work area is determined by multiplying the floor area by the ceiling height. The total air flow requirement (in ft<sup>3</sup>/min) for the work area is determined by dividing this volume by the recommended air change rate (i.e., one air change every 15 minutes). \*

$$\text{Total ft}^3/\text{min} = \text{Volume of work area (in ft}^3\text{)}/15 \text{ min}$$

The number of units needed for the application is determined by dividing the total ft<sup>3</sup>/min by the rated capacity of the exhaust unit.

$$\text{Number of units needed} = [\text{Total ft}^3/\text{min}]/[\text{Capacity of unit (in ft}^3\text{)}]$$

##### **J.3.1.2 Location of Exhaust Units**

The exhaust unit(s) should be located so that makeup air enters the work area primarily through the decontamination facility and traverses the work area as much as possible. This may be accomplished by positioning the exhaust unit(s) at a maximum distance from the worker access opening or other makeup air sources.

Wherever practical, work area exhaust units can be located on the floor in or near unused doorways or windows. The end of the unit or its exhaust duct should be placed through an opening in the plastic barrier or wall covering. The plastic around the unit or duct should then be sealed with tape,

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\*The recommended air exchange rate is based on engineering judgment.

Each unit must have temporary electrical power (1 15VAC). If necessary, three-wire extension cords can supply power to a unit. The cords must be in continuous lengths (without splice), in good condition, and should not be more than 100 feet long. They must not be fastened with staples, hung from nails, or suspended by wire. Extension cords should be suspended off the floor and out of workers' way to protect the cords from damage from traffic, sharp objects, and pinching.

Wherever possible, exhaust units should be vented to the outside of the building. This may involve the use of additional lengths of flexible or rigid duct connected to the air outlet and routed to the nearest outside opening. Windowpanes may have to be removed temporarily.

If exhaust air cannot be vented to the outside of the building or if cold temperatures necessitate measures to conserve heat and minimize cold air infiltration, filtered air that has been exhausted through the barrier may be recirculated into an adjacent area. However, this is not recommended.

Additional makeup air may be necessary to avoid creating too high of a pressure differential, which could cause the plastic coverings and temporary barriers to "blow in." Additional makeup air also may be needed to move air most effectively through the work area. Supplemental makeup air inlets may be made by making openings in the plastic sheeting that allow air from outside the building into the work area. Auxiliary makeup air inlets should be as far as possible from the exhaust unit(s) (e.g., on an opposite wall), off the floor (preferably near the ceiling), and away from barriers that separate the work area from occupied clean areas. They should be resealed whenever the negative pressure system is turned off after removal has started. Because the pressure differential (and ultimately the effectiveness of the system) is affected by the adequacy of makeup air, the number of auxiliary air inlets should be kept to a minimum to maintain negative pressure. Figure J-2 presents examples of negative pressure systems denoting the location of HEPA-filtered exhaust units and the direction of air flow.

### **J.3.2 Use of the Negative Pressure System**

#### **J.3.2.1 Testing the System**

The negative pressure system should be tested before any asbestos-containing material is wetted or removed. After the work area has been prepared, the decontamination facility set up, and the exhaust unit(s) installed, the unit(s) should be started (one at a time). Observe the barriers and plastic sheeting. The plastic curtains of the decontamination facility should move slightly in toward the work area. The use of ventilation smoke tubes and a rubber bulb is another easy and inexpensive way to visually check system performance and direction of air flow through openings in the barrier. Another test is to use a Magnehelic gauge (or other instrument) to measure the static pressure differential across the barrier. The measuring device must be sensitive enough to detect a relatively low pressure drop. A Magnehelic gauge with a scale of 0 to 0.25 or 0.50 inch of H<sub>2</sub>O and 0.005 or 0.01 inch graduations is generally adequate. The pressure drop across the barrier is measured from the outside by punching a small hole in the plastic barrier and inserting one end of a piece of rubber or Tygon tubing. The other end of the tubing is connected to the "low pressure" tap of the instrument. The "high pressure" tap must be open to the atmosphere. The pressure is read directly from the scale. After the test is completed, the hole in the barrier must be patched.

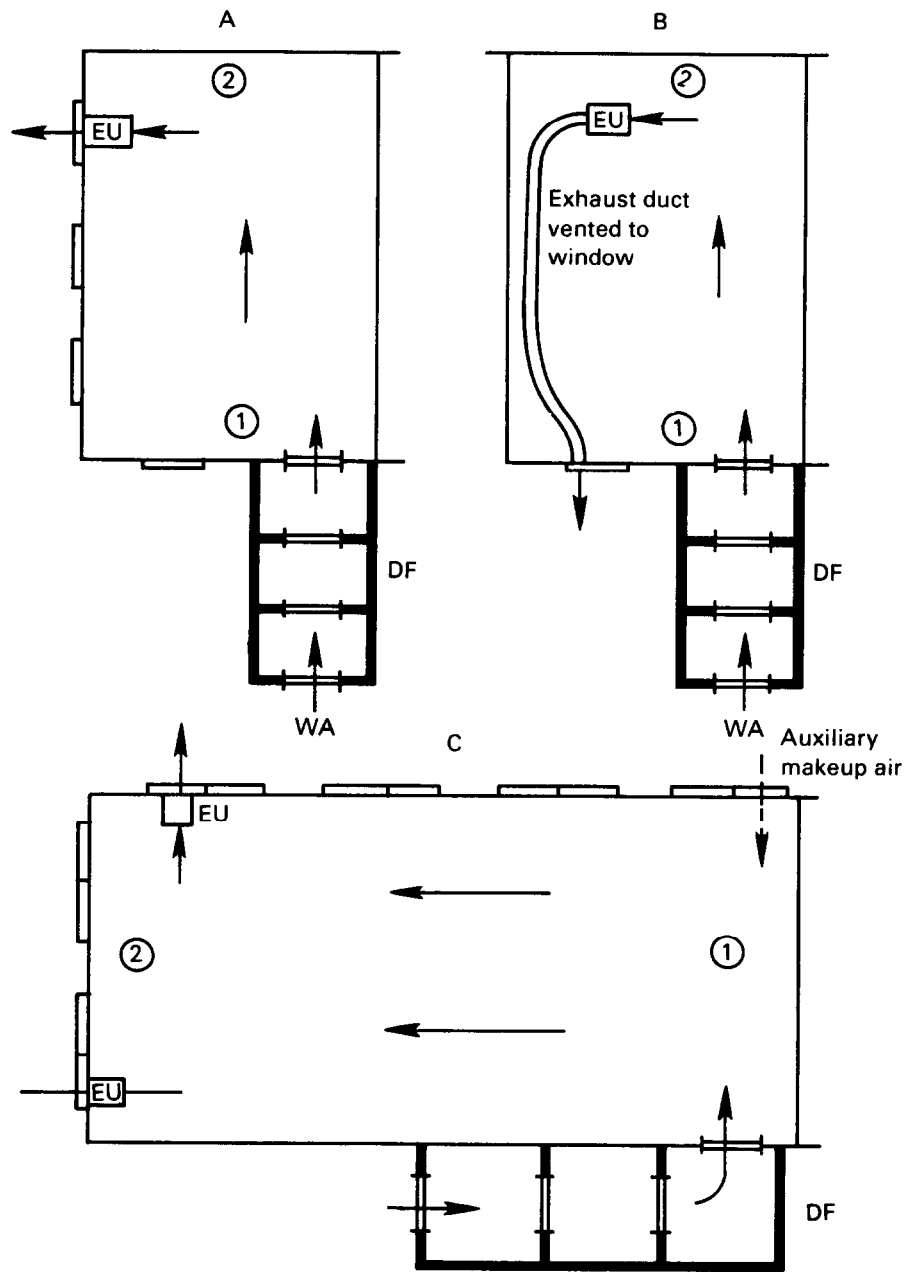


Figure J-2. Examples of negative pressure systems. DF, Decontamination Facility; EU, Exhaust Unit; WA, Worker Access; A, Single-room work area with multiple windows; B, Single-room work area with single window near entrance; C, Large single-room work area with windows and auxiliary makeup air source (dotted arrow). Arrows denote direction of air flow. Circled numbers indicate progression of removal sequence.

### **J.3.2.2 Use of System During Removal Operations**

The exhaust units should be started just before beginning removal (i.e., before any asbestos-containing material is disturbed). After removal has begun, the units should run continuously to maintain a constant negative pressure until decontamination of the work area is complete. The units should not be turned off at the end of the work shift or when removal operations temporarily stop.

Employees should start removing the asbestos material at a location farthest from the exhaust units and work toward them. If an electric power failure occurs, removal must stop immediately and should not resume until power is restored and exhaust units are operating again.

Because airborne asbestos fibers are microscopic in size and tend to remain in suspension for a long time, the exhaust units must keep operating throughout the entire removal and decontamination processes. To ensure continuous operation, a spare unit should be available.

After asbestos removal equipment has been moved from the work area, the plastic sheeting has been cleaned, and all surfaces in the work area have been wet-cleaned, the exhaust units can be allowed to run for at least another 4 hours to remove airborne fibers that may have been generated during wet removal and cleanup and to purge the work area with clean makeup air. The units may be allowed to run for a longer time after decontamination, particularly if dry or only partially wetted asbestos material was encountered during removal.

#### **J.3.2.2.1 Filter Replacement**

All filters must be accessible from the work area or “contaminated” side of the barrier. Thus, personnel responsible for changing filters while the negative pressure system is in use should wear approved respirators and other protective equipment. The operating life of a HEPA filter depends on the level of particulate contamination in the environment in which it is used. During use, filters will become loaded with dust, which increases resistance to air flow and diminishes the air-handling capacity of the unit. The difference in pressure drop across the filters between “clean” and “loaded” conditions ( $\Delta P$ ) is a convenient means of estimating the extent of air-flow resistance and determining when the filters should be replaced.

When  $\Delta P$  across the filters (as determined by the Magnehelic gauge or manometer on the unit) exceeds 1.0 inch of  $H_2O$ , the prefilter should be replaced first. The prefilter, which fan suction will generally hold in place on the intake grill, should be removed with the unit running by carefully rolling or folding in its sides. Any dust dislodged from the prefilter during removal will be collected on the intermediate filter. The used prefilter should be placed inside a plastic bag, sealed and labeled, and disposed of as asbestos waste. A new prefilter is then placed on the intake grill. Filters for prefiltration applications may be purchased as individual precut panels or in a roll of specified width that must be cut to size.

If the  $\Delta P$  still exceeds 1.0 inch of  $H_2O$  after the prefilter has been replaced, the intermediate filter is replaced. With the unit operating, the prefilter should be removed, the intake grill or filter access opened, and the intermediate filter removed. Any dust dislodged from the intermediate filter during removal will be collected on the HEPA filter. The used intermediate filter should be placed in a sealable plastic bag (appropriately labeled) and disposed of as asbestos waste. A new replacement filter is then installed and the grill or access closed. Finally, the prefilter on the intake grill should be replaced.

The HEPA filter should be replaced if prefilter and/or intermediate filter replacement does not restore the pressure drop across the filters to its original clean resistance reading or if the HEPA filter becomes damaged. The exhaust unit is shut off to replace the HEPA filter, which requires removing the prefilter first, then opening the intake grill or filter access, and finally removing the HEPA filter from the unit. Used HEPA filters should be placed in a sealable plastic bag (appropriately labeled) and disposed of as asbestos waste. A new HEPA filter (structurally identical to the original filter) should then be installed. The intake grill and intermediate filter should be put back in place, the unit turned on, and the prefilter positioned on the intake grill. Whenever the HEPA filter is replaced, the prefilter and intermediate filter should also be replaced.

When several exhaust units are used to ventilate a work area, any air movement through an inactive unit during the HEPA filter replacement will be into the work area. Thus, the risk of asbestos fiber release to the outside environment is controlled.

Any filters used in the system maybe replaced more frequently than the pressure drop across the filters indicates is necessary. Prefilters, for example, may be replaced two to four times a day or when accumulations of particulate matter become visible. Intermediate filters must be replaced once every day or so, and the HEPA filter may be replaced at the beginning of each new project. (Used HEPA filters must be disposed of as asbestos-containing waste. ) Conditions in the work area dictate the frequency of filter changes. In a work area where fiber release is effectively controlled by thorough wetting and good work practices, fewer filter changes may be required than in work areas where the removal process is not well controlled. It should also be noted that the collection efficiency of a filter generally improves as particulate accumulates on it. Thus, filters can be used effectively until resistance (as a result of excessive particulate loading) diminishes the exhaust capacity of the unit.

### **J.3.2.3 Dismantling the System**

When a final inspection and the results of final air tests indicate that the area has been decontaminated, all filters of the exhaust units should be removed and disposed of properly and the units shut off. The remaining barriers between contaminated and clean areas and all seals on openings into the work area and fixtures may be removed and disposed of as contaminated waste. A final check should be made to be sure that no dust or debris remain on surfaces as a result of dismantling operations.



## Appendix K. Checklist for Determining Contractor Qualifications

- a. Contractors shall demonstrate reliability in performance of general contracting activities through the submission of a list of references of persons who can attest to the quality of work performed by the contractor.
- b. Contractors must demonstrate ability to perform asbestos abatement activities by submitting evidence of the successful completion of training courses covering asbestos abatement. At a minimum, the contractor shall furnish proof that employees have had instruction on the dangers of asbestos exposure, on respirator use, decontamination, and OSHA regulations.
- c. Contractors must be able to demonstrate prior experience in performing previous abatement projects through the submission of a list of prior contracts, including: the names, addresses, and telephone numbers of building owners for whom the projects were performed. In rare circumstances inexperienced contractors may be qualified if they can demonstrate exceptional qualifications in the other contractor standards.
- d. Additional evidence of successful completion of prior abatement projects should be demonstrated by contractors through the submission of air monitoring data, if any, taken during and after completion of previous projects in accordance with 29 CFR 1910.1001 (e).
- e. Contractors must possess written standard operating procedures and employee protection plans which include specific reference to OSHA medical monitoring and respirator training programs. In addition, the contractor must be prepared to make available for viewing at the job site a copy of OSHA regulations at 29 CFR 1910.1001 governing asbestos controls, and Environmental Protection Agency regulations at 40 CFR Part 61, Subpart M, (NESHAPS) governing asbestos stripping work practices. and disposal of asbestos waste.
- f. In those States which have contractor certification programs, contractors must possess State certifications for the performance of asbestos abatement projects.
- g. Contractors must be able to provide a description of any asbestos abatement projects which have been prematurely terminated, including the circumstances surrounding the termination.
- h. Contractors must provide a list of any contractual penalties which the contractor has paid for breach or noncompliance with contract specifications, such as overruns of completion time or liquidated damages.
- i. Any citations levied against the contractor by any Federal, State, or local government agencies for violations related to asbestos abatement, shall be identified by contractors, including the name or location of the project, the date(s), and how the allegations were resolved.
- j. Contractors must submit a description detailing all legal proceedings, lawsuits or claims which have been filed or levied against the contractor or any of his past or present employees for asbestos-related activities.
- k. Contractors must supply a list of equipment that they have available for asbestos work. This should include negative air machines, type "C" supplied air systems, scaffolding, decontamination facilities, disposable clothing, etc.

## **Appendix L. Guide Specifications for Abatement Projects**

The following organizations have developed contract specifications that can be used as a guide for abatement projects:

Association of the Wall/Ceiling Industries—International, Inc.

Guide Specifications for the Abatement of Asbestos Release from Spray-or Trowel-Applied Materials in Buildings and Other Structures. December 1981. The Foundation of the Wall and Ceiling Industry, 25 K Street, N. E., Washington, DC 20002 USA.

Maryland State Department of Health and Mental Hygiene

Recommended Contract Specifications for Asbestos Abatement Projects.

Federal Construction Guide Specifications (FCGS): 02085. Asbestos Abatement Procedures.

GSA Guide Specifications PBS (PCD): 02085. Asbestos Abatement Procedures.

## **Appendix M. Detailed Specifications for Sampling and Analyzing Airborne Asbestos**

The following specifications are summarized from "Measuring Airborne Asbestos Following an Abatement Action" (USEPA 1985).

### **M.1 Sampling**

#### **M.1.1 Sampling Equipment**

Standard sampling equipment consists of a pump (operated at a 2 to 12 liter per minute flow rate), a filter in a cassette and associated tubing and supports. Three types of filters can be used:

PCM — cellulose ester with 0.8 to 1.2  $\mu\text{m}$  pore size;

TEM — polycarbonate with 0.4  $\mu\text{m}$  pore size (preferred); or cellulose ester with 0.8  $\mu\text{m}$  pore size.

#### **M.1.2 Number of Samples**

##### **M.1.2.1 TEM**

A minimum of five samples inside and five outside the work site is recommended. When a negative air pressure ventilation system has been used during the abatement operation the "outside" samples should be collected outside the work site, but inside the building. This provides a comparison between the work site and the incoming air. If a negative air pressure ventilation system has not been used, the "outside" samples should be collected outdoors. These sample sizes are based on calculations of statistical reliability and on the following characteristics:

- The coefficient of variation for TEM measurements is between 100% and 150% based on data from EPA research studies,
- A false positive rate of .10 (i.e., based on the statistical test comparing inside and outside measurements, 10% of the "clean" work sites will fail and have to be recleaned).
- A false negative rate of at most .10 (i.e., the statistical test comparing inside and outside measurements will identify at least 90% of the sites that must be recleaned).

##### **M.1.2.2 PCM**

A minimum of five samples is recommended. A sample size of five controls the false negative error rate. At least 90% of the sites where the actual fiber concentration exceeds 0.01 f/cc will fail the test. If the actual concentration is 0.02 f/cc the probability of failure is 99%.

### M.1.3 Location of Samplers

#### M.1.3.1 Indoors

Indoor samplers should be placed so they are not influenced by unusual air circulation patterns. Avoid corners of rooms and obstructions (like furniture). Within the above constraints, samplers should be placed at random around the work site. For example, if the site is a single room of 1000 or more sq. ft., the five samplers should be distributed in an approximately uniform manner. If the site includes more than five rooms, the rooms to be sampled maybe selected randomly. The companion EPA document (USEPA 1985) describes this procedure in more detail.

When TEM is used for the air test and a negative air pressure ventilation system has been employed during the abatement operation, the five “outside” samplers should be placed outside the work site but inside the building, and the negative air system left running during sampling. These outside samplers should be located to avoid any air that might escape through the containment barriers. Minimum recommendations are at least 50 ft. from the entry portal to the work site, or 25 ft. from the plastic containment barriers.

#### M.1.3.2 Outdoors

If TEM is to be used for the air test and a negative air pressure ventilation system has not been used during abatement, then five samplers should be placed outdoors. These should be placed at ground level (about 2 meters high), if possible, and away from obstructions that may influence wind patterns. If access to electricity and concerns about security dictate a roof-top site, do not place samplers near vents or other structures on the roof.

### M.1.4 Sampling Volumes

#### M.1.4.1 TEM

The required sampling volume for the TEM air test is calculated from the theoretical detection limit of the TEM analysis procedures, and from typical levels of asbestos against which measurements in the work site will be compared:

$$\text{Volume} = \frac{(1 \text{ f/10 grid squares})}{(0.005 \text{ f/cc})} \times \frac{(855 \text{ mm}^2)}{(0.0056 \text{ mm}^2)} \times \frac{(1 \text{ liter})}{(1000 \text{ cc})} = 3054 \text{ liters}$$

- Where:
- 1 f/10 grid squares (the maximum recommended filter counting area) is the smallest number of fibers needed to make a non-zero measurement. (This is below the limit of reliable quantification.)
  - 0.005 f/cc is a typical outdoor asbestos level in urban areas, as measured by TEM (Chatfield 1983).
  - 855 mm<sup>2</sup> is the collection area of a 37 mm diameter filter.
  - 0.0056 mm<sup>2</sup> is the area of each grid square (75 μm per side) in a 200 mesh electron microscope grid. This value will vary from 0.0056 to 0.0081 mm<sup>2</sup> for different grids. Larger grid squares will improve measurement accuracy for the same sampling volume.

This equation is appropriate for TEM analysis using a direct sample transfer technique (see Section M.2.1). If an indirect technique is used, the required sampling volume is increased in proportion to the dilution used. For example, if the sample is diluted by a factor of 10, the required volume is 10 times larger.

### M.1.4.2 PCM

The equivalent PCM limit of reliable quantification for a sampling volume of 3000 liters is:

$$\text{Quantification Limit} = \frac{(10 \text{ f/100 fields})}{(3000 \text{ liters})} \times \frac{(855 \text{ mm}^2)}{(0.003 \text{ mm}^2)} \times \frac{(1 \text{ liter})}{(1000 \text{ cc})} = 0.01 \text{ f/cc}$$

Where: • 10 f/100 fields is the limit of reliable quantification for the P&CAM 239 method.

- 855 mm<sup>2</sup> is the collection area of a 37 mm diameter filter.
- 0.003 mm<sup>2</sup> is the size of a typical field of view for a PCM microscope. This value will vary from 0.003 to 0.006 mm<sup>2</sup> for different microscopes. Larger fields of view will improve (decrease) the limit of reliable quantification.

By increasing the sampling volume, the PCM test criterion can be made proportionally more stringent:

Volume	Quantification Limit
3000 liters	0.01 f/cc
5000	0.006
7500	0.004

If the sampling scheme associated with the new NIOSH 7400 PCM method is used, the limit of reliable quantification will be lower for the same sampling volume.

### M.1.5 Aggressive Sampling

Procedures for sampling aggressively are:

- Before starting the sampling pumps, direct the exhaust from forced air equipment (such as a 1 horsepower leaf blower) against all walls, ceilings, floors, ledges and other surfaces in the room. This should take at least 5 minutes per 1000 sq. ft. of floor.
- Place a 20-inch fan in the center of the room. (Use one fan per 10,000 cubic feet of room space.) Place the fan on slow speed and point it toward the ceiling.
- Start the sampling pumps and sample for the required time.
- Turn off the pump and then the fan(s) when sampling is complete.

## M.2 Analysis

### M.2.1 TEM

Use the update to the EPA provisional method (Yamate 1984). The sample should be transferred directly from the polycarbonate filter to the electron microscope grid. If high levels of organic materials are suspected or found, cellulose ester filters and indirect transfer (involving ashing, sonicating, and refiltering the fibers) is recommended. However, levels of airborne organic particles should be low in a cleaned work site.

## M.2.2 PCM

Use the NIOSH P&CAM 239 method (NIOSH 1979). The newer NIOSH 7400 methods can also be used, although OSHA has yet to replace P&CAM 239 with 7400 for workplace compliance monitoring. NIOSH reports that 7400 is at least as accurate as P&CAM 239

## M.3 Interpretation of Results

### M.3.1 TEM

Use student's "t" test to compare inside and outside levels.

- Compute the natural logarithm of fiber concentration for each sample.
- Compute means of the log transformed data for inside samples and outside samples.
- Form the ratio

$$T = \frac{\bar{y}_1 - \bar{y}_2}{S \sqrt{\frac{1}{n_1} + \frac{1}{n_2}}}$$

Where:

$\bar{y}_1$  = average of log concentrations inside the work site

$\bar{y}_2$  = average of log concentrations outside the work site

$S = [(\sum (y_{1j} - \bar{y}_1)^2 + \sum (y_{2j} - \bar{y}_2)^2) / (n_1 + n_2 - 2)]^{1/2}$

$n_1$  = number of samples collected inside the work site

$n_2$  = number of samples collected outside the work site

Then compare T to the 95 percentile point of a "t" distribution with  $n_1 + n_2 - 2$  degrees of freedom. (When 5 samples are collected inside and outside the 95 percentile point is 1.86.) If T exceeds the 95 percentile point, reclean. Otherwise, release the contractor.

The following two examples illustrate the method:

**Example 1**

Measurements inside  
the work site (f/cc)

0.002  
0.007  
0.030  
0.028  
0.001

$$\bar{y}_1 = -5.03$$

$$S = 1.49$$

$$T = 0.38$$

Measurements outside  
the work site (f/cc)

0.001  
0.010  
0.008  
0.001  
0.025

$$\bar{y}_2 = -5.39$$

T is less than 1.86. The contractor is released.

**Example 2**

Measurements inside  
the work site (f/cc)

0.052  
0.130  
0.005  
0.240  
0.375

$$\bar{y}_1 = -2.54$$

$$s = 1.59$$

$$T = 2.84$$

Measurements outside  
the work site (f/cc)

0.001  
0.010  
0.008  
0.001  
0.025

$$\bar{y}_2 = -5.39$$

T is greater than 1.86. The site must be recleaned.

The test is based on the assumption that a homogeneous work site has been selected. If one sample has a much higher concentration than the others it is possible that the site is not homogeneous. Common sense should prevail in this case. Irrespective of the result of the “t” test, the high value should be investigated. The sample should be reanalyzed, additional samples collected, or the site recleaned and tested before the contractor is released.

**M.3.2 PCM**

The measured level of each sample is compared with the PCM limit of reliable quantification for the volume of air sampled (approximately 0.01 f/cc for 3000 liters). If any of the samples exceeds 0.01 f/cc, the work site must be re-cleaned.

## References

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## Appendix N. Glossary

Abatement	Asbestos control beyond a special operations and maintenance program.
Asbestos	A group of naturally occurring minerals that separate into fibers. There are six asbestos minerals used commercially: Chrysotile, Amosite, Crocidolite, Anthophyllite, Tremolite, and Actinolite.
Cementitious	Friable materials that are densely packed and nonfibrous.
Containment	Isolation of the work area from the rest of the building to prevent escape of asbestos fibers.
Delaminate	To separate into layers. As used here, to separate from the substrate.
(Human) Exposure	The presence of people in an area where levels of an airborne contaminant are elevated. A more technical definition sometimes found in scientific literature is: The total amount of airborne contaminant inhaled by a person, typically approximated by the product of concentration and duration.
(Material) Exposure	The amount or fraction of material visible.
Fibrous	Spongy, fluffy, composed of long strands of fibers.
Friable	Capable of being crumbled, pulverized, or reduced to powder by hand pressure.
Homogeneous (Material)	Similar in appearance and texture.
Homogeneous (Work Site)	Contains only one type of asbestos-containing material and only one type of abatement method was used.
Peak levels	Levels of airborne contaminant which are much higher than average and occur for short periods of time in response to sudden release of the contaminant.
Prevalent levels	Levels of airborne contaminant occurring under normal conditions.
Resolve	To distinguish different objects with a microscope.
Risk	The likelihood of developing a disease as a result of exposure to a contaminant.

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.16. Abstract (Limit: 200 words)  This revised document provides EPA guidance on controlling asbestos-containing materials found in buildings. The document (1) provides a current summary of data on exposure to airborne asbestos, (2) survey procedures for determining if asbestos-containing material is present in buildings, (3) explains how to establish a special operations and maintenance program in a building found to contain asbestos, (4) reviews technical issues confronted when assessing the potential for exposure to airborne asbestos, in particular indoor settings, (5) suggests a structured process for selecting a particular course of action given information on physical condition of the asbestos, exposure levels, assessment methods, and abatement techniques, (6) summarizes and updates in- formation on applicability, effectiveness, and relative costs of alternative remedial actions, (7) introduces and discusses criteria for determining successful asbestos control. The material presented is a summary of information and experience gained by EPA through its Asbestos in Schools and Buildings Program and by a wide spectrum of experts in the field.		13. Types of Report & Period Covered	
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